

PAKs inhibitors ameliorate schizophrenia-associated dendritic spine deterioration in vitro and in vivo during late adolescence

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Drug discovery in psychiatry has been limited to chemical modifications of compounds originally discovered serendipitously. Therefore, more mechanism-oriented strategies of drug discovery for mental disorders are awaited. Schizophrenia is a devastating mental disorder with synaptic disconnectivity involved in its pathophysiology. Reduction in the dendritic spine density is a major alteration that has been reproducibly reported in the cerebral cortex of patients with schizophrenia. Disrupted-in-Schizophrenia-1 (DISC1), a factor that influences endophenotypes underlying schizophrenia and several other neuropsychiatric disorders, has a regulatory role in the postsynaptic density in association with the NMDA-type glutamate receptor, Kalirin-7, and Rac1. Prolonged knockdown of DISC1 leads to synaptic deterioration, reminiscent of the synaptic pathology of schizophrenia. Thus, we tested the effects of novel inhibitors to p21-activated kinases (PAKs), major targets of Rac1, on synaptic deterioration elicited by knockdown expression of DISC1. These compounds not only significantly ameliorated the synaptic deterioration triggered by DISC1 knockdown but also partially reversed the size of deteriorated synapses in culture. One of these PAK inhibitors prevented progressive synaptic deterioration in adolescence as shown by in vivo two-photon imaging and ameliorated a behavioral deficit in prepulse inhibition in adulthood in a DISC1 knockdown mouse model. The efficacy of PAK inhibitors may have implications in drug discovery for schizophrenia and related neuropsychiatric disorders in general.

mechanism-oriented drug discovery | synapse protection

A major limitation in current treatment strategies in psychiatry is that drugs used clinically are derivatives of chemicals originally discovered serendipitously (1). Thus, in the past several years, enormous efforts have been made to discover and develop more mechanism-oriented drugs.

Genetic susceptibility factors for schizophrenia that have been identified in the past 10 y have aided understanding of the pathology at the molecular level. Although some conflicting outcomes among different methodologies lead to continuous debate, several factors, including TCF4 (the transcription factor 4), erythroblastic leukemia viral oncogene 4 (ErbB4), zinc finger protein 804A (ZNF804a), and DISC1, are likely to enhance susceptibility to schizophrenia (2–6). Studies that explore molecular and functional interactomes have suggested that a cluster of such factors (e.g., molecular pathways) contributes significantly to functions of the glutamatergic synapse, in accordance with the classic concept that schizophrenia is a disorder of connectivity (7–9).

Several groups have reproducibly reported that DISC1 is expressed in the glutamatergic postsynapses (10–12). In primary neuron culture, prolonged DISC1 knockdown results in the synaptic deterioration (decrease in spine density and size) after transient outgrowth in the pathological course (10). Mouse

models that carry two independent *Disc1* point mutations show decreased spine density in the glutamatergic synapse in the frontal cortex (13). Synaptic pathology has been frequently observed in brains from patients with schizophrenia (14–16). Furthermore, deficits in the glutamatergic neurotransmission in the pathology of schizophrenia are also demonstrated by studies of brain imaging, neurochemistry, and neuropharmacology (17). Thus, synaptic deterioration elicited by DISC1 knockdown might serve as a cellular model that represents, at least in part, a common pathophysiology of schizophrenia (10, 18).

Thus far, more than one mechanism has been proposed in regard to the regulation of synaptic plasticity and maintenance by DISC1 (10, 19, 20). For example, DISC1 negatively regulates access of Kalirin-7 (Kal-7) to a small GTPase protein Rac1 and contributes to proper control of Rac1 activation and synaptic maintenance: this mechanism participates in the spine change triggered by NMDA-R activation (10). Thus, we hypothesized that modulating the activity of p21-activated kinase (PAK), a key downstream molecule of Rac1 (21, 22), with chemical inhibitors may rescue the synaptic pathology elicited by DISC1 knockdown in primary neuron culture in vitro as well as in the prefrontal cortex in vivo.

