



# Overview

#### • mood: the next hurrah/final frontier

- data (i): the Yucatec status system
- theory (i): aspect and realization
- analysis (i): the idea in a nutshell
- data (ii): uses of the subjunctive
- theory (ii): possibilistic situation semantics
- analysis (ii): toward a formalization
- comparison to other approaches
- conclusions

#### mood: the next hurrah/final frontier (cont.)

- goals: theoretical
  - account for the semantics of subjunctive and irrealis mood in at least one language
  - explain why viewpoint aspect and mood are conflated in a single functional category in Mayan languages
    - and why their expressions are more generally frequently paradigmatically related across languages
  - clarify and further develop the notion of event realization (Bohnemeyer & Swift 2004)

# mood: the next hurrah/final frontier

I know what you're thinking about,' said Tweedledum; 'but it isn't so, nohow.'

'Contrariwise,' continued Tweedledee, 'if it was so, it might be; and if it were so, it would be; but as it isn't, it ain't. That's logic.'

(Lewis Carroll, Through the Looking Glass)

#### · goals: descriptive

- sketch the semantics of the so-called status system of Yucatec Maya
- status: a functional category of the Mayan verb (Kaufman 1992)
  - conflates notions of viewpoint aspect, mood, illocutionary force; also sensitive to properties of argument structure, voice

#### mood: the next hurrah/final frontier (cont.)

- goals: theoretical (cont.)
  - unified theories of tense and aspect are a reality
    Kamp & Reyle 1993; Klein 1994
  - the next hurrah/final frontier:
  - a unified theory of tense, aspect, and mood?

mood: the next hurrah/final frontier (cont.)

- goals: theoretical (cont.)
  - existing accounts
    - Roberts 1989: 'modal subordination'
    - Farkas 1992: verbs w/ indicative complements describe single worlds; verbs w/ subjunctive complements sets of worlds
    - Portner 1997: mood constrains 'conversational force', understood in terms of modal accessibility
    - latridou 2000: compositional semantics of counterfactuals
    - Krifka 2011: mood in Daakie (Oceanic; Vanuatu) as presuppositional tense in branching-future models

mood: the next hurrah/final frontier (cont.)

- goals: theoretical (cont.)
  - relating mood to modality
    - Roberts 1989; Farkas 1992; Portner 1997
  - relating mood to viewpoint aspect
    - latridou 2000; the present proposal
  - relating mood to tense
    - Krifka 2011

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#### Data (i): the Yucatec status system

- status marking: subcategories
  - every verb form, finite or not, that projects a core must be marked for exactly one of five subcategories

Table 1. The five status subcategories of Yucatec

Status category	Distribution							
	Matrix clauses	Finite subordinate clauses	Nonfinite verbal projections					
Completive	Perfective aspec	t	N/A					
Incompletive	Imperfective aspect	Imperfective aspect; purpose clauses	Gerunds; complements of predicates expressing aspectual, causal, modal, and event perception meanings; intensional complements of intransitive predicates of fear, desire, attempt; intransitive complements in the motion-cum- purpose construction					
Subjunctive	'Insubordinate' (Evans 2007) jussives	Irrealis clauses; counterfactual conditional antecedents	Intensional complements of transitive predicates of fear, desire, attempt; transitive complements in the motion- cum-purpose construction; complements with projective and counterfactual contents					
Imperative	Imperative sentences	N/A	N/A					
Extra-focal	N/A	Background clause in manner focus constructions w/ perfective aspect	N/A					

# Data (i): the Yucatec status system

#### status marking: example

- (2.1) a. Perfective aspect completive status
  - T-in=mèet-ah le=nah=o' PRV-A1SG=do:APP-CMP(B3SG) DEF=house=D2 'I built the house'
  - b. Progressive aspect incompletive status
  - Táan
     in=mèet-ik
     le=nah=o'

