A Simple Empirical Guide to Low-Volume Road Pavement Design in Indiana

- 3
- 4

5 Karim A. Abdel Warith, PhD

- 6 Post Doctoral Associate
- 7 Dept. of Civil and Architectural Engineering
- 8 Qatar University
- 9 Doha, Qatar
- 10 Email: <u>k.abdelwarith@qu.edu.qa</u>
- 11

12 Panagiotis Ch. Anastasopoulos, Ph.D. (Corresponding author)

- 13 Assistant Professor, Dept. of Civil, Structural and Environmental Engineering
- 14 Director, Engineering Statistics and Econometrics Application Research Lab
- 15 Associate Director, Institute for Sustainable Transportation and Logistics
- 16 University at Buffalo, The State University of New York
- 17 241 Ketter Hall, Buffalo, NY 14260
- 18 Phone: (716) 645-4362, Email: panastas@buffalo.edu
- 19

20 Joseph C. Seidel, Ph.D.

- 21 Adjunct Instructor
- 22 Pennsylvania State University in Harrisburg
- 23 777 W Harrisburg Pike, Middletown PA 17057
- 24 Email: jcs62@psu.edu
- 25
- 26 And

2728 John E. Haddock, Ph.D., P.E.

- 29 Professor of Civil Engineering
- 30 Director, Indiana Local Technical Assistance Program
- 31 Lyles School of Civil Engineering
- 32 Purdue University
- 33 550 Stadium Mall Drive, West Lafayette, IN 47907.
- 34 Phone: (765) 496-3996, Email: jhaddock@purdue.edu
- 35
- 36
- 37
- 38
- 39
- 40
- 41 Prepared: March 30, 2014.
- 42 Revised: June 25, 2014.
- 43 Final Submission: October 19, 2014.
- 44
- 45 Number of Words in Text: 5,494; No. of Tables: 4; No. of Figures: 4.
- 46 Total Equivalent Number of Words: 7,494.

1 ABSTRACT

2 Low-volume roads constitute the vast majority of the United States road network. From an 3 economic standpoint, low-volume road preservation accounts for more than eighty billion dollars 4 per year, or more than half of the annual investment in roads. Although low-volume roads carry 5 a small percentage of the overall traffic, their associated crash rates are considerably higher than 6 those for higher volume roads. Clearly, low-volume roads are an important part of the nation's 7 transportation infrastructure. Thus, there is a need to design low-volume roads using engineering 8 principles to ensure an economic design and avoid premature road failures. Many agencies have 9 proposed low volume design methods, yet most of them require input that may not be available 10 to local agencies. To that end, this paper develops an empirical low-volume road design guide that requires minimal input that is readily available to local agencies, and is simple to use, while 11 12 at the same time is customizable in order to account for specific weather and sub-grade 13 conditions. 14

15 **INTRODUCTION**

16 Low volume roads constitute 86 percent of the developing world's road network (1). In the United States (US), approximately 70 percent of federal-aid road miles are considered low-17 18 volume roads, with low-volume road maintenance and rehabilitation accounting for \$82 billion 19 per year, or about 54 percent of the annual road related investment (2). Of these low-volume 20 roads, more than 2.6 million miles are under the control of local government agencies (3). 21 Although eventually low-volume roads carry only 15 percent of the traffic in the US, their 22 corresponding accident rates are considerably higher as compared to higher-volume roads. An 23 example of this disparity shows an average of 2.41 accidents per million vehicle miles traveled 24 on low-volume roads versus an average of 1.56 for higher-volume roads (4). For more 25 information on low-volume roads and their impacts the reader is referred to Faiz, 2012(5).

26 Clearly, low-volume roads are an important part of the nation's transportation 27 infrastructure. To that end, the need to design low-volume roads using engineering principles to 28 ensure an economic design and avoid premature road failures is exigent.

29 The objective of the work outlined in this paper is the development of an empirical low-30 volume road design guide that requires minimal input that is readily available to local agencies, and is simple to use, while at the same time is customizable to account for specific weather and 31 32 sub-grade conditions.

33

34 **REVIEW OF PAST WORK**

35 The Federal Highway Administration (FHWA) classifies low-volume roads as roads servicing 36 an average daily traffic (ADT) of less than 500 vehicles per day (3, 5). Apart from the 37 FHWA's generic definition that uses traffic volume as the main categorization criterion, low-38 volume roads have been defined differently for various organizations. A typical alternative 39 criterion is the relative pavement structure damage caused by various axle loads, using the 40 Equivalent Single Axle Load (ESAL). This mixed traffic causes various magnitudes and 41 repetitions of wheel loads, and can be readily converted to an equivalent standardized "loads" number, with the most common being the 18,000 lbs (80 kN) ESAL (note that 1 pound = 0.45442 kilograms). There are two standard US ESAL equations derived from the AASHTO Road Test, 43 44 both dependent on the pavement type (rigid or flexible) and on the pavement structure (slab 45 thickness for rigid pavements, and structural number for flexible pavements). It should be noted

that the ESAL take into account mixed traffic loads. This allows for heavier and lighter vehicles 46

1 and their associated loads to be properly considered, along with the number of lanes, traffic 2 growth, traffic distribution and design period. Therefore, ESAL require more effort to obtain, 3 but have the potential to provide more accurate road function classifications. Hall and Bettis (3) 4 summarize that: (a) the American Association of State Highway and Transportation Officials (AASHTO) 5 1993 Design Guide considers a road to be low-volume when 50,000 < ESAL < 1,000,000; (b) the Asphalt 6 Institute (6) considers a road to be low-volume when ESAL<10,000; and (c) the Washington State 7 Department of Transportation considers a road to be low-volume when ESAL<100,000. Note that the 8 AASHTO Guidelines for Geometric Design of Very Low-Volume Local Roads classifies low-volume 9 roads as roads with ADT< 401 vehicles; however, this design guide is primarily relevant to roadway 10 geometrics, and does not fall within the scope of this investigation (7).