Results

DISC1 Knockdown Affects NMDA Receptor-Dependent Synaptic Plasticity.

We previously observed that activation of NMDA receptor (NMDA-R) affects protein interactions involving DISC1 and Kal-7 at the biochemical level by using the withdrawal of amino-5-phosphonovaleric acid (APV), a potent inhibitor of the receptor

Significance

Drug discovery in psychiatry has been limited to chemical modifications of compounds originally discovered serendipitously. Therefore, more mechanism-oriented strategies of drug discovery for mental disorders are awaited. Schizophrenia is a devastating mental disorder with synaptic disconnectivity involved in its pathophysiology. In this study, we studied a biological pathway underlying synaptic disturbance and examined whether p21-activated kinase inhibitors ameliorate the pathology in vitro and in vivo. The beneficial effects of these inhibitors reported here may provide us with an opportunity for drug discovery in major mental illnesses with synaptic disturbance.

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Conflict of interest statement: B.V., S.G.D., and D.A.C. are former employees of Afraxis, Inc.

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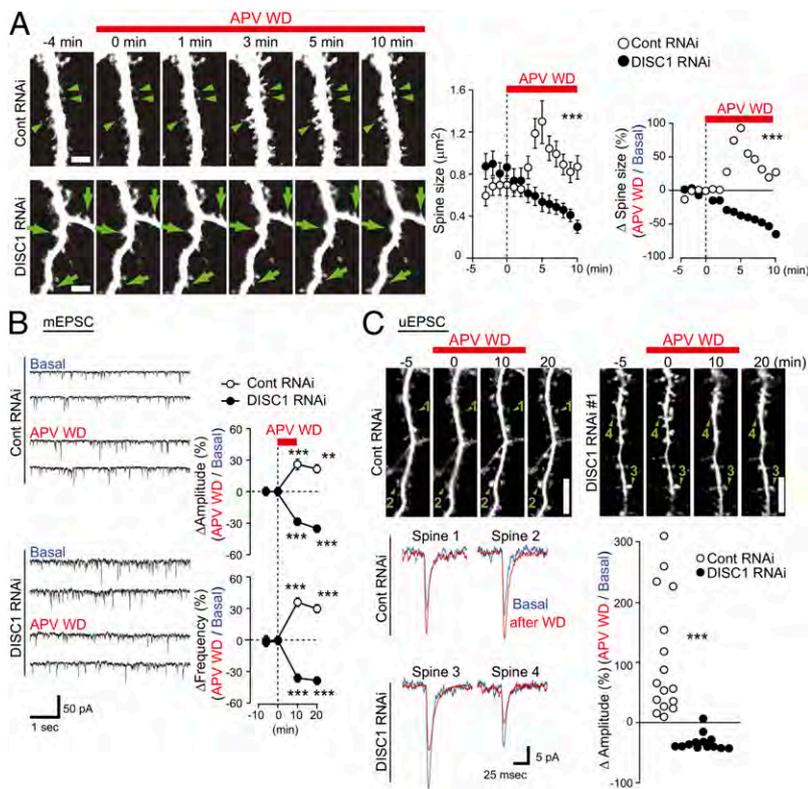


Fig. 1. DISC1 knockdown affects NMDA receptor (NMDA-R)-dependent synaptic plasticity. (A) Time lapse imaging showing structural plasticity of spine upon APV withdrawal (APV WD). Whereas spines on neurons with control shRNA (control RNAi, arrowheads) underwent spine enlargement, spines with DISC1 shRNA (DISC1 RNAi, arrows) were reduced in size upon NMDA-R activation. The absolute values of spine size and relative size upon APV WD are shown, which suggests that synaptic response between control and DISC1 RNAi-treated neuron are significantly different. (B) Changes of mEPSC upon APV WD in neurons with or without DISC1 RNAi. $^{**}P < 0.01$, $^{***}P < 0.001$ compared with $t = 0$ min. (C) Changes of uEPSC showing functional plasticity upon APV WD in neurons with or without DISC1 RNAi. APV withdrawal induced spine enlargement and enhancement in synaptic transmission in control neuron (spines 1 and 2), whereas in contrast, there were spine shrinkage and reduction in synaptic transmission in DISC1 RNAi-treated neuron (spines 3 and 4). Changes in uEPSC amplitude (Δ amplitude) in each individual spine are shown (control RNAi, $n = 16$; DISC1 RNAi, $n = 16$). $^{***}P < 0.001$.