     PROG
     A1SG=do:APP-INC(B3SG)
     DEF=house=D2

     '1 am/was/will be building the house'
  - c. Remote future subjunctive status Bíin in=mèet-Ø le=nah=o' REMF A1SG=do:APP-SUBJ(B3SG) DEF=house=D2 'It is/was/will be a long time before I build the house'

# Data (i): the Yucatec status system

- status marking: allomorphy
  - the status suffixes come in allomorphs that distinguish verb (stem) class and voice

Table 2. Status polysemy and verb stem classes

status	incompletive	completive	subjunctive	extra-focal	imperative
stem class					
active	-Ø	-nah	-nak	-nahik	-nen
inactive	-VI	-ø	-Vk	-ik	-en
inchoative	-tal	-chah	-chahak	-chahik	N/A
dispositional	-tal	-lah	-l(ah)ak	-lahik	-len
transitive	-ik	-ah	-Ø / -eh	-ahil	-Ø / -eh
active					
passive	\'/VI	\'/ab	\'/Vk	\'/ik	N/A
	/ -a'l	/ -a'b	/ - a'k	∕ −a′bik	

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## Theory (i): aspect and realization

- framework: Klein's (1992, 1994, etc.) aspect theory
  - a dynamic model of what a discourse is "about"
    - · it is possible to some extent to model the meanings of utterances in a discourse as contributing to a question an implicit or explicit question
    - Klein & von Stutterheim 1987, 2002: quaestio
    - Roberts 1996, 2012; Simons et al 2010:
    - auestion under discussion (OuD)

(3.1) a.

b.

c. d.

g.

g

f

e

d

с

b

- · only propositions that contribute to the QUD are at issue
- all other propositions are presupposed or backgrounded

Theory (i): aspect and realization (Cont.)

What did you notice when you entered the room?

- limits: non-constative/representative speech acts;
- directives other than questions

A man was lying on the floor. He was Chinese or Japanese.

A woman was bending over him.

She was taking a purse from his pocket. She turned to me. (Klein 1994: 39-40)

 $\lambda s_7$ .turn'( $s_7$ ) &  $\tau(s_7) \subset t_{top}$ 

 $\lambda s_6.take'(s_6) \& t_{top} \subset \tau(s_6)$ 

 $\lambda s_5$ .bend'( $s_5$ ) &  $t_{top} \subset \tau(s_5)$ 

 $\lambda s_2$ .lie'(s<sub>2</sub>) &  $t_{top} \subset \tau(s_2)$ 

 $t_{top} = \tau(s_1)$ 

 $\lambda s_1.enter'(s_1)$ 

 $\neg \lambda s_{a}$ .move'( $s_{a}$ ) &  $\tau(s_{a}) \subseteq t_{tor}$ 

 $\lambda s_3.be_chinese'(s_3) \ V \ be_japanese'(s_3) \& t_{top} \subset \tau(s_3)$ 

time

Figure 4. Temporal structure of (3.1)

He did not move.

Theory (i): aspect and realization (Cont.)

- framework: Klein's aspect theory (cont.)
  - every (finite) clause is interpreted
  - with respect to the **topic time**  $t_{top}$
  - t<sub>top</sub> is established by the QuD
  - $t_{top}$  constrains the time for which a proposition is asserted or questioned, etc.
  - t<sub>top</sub> is related to
    - the run time  $\tau(s)$  of the a situation s described by a predicate by viewpoint aspect
    - utterance time t<sub>u</sub> by tense

Theory (i): aspect and realization (Cont.)

hence the imperfective paradox (Dowty 1979: 133)

(3.1')a. What did you notice when you entered the room?