Low-volume design methods have been widely developed and successfully used by 11 12 federal and state agencies across the US (8-23). In Indiana, possibly the first such guide was 13 developed in 1974 (24). While these methods are well adjusted for the particular agency's needs 14 and capabilities, most of them require input that is typically not available to local agencies. For example, the AASHTO has developed a procedure broadly utilized by 37 of the 48 continental 15 16 United States (3). Interestingly, the low-volume road design procedure is a simplified version of 17 the high-volume road design procedure (similar design charts and input requirements are used). Specifically, the required inputs involve the subgrade resilient modulus (MR), design reliability 18 19 (set to 50%), traffic (ESAL), and material properties for each layer (i.e., the structural layer 20 coefficients, ai). However, the number of variables and relative complexity of this procedure can make it cumbersome for low-volume road designs, given the limited resources available to local 21 22 agency engineers. The problem is further compounded by the lack of information as well as 23 time and budget constraints.

The United States Army Corps of Engineers (USACE) also developed a low-volume road design procedure that is widely used and is a simplified version of USACE's airport pavement design method. The relatively simple procedure has two major design input components: traffic load (ESAL) and soil strength (California Bearing Ratio, CBR). Even though the overall procedure is simple, complex equations are needed to estimate the structural thickness required for a material to be placed on top of another material of a given CBR strength, provided that the CBR of the added material is greater than the CBR of the underlying material.

The National Crushed Stone Association (NCSA) adapted parts of the USACE method, added several elements, and applied it to bituminous surfaces overlaying a crushed stone base (25-26). The expected soil support as determined from CBR values is separated into four categories: excellent, good, fair and poor. A Design Index (DI) is assigned based on the expected traffic conditions, and a total thickness of crushed stone or bituminous surface is selected from design tables. This design thickness is modified if severe conditions are applicable, such as frost damage or drainage issues.

38 Relatively recently, several states (California, Illinois, Kentucky, Mississippi, New 39 York, Pennsylvania, Texas, Vermont, Virginia, and Minnesota) have developed their own non-AASHTO low-volume road design protocols with varying levels of complexity, incorporating 40 41 environmental, soil, and traffic factors specific for their region. A representative example is Minnesota, which has two design procedures: the Gravel Equivalency (GE) method found in 42 the State Aid Manual, and the R-value method (i.e., a measure of the response of a compacted 43 44 sample of soil or aggregate to a vertically applied pressure under specific conditions) (3, 27). 45 The GE method is more commonly implemented throughout the state due to its simplicity and Nonetheless, both procedures are more conservative than the 46 less conservative values.

1 AASHTO method. The GE method has two input variables: traffic load (ADT) and soil 2 strength. The classification of soil, a Soil Factor, and an assumed R-Value are obtained for the 3 soil strength. Using these inputs, a Minimum Bituminous GE and a total GE for design are 4 obtained; or, in words, the amount of bituminous base and surface in inches is acquired (from a 5 The R-value procedure uses two additional input components: load and strength. chart). 6 However, for this method, load is in terms of a Sigma N-18 value (a standard 18-kip single axle 7 load, serving to identify the cumulative deterioration effect of heavy vehicles for the design life 8 of flexible pavements) and strength is the R-value as determined from a stabilometer (28). The 9 important part in this detail-oriented design procedure is computing the R-value, as pavement 10 structure requirements are influenced by small changes in this value.

Another example is Virginia's low-volume road design procedure, which appears to be 11 12 applicable to any state in the US as long as certain assumptions for local conditions are made. 13 This procedure basically uses traffic and soil inputs. The design ADT is calculated by 14 multiplying the current ADT by a growth factor, and a soil support value is used to represent soil strength. Mississippi similarly uses a soil support value (SSV) for soil strength; it is 15 16 estimated using a design CBR (two-thirds of the average CBR) and a resiliency factor (extracted 17 from a table using soil classification) representing the soils elastic deformation characteristics and its ability to withstand repeated loading. Multiplying the design CBR by the growth factor 18 19 gives the soil support value. Each county has average values posted in design tables, and the 20 required thickness index (DR) is determined using the soil support value and the design ADT, 21 which then gives the appropriate pavement structure design. This design procedure is not as 22 conservative as AASHTO's, but gives similar design values.

Table 1(a) presents how traffic is handled by each low-volume road design procedure. Each design procedure requires the subgrade strength as an input; however, each procedure uses different parameters to represent it. Table 1(b) summarizes the parameters needed to reflect subgrade strength in each procedure. Table 2 presents the level of complexity of the reviewed design procedures. Only the USACE and the NCSA design procedures offer a simple alternative in which all the design inputs are readily available to local agencies. Therefore, these two methods provide the basis for the design procedure proposed herein.