(10, 23). Thus, the present study further reports its characterization at the cell biological and physiological levels. Time lapse imaging indicated that the majority of the spines immediately underwent enlargement by almost twofold upon NMDA-R activation, which was followed by gradual and partial decrease in size, leading to sustained spine enlargement in neurons with pretreatment of control shRNA (Fig. 1A and Movie S1). In contrast, the spines in neurons pretreated with DISC1 shRNA displayed gradual shrinkage upon NMDA-R activation (Fig. 1A and Movie S2). These structural changes of the spine correlated with the amplitude and frequency of miniature excitatory postsynaptic currents (mEPSC) (Fig. 1B). To further study a response upon APV withdrawal at the single spine level, uncaging-evoked excitatory postsynaptic currents (uEPSC) were measured by uncaging 4-methoxy-7-nitroindolyl-caged-L-glutamate on each spine head. The spines in neurons pretreated with control shRNA displayed an increase in the amplitude of uEPSC upon APV withdrawal, whereas the spines in neurons with DISC1 shRNA showed a clear reduction in amplitude (Fig. 1C). These results suggest that knockdown of DISC1 in neuron cultures disturbs plasticity and maintenance of the glutamatergic synapse.

Chemical Inhibitors of PAK Block DISC1 Knockdown-Elicited Synaptic Changes Associated with NMDA-R Activation. We previously reported a biochemical mechanism in which Rac1/PAK1 cascade comes downstream of DISC1 in the spine (10). However, this notion has not been functionally validated yet. To prove this concept experimentally, we use three newly generated PAK inhibitors in this study (Fig. 2). Twenty minutes after APV withdrawal (e.g., acute NMDA-R activation), consistent with the observations in the time lapse examination shown in Fig. 1, we observed a significant decrease in the spine size with DISC1 shRNA, whereas the spine size in controls was increased (Fig. 3A and B). Treatment with these newly synthesized PAK inhibitors (FRAX355, FRAX486, and FRAX120; 250, 500, and 500 nM, respectively; for 1 h, starting from 40 min before APV withdrawal) prevented the reduction in spine size in the presence of DISC1 shRNA

(Fig. 3B–D). Similar protective effects were observed in the presence of W-7 (calmodulin inhibitor) and KN-62 (CaMKs inhibitor) (Fig. 3B–D). In addition, treatment with FRAX355 and FRAX486 as well as W-7 and KN-62 rescued the DISC1 shRNA-treated neurons from a marked decrease in spine density (Fig. 3B–D). FRAX 120 mildly protected neurons from the reduced spine density, but the effects were less complete. Different from W-7 and KN-62, FRAX355 and FRAX486 did not completely block the NMDA-R activation-mediated spine enlargement in the presence of control RNAi, suggesting that these FRAX compounds act less adversely to the synaptic plasticity (Fig. 3B, C, and E).

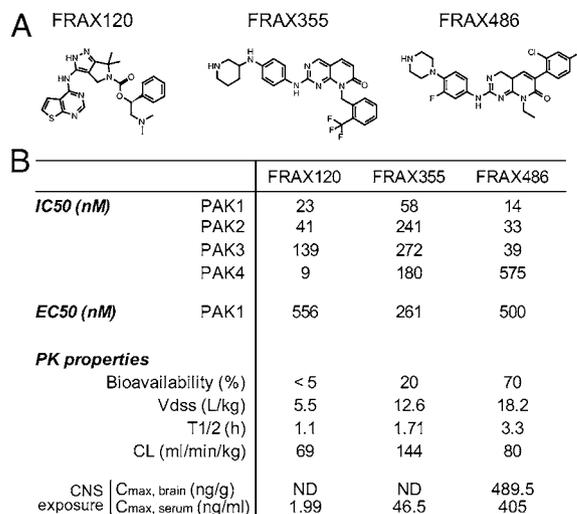


Fig. 2. Characteristics of PAK inhibitors. Chemical structure of three major compounds (A) and pharmacological properties (B).