- e. A woman was bending over him. . A woman bent over him
- f. She was taking a purse from his pocket. not ∴ She took a purse from his pocket



Theory (i): aspect and realization (Cont.) realization: Bohnemeyer & Swift 2004

- explanandum: telicity-dependent viewpoint aspect interpretation of zero-marked verb forms
  - in German, Inuktitut, Russian
  - as opposed to dynamicity-based interpretations
- in English and Ewe explanans: event realization
- zero-marked forms are used to describe realized events by entailment in Russian
- and by Gricean stereotype (Q2) implicature in German
- realization ≈ culmination in Parsons 1990
- realization depends on the telicity of the event predicate
  - atelic predicates may be instantiated by realized events under imperfective aspect; telic predicates require perfective for realization

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# Analysis (i): the idea in a nutshell

- viewpoint aspect constrains the extent to which the **topic situation** realizes a certain situation type
  - perfective: the topic situation includes a situation of the relevant kind
  - imperfective: the topic situation is included in a situation of the relevant kind

Analysis (i): the idea in a nutshell (cont.)

- mood constrains realization of a situation of a given kind inside vs. outside the topic situation
  - realis/indicative: constrains factual realization
     that is, at-issue realization in a factual topic situation
  - irrealis/subjunctive: constrains non-factual realization
    - Type A: non-factual realization of a situation that extends a factual topic situation
    - Type B: realization in a non-factual topic situation
    - Type C: non-at-issue realization
    - Type D: non-realization during an extended topic time interval

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# Data (ii): uses of the subjunctive

- the various contexts of the subjunctive can be sorted into four types
  - Type A: non-factual realization extending a factual topic situation
    - occurs with intensional complements of predicates of fear, desire, attempt; in the 'motion-cum-purpose' construction;
       and with the counterfactual preverbal mood marker *óolak*

#### (5.1) Attempt

 Leedoktòor=o',
 t-u=ts'a'-ah
 u=báah

 DEF=doctor=D2
 PRV-A3=put-CMP(B3SG) A3=self

 u=ts'ak-Ø
 le=pàal=o'

 [A3=cure-SUBJ(B3SG) DEF=child]=D2
 The doctor tried to cure the child'

#### Data (ii): uses of the subjunctive (cont.)

- Type A: non-factual realization extending a factual topic situation (cont.)
- (5.2) *Fear*

```
        Sahak-en
        in=tsikbat-Ø
        le=kwèento=o'

        afraid-B1SG
        [A1SG=talk:APP-SUBJ(B3SG)
        DEF=tale]=D2

        'I am/was/will be afraid to tell the story'
```

- (5.3) Motion-cum-purpose (Aissen 1987: 16-17; Zavala Maldonado 1993) Le=pàal=o', h-tàal u=ch'a'-Ø le=ta'kin=o' DEF=child=D2 PRV-come(B3SG) [A3=take-SUBJ(B3SG) DEF=money=D2] 'The child, (s)he came to collect/withdraw/take the money'
- a wrinkle: intransitive complements of the same predicates appear in the incompletive

   w/ the set-A ("ergative") marker deleted – these are nominalizations <sup>24</sup>

Data (ii): uses of the subjunctive (cont.)

- Type A: non-factual realization extending a factual topic situation (cont.)
- (5.4) Countefactual mood óolak 'almost' Óolak in=mèet-⊘ le=nah=o' CF A1SG=do:APP-SUBJ(B3SG) DET=house=D2 '1 (will have/had) almost built the house'

Data (ii): uses of the subjunctive (cont.)
- Type A: non-factual realization
extending a factual topic situation (cont.)
(5.2)
Fear
Sahak-en in=tsikbat-Ø le=kweento=0'
afraid=B1SG [A1SG=talk:APP-SUBJ(B3SG) DEF=tale]=D2
'1 am/was/will be afraid to tell the story'



#### Data (ii): uses of the subjunctive (cont.)

– Type A: non-factual realization

extending a factual topic situation (cont.) • a related use: 'insubordinate' (Evans 2007) jussives

- (5.5) a. Káa séeb+uts-chahak-ech!
  - SR fast+good-**INCH.SUBJ**-B2SG 'Speedy recovery (lit. may you get well quickly)!'
  - b. Káa in=k'al-eh?
     SR A1SG=lock-SUBJ(B3SG)
     'Do you want me to lock it (lit. (Do you want))
  - that I lock it)?'
     formally these resemble intensional complements
  - of ellipsed matrix predicates
  - but their semantics has illocutionary force aspects
  - » cf. Devlin 2006; Portner 1997

#### Data (ii): uses of the subjunctive (cont.)

- Type B: realization in a non-factual topic situation (cont.)