30

31 GENERAL CONSIDERATIONS IN PAVEMENT DESIGN

32 There are three main factors to consider in pavement design: materials (subgrade, subbase, base, surface), physical loading of the pavement represented by traffic (ESAL, ADT, percent of 33 34 heavy commercial vehicles), and the environment (temperature, precipitation, frost) (29). The 35 subgrade is the in-situ (natural) soil prepared to be the foundation of the pavement structure. 36 Treatments should be applied to the soil if the bearing capacity is insufficient. Desirable 37 properties include high compressive and shear strength, ease and permanency of compaction, 38 drainage ability, and low susceptibility to volume changes due to moisture and freezing. 39 Overlying pavement layers should increase in quality as the surface layer is approached. A compacted subbase may not be needed if the subgrade is of sufficient quality. The subbase can 40 41 either be a treated or untreated granular layer or a treated layer of soil. The base course, 42 generally consisting of good quality aggregate, lies directly below the surface course and 43 provides structural support.

Environmental conditions also play an important role in pavement design. Sudden temperature changes accompanied with moisture changes can cause cracking and raveling of asphalt layers. Soil shrinkage results from low temperatures, especially for cohesive soils,

1 leading to cracking. Temperature changes on the soil will cause soil moisture to migrate from 2 warmer to colder zones. Freezing conditions can lead to frost heave as ice lenses form in the 3 subgrade. The depth of frozen soil can be estimated using the Freezing Index (cumulative days 4 below $32^{\circ}F$, or $0^{\circ}C$). High losses of pavement strength occur in the spring as the soils thaw. It 5 is therefore important to establish a load restriction if freezing is an issue. Precipitation also 6 influences the strength and stability of the underlying soil layers as they approach saturated 7 The water table elevation, the intensity of frost action, erosion, pumping and conditions. 8 infiltration are all affected by rainfall. Moisture within the pavement affects the contraction and 9 expansion of the material constituents.

10

11 LOW-VOLUME ROAD DESIGN

The objective of this paper is to demonstrate an empirical low-volume road design procedure that requires minimal input (readily available to local agencies), and is simple to use. The design should also be customizable to different weather and subgrade conditions. To that end, the design basis is first determined, followed by the development of the design guide.

16 From the procedures reviewed earlier, some provide low-volume road design procedures 17 by simplifying general design guidelines, whereas others are specifically intended for lowvolume road design. Based on the most promising of these design procedures, key features are 18 19 identified and used in the development of a low-volume road design guide. The proposed design 20 guide is intended to be customizable for low-volume roads, and as a case-state Indiana is 21 selected. In particular, the design guide allows for two design options that are cost effective, 22 aggregate roads and asphalt pavements. Other options include Portland Concrete Cement (PCC) 23 pavement and Roller Compacted Concrete (RCC) pavement, which require a bigger commitment 24 from the local agencies as they can frequently be more costly; even though the RCC option may 25 be an economically feasible alternative, as the material costs fluctuate over time. For specifics 26 on PCC see Packard (30) and PCA (31-32); and on RCC see Shin and Carboneau (33). 27 However, these approaches do not simultaneously satisfy the criteria with respect to simplicity, 28 input availability and cost effectiveness.

29

30 Low-Volume Road Design Basis

The USACE and the NCSA pavement design guides were earlier determined to be compatible with the objectives of this study, and the proposed design guide is therefore based on them.

33 The USACE design method is a simplified design guide based on the USACE procedure 34 developed for airport pavements. It accounts for three main pavement categories, unsurfaced 35 roads (the in-place natural soil is used as the road surface), aggregate surfaced roads, and 36 bituminous pavements, and provides a pavement design using six main surface types (3): (a) 37 Earth road; (b) treated surface (earth roads may be treated with bituminous materials to control 38 dust and to waterproof the surface); (c) stabilized soil; (d) gravel roads; (e) processed materials 39 (prepared by crushing and screening rock, gravel, or slag); and (f) spray applications and surface 40 treatments (sprayed treatments and sprayed bitumen with an aggregate surface). The design 41 procedure involves three basic steps. The first is to assign a class designation to the road based upon the daily traffic. A design category is then assigned to the traffic based upon the 42 composition of the traffic, which is classified into groups (34-35). A design index is finally 43 44 determined from the design category and road class, which is used to determine the thickness of 45 the aggregate surface or flexible pavement system required above a soil with a given California Bearing Ratio (CBR) strength (charts are used to obtain the values). The procedure is relatively 46

1 simple to use, but has a few limitations. With only two input factors, varying environmental 2 effects and other uncertainties may not be adequately addressed. This procedure is based on 3 equations that give required thicknesses for material that is to be placed over underlying material 4 of a given strength (in terms of CBR), provided that the placed material has greater CBR strength 5 than the underlying material.

6 The NCSA method requires the CBR value and the design index to be known or 7 estimated. CBR (determined either by field testing, laboratory testing or by estimating it from 8 the soil classification) is used to evaluate the subgrade soil, and traffic counts on secondary roads 9 should be made separately for each of the (three) vehicle type groups used. The design index is 10 based on the traffic parameter, which in turn is based on ranges of the average equivalent 18,000lb single-axle loads per lane per day over a life expectancy of 20 years. Once the CBR and the 11 12 traffic design index have been determined, the total design thickness is obtained using a design 13 chart.