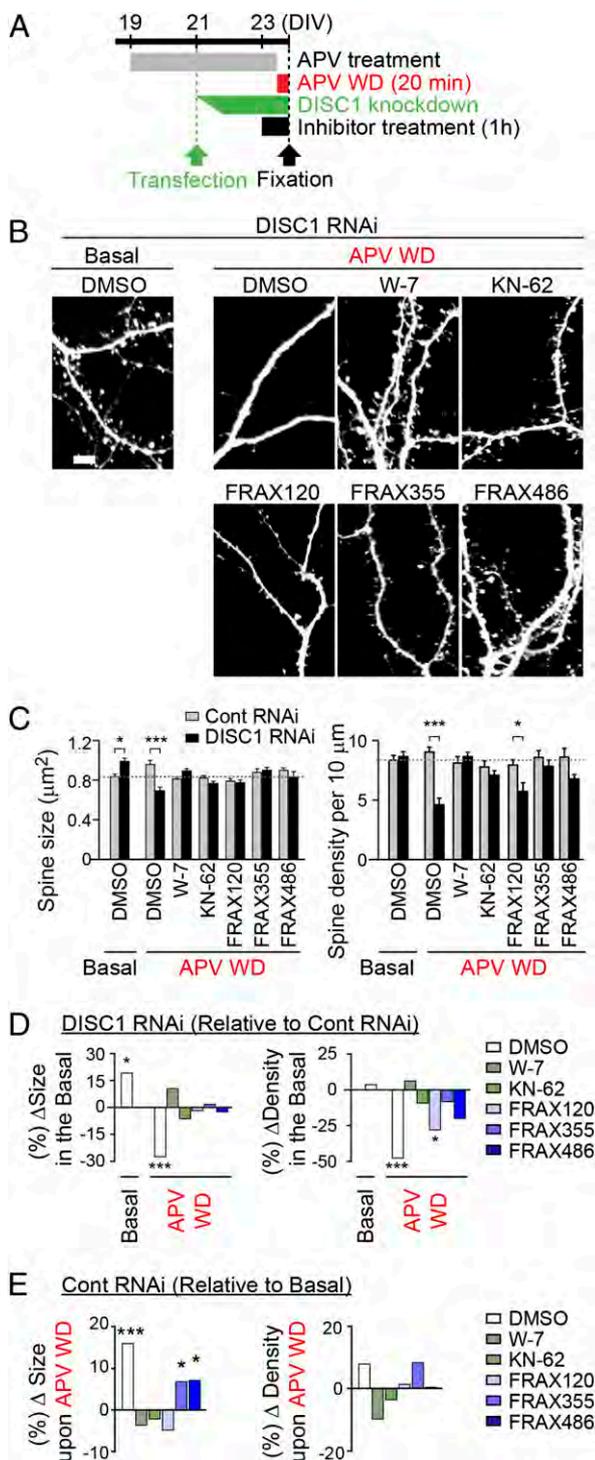


Fig. 3. Chemical inhibitors of PAK block DISC1 knockdown-elicited synaptic changes associated with NMDA-R activation. (A) Experimental design. Inhibitors with concentrations of $10 \mu\text{M}$ for W-7, $10 \mu\text{M}$ for KN-62, 250 nM for FRAX355, 500 nM for FRAX120, or 500 nM for FRAX486 were added 1 h before APV WD, followed by incubation of APV WD solution containing the inhibitor at same concentration for 20 min. (B–E) Morphometry spine analysis showing the effect of each compound on APV WD-induced spine structural change. The absolute values of spine size and density and the relative size and density after APV WD in the presence of each compound [Δ size (%) and Δ density (%)] are shown. Beneficial effects of PAK inhibitors to the shrinkage of spine size were shown. In particular, FRAX355 and FRAX486 displayed beneficial influences on spine density without robustly affecting synaptic plasticity. $**P < 0.01$. $***P < 0.001$. (Scale bar, $5 \mu\text{m}$.)