14

21

22

23

24

25

31 32

33

34

35

36

37

38

39

40

41

42

46

15 **Design Guide**

16 The proposed design guide is presented as a flow chart, and is based on the NCSA design guide 17 and the USACE recommendations for pavement design. The design guide requires three basic inputs; traffic count and truck percentage, subgrade strength, and whether the road is located in a 18 19 frost zone. 20

- In order to produce the design guide, a number of assumptions are made:
- (a) Different agencies have different traffic ranges corresponding to low volume traffic, with the assumed range for low volume traffic of less than 1,000 vehicles per day (vpd) being widely accepted.
 - (b) The lifetime expectancy of low-volume roads ranges from 15 to 20 years, with regular maintenance needed to ensure such service life.
- 26 (c) To simplify the input process for the users, all trucks considered in the analysis are 27 assumed to have three or more axles (all axles are expected to be tandem axles, 28 except the steering axle), with pickup trucks and light duty vehicles not considered as 29 trucks (they are considered part of the general traffic). 30
 - (d) Freeze depth in various locations in Indiana (the case-state) was approximated using the USACE frost zone map (29), with Indiana being divided into 4 frost zones as illustrated in Figure 1 (zone A has no frost depth, zones B, C and D have frost depths of 5, 10 and 20 inches, respectively).
 - (e) Soil subgrade strength is listed in both California Bearing Ratio (CBR) and Dynamic Cone Penetrometer (DCP) values, with the relationship used to convert DCP to CBR being adopted from ASTM D6951, "Standard Test Method for Use of the Dynamic Cone Penetrometer in Shallow Pavement Applications" (36). The equations utilized for DCP in in./blow or mm/blow (Table 3 illustrates the relationship between DCP and CBR), are as follows (37-38):
 - For all soils except CL soils (inorganic clays of low to medium plasticity, gravelly • clays, sandy clays, lean clays (backfilled using native soil)) with CBR < 10 and CH soils (inorganic clays of high plasticity, backfilled with granular material): $CBR = 292/(DCP \times 25.4)^{1.12}$ (1)
- 43 For CL soils with CBR < 10: 44 45 $CBR = 1/(0.432283 \times DCP)^2$

(2)

1 2 For CH soils:

•

 $CBR = 1/(0.072923 \times DCP).$

(3)

3 The inputs needed consist of three basic components: traffic, geographic location (used to estimate frost depth), and subgrade strength.

4

5 Even though the design guide addresses low-volume roads, the traffic range of such roads 6 remains broad to be handled using a single category. For this reason the traffic is subdivided into 7 three categories: low (less than 70 vehicles per day, medium (70-200 vehicles per day), and 8 heavy (201-1,000 vehicles per day). While being less broad than one category of up to 1,000 9 VPD, the traffic categories remain large enough to allow local agencies that do not have readily available traffic data to use an educated estimate in order to produce a reasonable design. This 10 approach is very different from the approaches employed by AASHTO, Asphalt Institute or the 11 12 Mechanistic-Empirical Pavement Design Guide (MEPDG), which require an extensive amount 13 of information on the number of vehicles and their axle configurations.

14 The second traffic component needed for the design is an approximation of the 15 percentage of trucks in the traffic stream (the exact truck percentage is not needed). It is only 16 required to know whether the truck percentage is less than 1 percent, between 1 and 10 percent 17 or greater than 10 percent.

18 Turning to the geographic location component, different locations in a state experience 19 different weather conditions due to latitude and elevation. Since pavements are continuously 20 subjected to variable weather conditions, it is important to consider the weather when designing 21 a pavement. Design methods employed by the MEPDG involve rigorous analysis of a number of 22 weather elements, such as temperature, precipitation, cloud cover and wind speed. Although this 23 information may be available, it requires a significant amount of time and effort to collect and 24 extract, and a high level of expertise and experience in the field to process and utilize. 25 Simplified design methods proposed by the USACE design guide and the NCSA, only require knowledge of the frost depth in the area in which the roadway is to be located. Due to its 26 27 simplicity, limited time-requirements and robustness, the second approach has been adopted 28 herein. The case-state, Indiana, is a moderately sized state that does not have a homogenous 29 climate, and was therefore divided into four frost zones (see Kim and Newcomb, 28), as 30 illustrated in Figure 1. Note that the four zones should not be treated as having fixed boundaries 31 (a conservative estimate should be used in the vicinity of the boundaries); for example, if a road 32 is to be built near the boundaries between zones A and B, the frost depth could have a value of 33 2.5 in. (i.e., the average of the frost depth of the two zones, 0 in. and 5 in.).

34 The subgrade soil type and strength component is directly related to the location and the 35 frost zone. For example, roads built in zone A (no frost) are required by the design guide to 36 detail subgrade strength in terms of DCP or CBR. Roads built in zones B, C or D (frost zones) 37 only require the soil type. Soil types behave differently in freezing conditions, providing the 38 background for the differentiation. Although, some adjustments are needed depending on 39 moisture content, soil strength gives a adequate description of the soil in non-freezing conditions. 40 It is important to note that soil strength could be misleading when observed under freezing 41 conditions. The subgrade soil type is needed if the road is to be built in a frost zone. The soil 42 type can be classified in four categories, shown in Figure 2. The subgrade strength is required if 43 the road is to be built in a non-frost zone, and is also divided into four categories, also shown in 44 Figure 2. To produce a reliable design, there is no need for definite CBR or DCP values, as a 45 rough approximation of the soil strength will suffice. Also, if there is uncertainty with respect to 46 the soil strength (e.g., weak versus medium soil), the proposed guide allows for the timely design of each case, so that an informed decision can be made as to whether the extra thickness is costeffective.

3

4 Design Process

5 The design process involves the following steps: (a) acquiring the design index; (b) determining 6 the frost zone in which the road is located; (c) selecting the proper subgrade strength/quality 7 category; and (d) choosing the desired design from the available design options.

8 The pavement design index is based on the traffic volume and related truck percentage of 9 the road, and it is assigned a value from 1 to 4, as shown in Table 4. Table 4 summarizes the 10 combinations of traffic volumes and truck percentages that correspond to each design index, 11 illustrating that higher traffic and higher truck percentages correspond to higher design indices.

The following step in the design process is to identify the location of the road, and in turn the corresponding frost zone for the selected road by using the map in Figure 1. Next, the proper subgrade strength/quality category (i.e., weak, medium, or strong) of the soil needs to be identified, in terms of CBR, DCP, or soil type (see Figure 2).

16 The proposed design guide offers two pavement designs for any given set of inputs, an aggregate road option and a flexible pavement option. The complete design process is depicted 17 in Figure 3. Figure 3a provides the general specifications that result in a specific design index 18 19 (given traffic and truck characteristics, a design index is obtained which in turn directs to an 20 appropriate chart), and Figures 3b through 3e present the proposed sections for aggregate and 21 flexible pavements with corresponding design indices 1 through 4, respectively. In some cases, 22 surface treatments such as double chip seals can be used as an alternative to a 1-inch asphalt 23 surface, depending on local circumstances, available funding, and future plans. However, for 24 steep grades (6% or greater) the AC surface layer is recommended.

25 It is important for the designer to understand that aggregates used for surface courses and 26 base courses can vary significantly, as the two courses serve different purposes in the pavement. 27 Aggregate gradation, plasticity and permeability requirements for the two are different. For 28 example, aggregate materials used for a surface course are typically more finely graded than base 29 course aggregates and contain higher amounts of fines (material passing the #200 (0.075-mm) 30 sieve). Additionally, when deciding between aggregate and flexible pavements, the costs of 31 maintaining both should be properly accounted for over the life of the pavement. For example, 32 an aggregate road will need additional aggregate placed over time, and in some localities, it may 33 be necessary to apply dust palliatives on a consistent basis. For flexible pavements, periodic 34 crack sealing may be needed. For cases that conditions in their area support the use of Clay or 35 silt, the reader is referred to 'Mix Design With Low Bearing Capacity Materials' (39).

36

Design examples are presented in Figure 4.

If the frost depth is equal to 0 in. (zone A), the aggregate road design is a 3-layer pavement design. The 3 layers are as follows (top to bottom): (a) aggregate surface course (the top layer of the aggregate design); (b) subbase layer (the second layer in the aggregate design, typically with a coarser gradation than the top layer); and (c) compacted soil layer (optional layer used in the case of weak soils). Figure 4a-i shows a typical aggregate layer pavement in a nonfrost zone.

In the case of a frost zone (i.e., frost depth is greater than 0 in.) the aggregate road is a 4layer pavement design. The 4 layers are (top to bottom): (a) aggregate surface course (using crushed stone); (b) subbase layer (second layer, typically with a coarser gradation than the top layer); (c) clean soil filter (typically sand); and (d) compacted soil (optional layer used in the case of weak soils or higher levels of traffic). Figure 4a-ii shows a typical aggregate layer
 pavement in a frost zone.

Turning to the flexible design option, for the case of frost depth equal to 0 in. (zone A), the flexible road design is a 3-layer pavement design (top to bottom): (a) asphalt surface course (hot or warm mix asphalt); (b) aggregate base layer (second layer in the flexible design, typically with a coarser gradation than the surface course); and (c) crushed stone (optional layer used in the case of weak soils). Figure 4b-i shows a typical flexible pavement in a non-frost zone.

8 In the case of a frost zone (i.e., frost depth is greater than 0 in.) the flexible road is a 3-9 layer pavement design (top to bottom): (a) asphalt surface course (hot or warm mix asphalt); (b) 10 aggregate base layer (second layer in the aggregate design, typically with a coarser gradation 11 than the surface); and (c) stabilized soil (typically cement stabilized; the depth of this layer is 12 equal to the frost depth in the design location). Figure 4b-ii shows a typical flexible pavement in 13 a frost zone.

14

31

15 SUMMARY AND CONCLUSION

16 This paper developed an empirical low-volume road design guide, based on the USACE and the 17 NCSA pavement design methods. From a comparison among various low-volume road design 18 approaches applied by several states in the US, these two design guides were found to be the 19 least complex and require easily attainable input.

The proposed guide is developed based on the same principles, that is, requires minimal input that is readily available to local agencies, and is simple to use. As inputs, approximations of the daily traffic and truck percentage are used, along with the subgrade soil type and strength. Given these factors and the location of the road (identifying weather characteristics affecting the pavement structure), specific aggregate and flexible road design options are given.

The state of Indiana is presented as a case study and the specified low-volume road design options are presented. The flexibility of the proposed guide allows its use by most local agencies, and provides for the design of low-volume roads in a timely fashion.

Future direction for work in low-volume road design should involve the development of a similar guide for tropical climates or cold regions, as the presented guide is anticipated to be well suited for regions characterized by moderate climate.

32 ACKNOWLEDGEMENT

The authors would like to thank Neal Carboneau and John Habermann for their useful comments and suggestions. The contents of this paper reflect the views of the authors, who are responsible for the facts and the accuracy of the information presented herein, and do not necessarily reflect the official views or policies of the FHWA and the Indiana DOT, nor do the contents constitute a standard, specification, or regulation.

3839 REFERENCES

- 40 (1) Behrens, I. L. C. Overview of Low-Volume Roads. In *Transportation Research Record:* 41 *Journal of the Transportation Research Board, No. 1652*, Washington, D.C., 1999, pp.
 42 1–4.
- 43 (2) Muench, S. T., G. C. White, J. P. Mahoney, L. M. Pierce, and N. Sivaneswaren. Long 44 Lasting Low-Volume Pavements in Washington State. In *International Symposium on*
- 45 Design and Construction of Long Lasting Asphalt Pavements, International Society for
- 46 Asphalt Pavements, Auburn, AL, 2004, pp. 729–773.