PAK Inhibitors Block Development of Dendritic Spine Defects Induced by Prolonged Knockdown of DISC1. We then hypothesized that these PAK inhibitors could also prevent spine deterioration due to prolonged DISC1 knockdown, in which the DISC1-Kal-7-Rac1 cascade was involved (10). Thus, we added PAK inhibitors to the culture concurrently with DISC1 or control shRNA application (Fig. 4A). To determine the protective effect on dendritic spines, we measured spine size and density in the presence of various concentrations of these compounds. All three PAK inhibitors (Fig. 2) completely rescued spine shrinkage resulting from prolonged DISC1 knockdown in a dose-dependent manner (Fig. 4B and C and Figs. S1A and B and S2). The PAK inhibitors had little effect on healthy spines because no deteriorating effects were observed in neurons with control shRNA up to the doses more than one hundred times higher than the effective doses for synaptic protection against DISC1 shRNA (Fig. S3). This implies that these compounds have extremely wide therapeutic index windows (dose ratio of beneficial/toxic effects).

PAK Inhibitors Reverse Preexisting Dendritic Spine Shrinkage Elicited by Prolonged DISC1 Knockdown. In most therapeutic settings, drugs aimed at treating a disease should be able to reverse an already existing defect rather than simply block the development of deficits. To reconstruct this situation in vitro, we examined whether the PAK inhibitors could reverse preexisting dendritic spine defects induced by DISC1 knockdown (Fig. 5A and Figs. S1A and C and S4). Cortical neuronal cultures were pretreated with DISC1 or control shRNA for 5 d, a time frame that we had previously shown to be sufficient for full expression of the dendritic spine defects, and then tested the effects of the PAK inhibitors (Fig. 5A). All three compounds had dose-dependent beneficial effects on the spine size: FRAX355 and FRAX486 reversed the reduced size of dendritic spines, and although FRAX120 did not completely reverse the size to wild-type levels, it did show some beneficial effects (Fig. 5B). In contrast, no compound was able to restore the spine density that had been already reduced by preexisting DISC1 knockdown (Fig. 5C). All three compounds even at high concentrations had a negligible effect on the spine structure of neurons with control shRNA under these conditions (Fig. S5).

The PAK Inhibitor Ameliorates Adolescent Synapse Loss in the Prefrontal Cortex and Adult Behavior Change in a DISC1 Knockdown Mouse Model.

To validate the beneficial effects of PAK inhibition on the synapse in vivo, we administered FRAX486 into DISC1-knockdown C57BL/6 mice during adolescence (Fig. 6A). In this model, by in utero electroporation, DISC1 shRNA is selectively targeted to the cells in a lineage of the pyramidal neurons in the layer II/III of the prefrontal cortex (24, 25). Among the PAK inhibitors tested above, FRAX486 displayed the highest penetrance of blood-brain barrier (Fig. 2B). Beneficial influences of this compound were examined at both synaptic and behavioral levels. Compared with control shRNA, DISC1 shRNA led to a marked reduction in the spine density at both postnatal days 35 and 60 (P35 and P60), which was further augmented at P60 (Fig. 6B). These observations are in accordance with representative mouse models with genetic mutations in *Disc1* that display synaptic deterioration in the adult forebrain (13). Daily administration of FRAX486, but not that of vehicle, between P35 and P60 blocked the exacerbated spine loss during adolescence (Fig. 6B). In addition to the significant blockade of spine elimination, we observed a trend of enhanced spine generation by treatment with FRAX486 (Fig. 6B). Finally, we examined whether adolescent treatment of FRAX486 might have beneficial influences on aberrant behaviors in the DISC1 knockdown model: FRAX486 treatment ameliorated a deficit in prepulse inhibition in adulthood (Fig. 6C).