- (3) Hall, K. D., and J. W. Bettis. *Development of Comprehensive Low-Volume Pavement Design Procedures*. Technical Report, MBTC 1070, Mack-Blackwell Rural
 Transportation Center, University of Arkansas, Fayetteville, 2000.
- 4 (4) TranSafety. *Low-volume road Safety*. Road Management & Engineering Journal, 1997.
 5 www.usroads.com/journals/rej/9702/re970204.htm. Accessed Oct. 10, 2012.
- 6 (5) Faiz, A. The Promise of Rural Roads. Transportation Research Circular No. E-C167.
 7 Washington, D.C., 2012.
- 8 (6) Asphalt Institute (AI). *Thickness Design—Asphalt Pavements for Highways and Streets*.
 9 MS-1, Manual Series No. 1, Ninth Edition, 1981.
- 10 (7) AASHTO. Guidelines for Geometric Design of Very Low-Volume Local Roads, 2001.
 11 Washington, D.C.
- (8) Habib, A., M. Hossain, R. Kaldate, R., and G. A. Fager. Comparison of Superpave and
 Marshall Mixtures for Low-Volume Roads and Shoulders. In *Transportation Research Record: Journal of the Transportation Research Board, No. 1609*, Washington, D.C.,
 15 1998, pp. 44–50.
- (9) Hall, K. D., and S. Rao. Predicting Subgrade Moisture Content for Low-Volume
 Pavement Design Using In Situ Moisture Content Data. In *Transportation Research Record: Journal of the Transportation Research Board, No. 1652*, Washington, D.C.,
 1999, pp. 98–107.
- Adams, M., K. Ketchart, A. Ruckman, A. F. DiMillio, J. Wu, and R. Satyanarayana.
 Reinforced Soil for Bridge Support Applications on Low-Volume Roads. In
 Transportation Research Record: Journal of the Transportation Research Board, No. 1652, Washington, D.C., 1999, pp. 150–160.
- (11) Tighe, S., Z. He, and R. Haas. Environmental Deterioration Model for Flexible Pavement
 Design: An Ontario Example. In *Transportation Research Record: Journal of the Transportation Research Board, No. 1755*, Washington, D.C., 2001, pp. 81–89.
- (12) Hall, K. D. Arkansas Superpave® Seminars: Model Technology Transfer Partnership. In
 Transportation Research Record: Journal of the Transportation Research Board, No. 1848, Washington, D.C., 2003, pp. 101–105.
- Titus-Glover L., J. Mallela, M. I. Darter, G. F. Voigt, and S. Waalkes. Enhanced Portland
 Cement Concrete Fatigue Model for StreetPave. In *Transportation Research Record: Journal of the Transportation Research Board, No. 1919*, Washington, D.C., 2005, pp.
 29–37.
- de Solminihac, H. E., T. Echaveguren, and S. Vargas. Friction Reliability Criteria
 Applied to Horizontal Curve Design of Low-Volume Roads. In *Transportation Research Record: Journal of the Transportation Research Board, No. 1989v1*, Washington, D.C.,
 2007, pp. 138–147.
- Wilde, W. J. Thickness Design Method for Bituminous-Stabilized Aggregate-Surfaced
 Roads. In *Transportation Research Record: Journal of the Transportation Research Board, No. 1989v1*, Washington, D.C., 2007, pp. 123–129.
- 41 (16) Saboundjian, S., and R. L. McHattie. Alaska's Dense-Graded High-Float Emulsion
 42 Surface Treatments: A New Mix Design. *In Transportation Research Record: Journal of*43 *the Transportation Research Board, No. 1989v2*, Washington, D.C., 2007, pp. 161–168.
- 44 (17) Perkins, S., and E. L. Cuelho. Mechanistic–Empirical Design Model Predictions for
 45 Base-Reinforced Pavements. In *Transportation Research Record: Journal of the*46 *Transportation Research Board, No. 1989v2*, Washington, D.C., 2007, pp. 121–128.

- (18) Dell'Acqua, G., and R. Russo. Road Performance Evaluation Using Geometric
 Consistency and Pavement Distress Data. In *Transportation Research Record: Journal of the Transportation Research Board, No. 2203*, Washington, D.C., 2011, pp. 194–202.
- (19) Celaya, M., M. Veisi, S. Nazarian, and A.J. Puppala. Accelerated Moisture Conditioning
 Process of Lime-Stabilized Clays. In *Transportation Research Record: Journal of the Transportation Research Board*, No. 2204, Washington, D.C., 2011, pp. 130–139.
- 7 (20) Praticò, F., S. Saride, and A. J. Puppala. Comprehensive Life-Cycle Cost Analysis for
 8 Selection of Stabilization Alternatives for Better Performance of Low-Volume Roads. In
 9 *Transportation Research Record: Journal of the Transportation Research Board, No.* 10 2204, Washington, D.C., 2011, pp. 120–129.
- (21) van der Merwe Steyn, W. J., and A. T. Visser. Evaluation of Sustainability of Low Volume Roads Treated with Nontraditional Stabilizers. In *Transportation Research Record: Journal of the Transportation Research Board, No. 2204*, Washington, D.C.,
 2011, pp. 186–193.
- 15 (22) Hassan, H. F., and A. A. Al-Rawas. Laboratory Evaluation of Hot-Mix Asphalt
 Containing Petroleum-Contaminated Soil as a Surface Mix for Low-Volume Roads. In
 Transportation Research Record: Journal of the Transportation Research Board, No. 2205, Washington, D.C., 2011, pp. 11–19.
- Mishra, D., E. Tutumluer, and G. Heckel. Performance Evaluation of Uncrushed
 Aggregates in Unsurfaced Road Applications Through Accelerated Pavement Testing. In
 Transportation Research Record: Journal of the Transportation Research Board, No. 2282, Washington, D.C., 2012, pp. 67–78.
- 23 (24) Yoder, E. J., and A. A. Gadallah. Design of Low Volume Roads. *Joint Highway* 24 *Research Project Report, JHRP-74-11*, Purdue University, Indiana State Highway
 25 Commission, 1974, pp. 56.
- 26 (25) National Crushed Stone Association (NCSA). Flexible Pavement Design Guide for
 27 Highways. NCSA Publications, Washington D.C., 1972.
- 28 (26) National Crushed Stone Association (NCSA). NCSA Design Guide for Low Volume
 29 Rural Roads. NCSA Publications, Washington, D.C., 1973.
- 30 (27) Burnham, T. R. Application of Dynamic Cone Penetrometer to Minnesota Department of 31 Transportation Pavement Assessment Procedures. Report No. MN/RC 97/19, Minnesota 32 Department of Transportation, St. Paul, MN, 1997.
- 33 (28) Minnesota Pavement Design Manual. Minnesota Department of Transportation, 2010.
 34 www.dot.state.mn.us/materials/pvmtdesign/manual.html. Accessed Dec. 12, 2011).
- Kim, J. R., and D. Newcomb. *Low-volume road Pavement Design: A Review of Practice in the Upper Midwest*. Mountain-Plains Consortium (MPC) Rerport, No. 91-3, 1991.
- 37 (30) Packard, R. G. Thickness Design for Concrete Highway and Street Pavements. Portland
 38 Cement Association, EB109, 1984.
- 39 www.fcpa.org/uploads/ThicknessDesignConcreteHwyStreetPavements.pdf.
 40 Oct. 10, 2011.
- 41 (31) Thickness Design for Soil-Cement Pavements. Portland Cement Association (PCA),
 42 EB068, 2001.
- 43 (32) Thickness Design of a Roller-Compacted Concrete Composite Pavement System. Portland
 44 Cement Association (PCA), PL633, 2009. Available online:
- 45 www.cement.org/bookstore/download.asp?mediatypeid=1&id=16949&itemid=PL633.
- 46 Accessed Oct. 10, 2011.

- (33) Shin, K. J., and N. Carboneau. *The Indiana Technical Assistance Program Roller Compacted Concrete Pavement Manual for Local Government Agencies*. Technical
 Report, TR-2-2010, Indiana Local Technical Assistance Program, Purdue University,
 2010.
- 5 (34) Department of the Army (DoA). *Flexible Pavements for Roads, Streets, Walks, and Open Storage Areas.* TM 5-822-5, Washington, D.C., 1980.
- 7 (35) Department of the Army (DoA). *Planning and Design of Roads, Airfields, and Heliports*8 *in the Theater of Operations--Road Design, Volume I.* Field Manual, No. 5-430-00-1,
 9 Washington, D.C., 1994. www.globalsecurity.org/military/library/policy/army/fm/5-43010 00-1/CH9.htm. Accessed Oct. 10, 2011.
- (36) American Society for Testing and Materials (ASTM). Standard Test Method for Use of
 the Dynamic Cone Penetrometer in Shallow Pavement Applications. ASTM
 D6951/D6951M-09, 2009.
- (37) Webster, S. L., R. H. Grau, and T. P. Williams. *Description and Application of Dual Mass Dynamic Cone Penetrometer*. Report GL-92-3, Department of the Army,
 Washington, D.C., 1992.
- (38) Webster, S. L., R. W. Brown, and J. R. Porter. *Force Projection Site Evaluation Using the Electric Cone Penetrometer (ECP) and the Dynamic Cone Penetrometer (DCP).*Technical Report No. GL-94-17, Air Force Civil Engineering Support Agency, U.S. Air
 Force, Tyndall Air Force Base, FL, 1994.
- (39) Gianluca Dell'Acqua, Mario De Luca, Francesca Russo, Renato Lamberti. Mix design
 with low bearing capacity materials. 2012. The Baltic Journal of Road and Bridge
 Engineering Vilnius: Technika, Vol VII, No 3, p. 204-211.

1 LIST OF FIGURES

- 2 FIGURE 1 Frost zone map for Indiana [Adopted from: Kim and Newcomb (29)].
- 3 FIGURE 2 Subgrade soil types (a) and strength categories (b).
- FIGURE 3 General specifications (a) and proposed sections for pavements with design index 1
 (b), design index 2 (c), design index 3 (d), and design index 4 (3).
- FIGURE 4 Typical aggregate (a) and flexible (b) designs in non-frost (i) and frost (ii)
 conditions.

1 LIST OF TABLES

- TABLE 1 Summary of (a) traffic input criteria, and (b) subgrade strength criteria by low-volume road design procedure .
- 4 TABLE 2 Complexity of low-volume road design procedures.
- 5 TABLE 3 Tabulated correlation of CBR versus DCP index.
- 6 TABLE 4 Design index.