Discussion

This study was designed to determine whether newly developed inhibitors of PAKs would protect against deterioration of dendritic

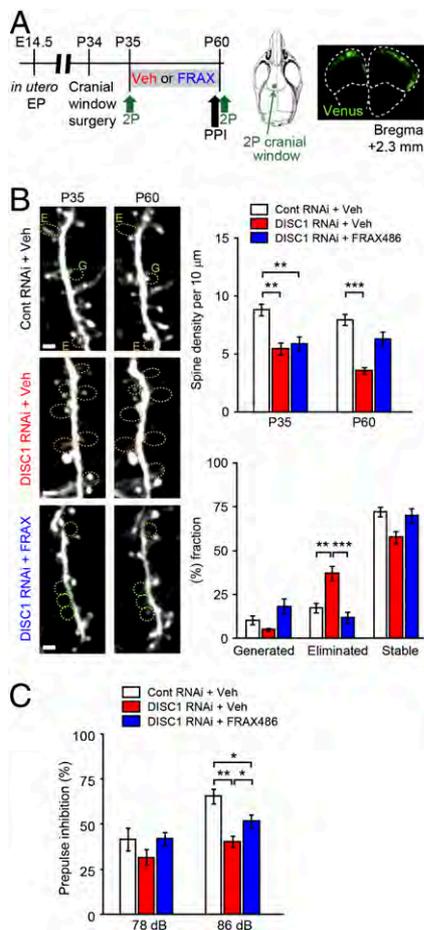


Fig. 6. The PAK inhibitor (FRAX486) ameliorates adolescent synapse loss in the prefrontal cortex and adult behavior change in a DISC1 knockdown mouse model. (A) Experimental design. DISC1 or control shRNA was transferred at embryonic day 14.5 (E14.5). Mice with shRNA were subjected to cranial window surgery at postnatal day 34 (P34). Two-photon imaging (2P) was performed both at P35 and P60. Location of cranial window and shRNA distribution (in green) at P60 were shown. Prepulse inhibition (PPI) was tested just before the two-photon imaging at P60. (B) Spine analyses. DISC1 shRNA led to a marked reduction in the spine density at both postnatal days 35 and 60 (P35 and P60), which was further augmented at P60 (** $P < 0.01$; *** $P < 0.001$). Daily administration of FRAX486, but not that of vehicle, between P35 and P60 blocked the exacerbated spine loss during adolescence. The effects of FRAX486 were significant in blocking spine elimination (** $P < 0.001$). In addition, a trend of enhanced spine generation ($P = 0.07$) was observed by treatment with FRAX486. Spines surrounded by yellow and green dots indicate eliminated (indicated as E) and generated (indicated as G) spines between P35 and P60, respectively. (Scale bar, 2 μm .) (C) Performance in PPI at P60. Deficit in PPI in DISC1-knockdown mice was significantly, although partially, ameliorated by FRAX486 ($n = 12$ per each group). * $P < 0.05$, ** $P < 0.01$.

There are many other neuropsychiatric disorders with synaptic changes that might benefit from these compounds. The Tongewala laboratory previously published that PAK inhibition and knockout are protective against synaptic deterioration in an animal model for Fragile X syndrome (38, 39). In addition, several lines of evidence have suggested the involvement of PAKs in Alzheimer's disease and mental retardation (40–43). Studies that aim to identify rare variants associated with neuropsychiatric disorders may further reveal PAK family genes as genetic factors. Thus, consideration of these compounds in many other neuropsychiatric disorders may also be an important subject in future studies.