Procedure -	(a) Traffic Input Criteria					
	ESAL	ADI	Ind	ex Des	ign Period	GF
AASHTO	•					
USACE	•					
NCSA	•		•			
AI	•					
California	•		•			
Minnesota (GE)		•				
Minnesota (R-Value)	•					
Mississippi	•				•	
New York	•					
Pennsylvania	•					
Vermont	•					
Virginia		•				•
Drogoduro	(b) Subgrade Strength Criteria					
Procedure	MR	CBR	Soil Type	R-Value	Frost	Drainage
AASHTO	•					
USACE		•				
NCSA		•	•		•	•
AI	•					
California				•		
Minnesota (GE)			•			
Minnesota (R-Value)				•	•	
Mississippi		٠				
New York	•				•	•
Pennsylvania		٠			•	
Vermont	•				•	
T 7' ' '						

TABLE 1 Summary of (a) traffic input criteria, and (b) subgrade strength criteria by low-1 2 volume road design procedure

ADT: Average daily traffic; CBR: California bearing ratio; ESALs: Equivalent single axle loads; GF: Growth factor; MR: Subgrade resilient modulus.

Procedure	Availability of	Complexity of Procedure		
rioceduie	Traffic	Subgrade strength	Complexity of Procedule	
AASHTO	Not readily available	Not readily available	Complex	
USACE	Available	Available	Simple	
NCSA	Available	Available	Simple	
AI	Not readily available	Not readily available	Simple	
California	Available	Not readily available	Moderate	
Minnesota (GE)	Not readily available	Not readily available	Simple	
Minnesota (R-Value)	Not readily available	Not readily available	Moderate	
Mississippi	Not readily available	Available	Simple	
New York	Not readily available	Not readily available	Complex	
Pennsylvania	Not readily available	Available	Moderate	
Vermont	Not readily available	Not readily available	Complex	
Virginia	Not readily available	Available	Moderate	

1 TABLE 2 Complexity of low-volume road design procedures

DCP (mm/blow)	DCP (in./blow)	CBR%
3	0.118	100.0
5	0.197	50.0
9	0.354	25.0
11	0.433	20.0
14	0.551	15.0
16	0.630	13.0
23	0.748	11.0
19	0.906	9.0
29	1.142	7.0
38	1.496	5.0
42	1.654	4.5
46	1.811	4.0
52	2.047	3.5
60	2.362	3.0

TABLE 3 Tabulated correlation of CBR versus DCP index

Note: 1 inch = 25.4 millimeters.

1 TABLE 4 Design index

 Traffic Volume		Truck%	
(vehicles per day)	<1%	1-10%	10%<
 <70	1	1	1
70-200	1	2	3
 201-1,000	2	3	4





FIGURE 1 Frost zone map for Indiana [Adopted from: Kim and Newcomb (29)].







FIGURE 3a General specifications.



22 FIGURE 3b Proposed sections for pavements with design index 1.



23 FIGURE 3c Proposed sections for pavements with design index 2.

D Design Index: 3 C В Frost Zone: Frost Zone: B, C, D А A CBR: Soil type: Soil type: Soil type: CBR: CBR: CBR: greater than medium high poor/weak 6-10% 10-15% less than 6% 15% strength/quality strength/quality strength/quality 1 2 3 4 3 in. asphalt surface course Stabilized soil (depth de-Flexible Road Option Flexible Road Option 11 in. aggregate base 11 in. aggregate base 9 in. aggregate base 4 in. aggregate base 9 in. aggregate base 9 in. aggregate base 6 in. aggregate base pends on frost zone 2 in. crushed stone 5 6 7 8 9 10 ST 11 4 in. aggregate surface course 6 in. aggregate surface course 4 in. aggregate surface course 5 in. aggregate surface course 12 13 Aggregate Road Option 10 in. compacted soil 14 $\frac{5}{40}$ in. compacted soil 18 in. clean soil filter 10 in. compacted soil 10 in. compacted soil 10 in. compacted soil 4 in. wearing course* using crushed stone 4 in. wearing course* 4 in. wearing course using crushed stone 8 in. clean soil filter 6 in. clean soil filter 15 16 10 in. subbase 10 in. subbase 10 in. subbase 5 in. subbase 4 in. subbase 6 in. subbase 6 in. subbase 17 18 19 22

23 FIGURE 3d Proposed sections for pavements with design index 3.

D Design Index: 4 C В Frost Zone: Frost Zone: B, C, D А А CBR: Soil type: Soil type: Soil type: CBR: CBR: CBR: greater than medium high poor/weak less than 6% 6-10% 10-15% 15% strength/quality strength/quality strength/quality 1 2 3 4 4 in. asphalt surface course 4 in. asphalt surface course 3 in. asphalt surface course 4 in. asphalt surface course 4 in. asphalt surface course 3 in. asphalt surface course 3 in. asphalt surface course Stabilized soil (depth de-Flexible Road Option Flexible Road Option 10 in. aggregate base 10 in. aggregate base 13 in. aggregate base 13 in. aggregate base 10 in. aggregate base 6 in. aggregate base 4 in. aggregate base pends on frost zone 2 in. crushed stone 5 6 7 8 9 10 ST 11 4 in. aggregate surface course 4 in. aggregate surface course 7 in. aggregate surface course 5 in. aggregate surface course 12 13 Aggregate Road Option 11 in. subbase P1 in. compacted soil 11 in. compacted soil 14 20 in. clean soil filter 11 in. compacted soil 10 in. clean soil filter 11 in. compacted soil 11 in. compacted soil 4 in. wearing course* 4 in. wearing course* 4 in. wearing course* using crushed stone using crushed stone 8 in. clean soil filter 15 16 11 in. subbase 11 in. subbase 6 in. subbase 4 in. subbase 6 in. subbase 6 in. subbase 17 18 19

23 FIGURE 3e Proposed sections for pavements with design index 4.



18 *Using crushed stone.

- 19 FIGURE 4 Typical aggregate (a) and flexible (b) designs in non-frost (i) and frost (ii)
- 20 conditions.
- 21