Because PAKs are widely expressed in both neuronal and nonneuronal tissues, unexpected effects on nonneuronal tissues might be a potential concern, especially on carcinogenesis. As

far as we are aware, PAKs are regarded as therapeutic targets in cancer and immune/allergy-related conditions (44, 45). Although this question requires careful consideration, we expect minimal adverse effects of PAK inhibitors when we target neuropsychiatric disorders.

Materials and Methods

Ethical Observance. All research, including use and care of animals as well as DNA and genetic engineering work, were approved by The Johns Hopkins University and the University of Tokyo.

Plasmid Construction and Transfection. H1-RNA polymerase III promoter-driven shRNA against DISC1, which also carries EGFP downstream of the CMV promoter, was previously described (10). Cultured cells were transfected with plasmids by use of LipofectAMINE2000 (Invitrogen). In primary rat cortical neuron culture, 2 μg of pSuper-Venus RNAi were transfected into 1.5×10^5 cells.

Neuron Culture and Treatment. Dissociated rat cortical neuron cultures were prepared as described previously (10). The procedures for uncaging and electrophysiological experiments are described in *SI Materials and Methods*. Briefly, for measuring uEPSC, cells were perfused with amino-5-phosphonovaleric acid extracellular solution (APV-ECS) supplemented with 5 mM MNI-glutamate (Tocris Bioscience). Two-photon uncaging laser (720 nm, mode-locked Ti-Sapphire laser) was delivered to the spine head to photolyse the caged-glutamate for 2 ms. Intensity of uncaging laser was 20 mW at the back aperture of the objective lens. Withdrawal of DL-APV (Ascent Scientific) was used for acute chemical activation of NMDA receptors (23, 46). Briefly, 200 μM APV was added to the culture dish 2 d before APV withdrawal (APV WD) and was maintained in the medium. For treatment of APV WD, cells were preincubated in artificial cerebrospinal fluid (ACSF) (in mM: 125 NaCl, 2.5 KCl, 26.2 NaHCO₃, 1 NaH₂PO₄, 11 glucose, 5 HEPES, 2.5 CaCl₂, and 1.25 MgCl₂) with 200 μM APV for 1 h at 37 °C. Coverslips were then washed in withdrawal medium (ACSF plus 30 μM D-serine, 100 μM picrotoxin, and 1 μM strychnine) and transferred into new withdrawal medium for 20 min. Cells were then immediately fixed for immunofluorescence, and spine morphology was determined as previously described. At least two independent analyses, usually three, were carried out while blinded to transfection conditions until statistical analysis had been done (Fig. S1). Antibodies to GFP (Invitrogen) and Alexa 488-conjugated secondary antibodies (Invitrogen) were used.

PAK Inhibitors. The small-molecule pyridopyrimidinone inhibitors FRAX355 and FRAX486 were designed through a traditional structure activity relationship approach starting from a distinct chemical series identified in a high throughput screening (HTS) campaign. To find inhibitors of group 1 PAKs, we screened a kinase-focused library of 12,000 compounds. Hits were identified that met the criteria threshold of >50% inhibition at 10 μM . All hits were followed up with a 7 pt dose-response. The results of the HTS campaign identified a class of unsubstituted pyridopyrimidinones that demonstrated moderate group 1 inhibition. Further optimization identified FRAX355 and FRAX486 as group 1 PAK inhibitors. FRAX120 was identified from published literature and is a reported inhibitor of PAKs.

PAK biochemical assays were performed using the Z'Lyte Kinase assay platform (Invitrogen) according to the manufacturer's protocol. Briefly, the assay system consisted of 50 mM HEPES (pH 7.5); 0.01% BRIJ-35; 10 mM MgCl₂; 1 mM EGTA; 2 μM substrate Ser/Thr19 peptide for PAK1 and Ser/Thr20 peptide for PAK2, PAK3, and PAK4 (Proprietary Life Technologies Sequence); PAK enzymes (2.42–30.8 ng for PAK1, 0.29–6 ng for PAK2, 1.5–20 ng for PAK3 and 0.1–0.86 ng for PAK4; actual enzyme amounts depended on lot activity of the enzyme preparation); test compound in DMSO, with the final DMSO concentration in the assay reaction 1%; and ATP at K_m apparent (50 μM ATP for PAK1 assay, 75 μM ATP for PAK2 assay, 100 μM ATP for PAK3 assay, and 5 μM ATP for PAK4 assay). The total assay volume was 10 μL . Reaction mixtures were incubated at room temperature for 1 h. Following the kinase reaction, 5 μL of development solution A (Life technologies) was added, and the reaction mixture was incubated for an additional 1 h at room temperature. Plates were analyzed in a standard fluorescence plate reader (Tecan) using an excitation wavelength of 400 nm and emission wavelengths of 445 nm and 520 nm. Inhibition of kinase reaction was determined by emission ratio: emission at 445 nm/emission at 520 nm. Pharmacokinetic data for the compounds FRAX120, FRAX355, and FRAX486 were obtained using fasted male C57BL/6 mice with the following conditions: For FRAX486, i.v. dose was 3 mg/kg using a 1 mg/mL solution in 20% (wt/vol) 2-hydroxypropyl- β -cyclodextrin in water, and per oral administration (o.s.) (PO) dose was 30 mg/kg in a 3 mg/mL solution in water. For FRAX355, i.v. dose

was 3 mg/kg using a 0.6 mg/mL solution in 10% (vol/vol) dimethylacetamide (DMA) in water, and PO dose was 10 mg/kg in a 2 mg/mL solution in 10% (vol/vol) DMA in water. For FRAX120, i.v. dose was 3 mg/kg using a 0.1 mg/mL solution in 10% (vol/vol) DMA in saline, and PO dose was 10 mg/kg in a 2 mg/mL solution of 20% (vol/vol) PEG400, 1% (vol/vol) Tween80 and 79% (vol/vol) [0.5% (wt/vol) carboxymethyl cellulose in water]. For the in vivo experiment, FRAX486 was intraperitoneally administered [10 µg/BW (g)] once daily from P35 to P60, which provides brain levels at >175 nM.

In Utero Electroporation. This procedure was performed according to the published protocol with minor modifications (24, 25). Briefly, pregnant C57BL/6 mice were anesthetized at embryonic day 14.5 (E14.5) by isoflurane, and shRNA plasmids (control or DISC1 shRNA) together with CAG::Venus vector were injected into the bilateral ventricles. Electrode pulses (35V, 50 ms) were charged bilaterally. Pups that expressed strong Venus fluorescence in the prefrontal cortex of both hemispheres were used for further experiments.

Cranial Window Surgery and in Vivo Two-Photon Spine Imaging. Mice at postnatal day 35 (P35) were anesthetized by isoflurane with i.p. injection of mannitol (4 µg/g of body weight) and dexamethasone (7 µg/g of body weight) for prevention of brain swelling, and a s.c. injection of ketoprofen (40 µg/g of body weight). The skull was exposed over the area corresponding to the prefrontal cortex, according to the stereotactic coordinates. A drill (φ 1.8 mm) was used to generate open skull window, which corresponded to

the size of a circular glass coverslip (φ 1.8 mm, <0.1 mm thickness) (Matsunami Glass). The rim of the coverslip was mounted by dental cement. In vivo two-photon imaging was performed using an upright microscope (BX61WI; Olympus) equipped with a FV1000 laser scanning microscope system (FV1000; Olympus) with a water-immersion objective lens (60×, N.A. 1.0). Femtosecond-pulse Ti:sapphire lasers (MaiTai) were used at 950 nm for imaging. For 3D reconstruction of dendrite images, XY images with 0.8-µm step size were stacked by summation of fluorescence values at each pixel.

Statistics. All data are means ± SEM of values and representative of two to three separate experiments (Fig. S1A). One-way ANOVAs were used, followed by Scheffé post hoc for multiple comparisons. Statistical analyses were performed using SPSS 11.0 software (**P* < 0.05; ***P* < 0.01; ****P* < 0.001).

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