(5.7) Future-time reference

 Kéen
 ka'=sùunak-ech
 t-u=láak'
 ha'b=e' (...),

 SR:IRR REP=turn\ATP:SUBJ-B2SG
 PREP-A3=other year=TOP

 táantik
 in=mèet-ik
 le=nah=o'.

 IMM
 A1SG=do:APP-INC(83SG)
 DEF=house=D2

 '(When) you return here next year, I will have just build the house.'

#### (5.8) Habitual/generic reference

Le=kéen k=ts'a'-Ø túun he'l=a', DET=[5R.IRR AIPL=put-SUBJ(83SG) so.then PRSV]=D1 u=K'àaba'=e', ka'nal+pàach+nah. A3=name=TOP high+back+house 'So then the (one) we put here, as for its name, (it is) ka'nal pàach nah' Data (ii): uses of the subjunctive (cont.)

 Type B: realization in a non-factual topic situation
 occurs with counterfactual conditional antecedents and irrealis subordinate clauses

 which have future-time or habitual/generic reference

#### (5.6) Counterfactual conditionals

[Context: I'm not allowed to vote in the upcoming local election, since I'm not a Mexican Citizen.]

Pero	wáahkáa bèey-lak	in=bóotare',

 but
 ALT
 SR
 like.this-INCH.SUBJ(B3SG)
 A1SG=vote

 hi'n=bóotar-t-ik
 Pablo=e'.

 ASS:A1SG=vote-APP-INC(B3SG)
 Pablo=D3

'But if I were able to vote, I'd vote (for) Pablo.'

#### Data (ii): uses of the subjunctive (cont.)

- Type B: realization in a non-factual topic situation (cont.)





Data (ii): uses of the subjunctive (cont.)

Type C: non-at-issue realization
occurs with 'degree-of-remoteness' predicates

#### (5.9) Degrees of remoteness ('metrical tense') markers

 Ma'
 sáam
 sùunak
 le=kòombi=o';...

 NEG
 REC
 turn\ATP:SUBJ(B3SG)
 DET=van=D2

 'It's not a while ago that the bus returned;...'

- a. ...inw=a'l-ik=e', h-ts'o'k mèedya òora. A1SG=say-INC(B3SG)=TOP PRV-end(B3SG) half hour '...I think it was half an hour ago.'
- b. ??...tuméen ma' sùunak=i'. CAUSE NEG turn\ATP:SUBJ(B3SG)=D4 '...because it hasn't returned yet.'

Data (ii): uses of the subjunctive (cont.)

- Type C: non-at-issue realization (cont.)

#### (5.9) Degrees of remoteness ('metrical tense') markers



Data (ii): uses of the subjunctive (cont.)

#### – Type D: non-realization

during an extended topic time interval

#### (5.10) a. Negation with perfective aspect

Domìingo-ak=e' ma' h-hàats'-nah-en=i' Sunday-ak-TOP NEG(B3SG) PRV-beat\ATP-CMP-B1SG=D4 'Last Sunday, I did not bat (lit. beat).'

#### b.Negation with perfect aspect 'not yet' interpretation

Tèen=e' tak be'òora=a' ma' hàats'-nak-en=i' me=TOP even now=D1 NEG(B3SG) beat\ATP-SUBJ-B1SG=D4 'Me, up to now, I have not yet batted (lit. beaten)' Data (ii): uses of the subjunctive (cont.)

Type D: non-realization

during an extended topic time interval (cont.)

#### (5.10) Negation with perfect aspect 'not yet' interpretation



#### Data (ii): uses of the subjunctive (cont.)

- comparing subjunctives across languages
  - grammarians assign the label 'subjunctive' primarily based on syntactic distribution
    - a mood that occurs predominantly
    - in dependent clauses or verb forms
  - it is not obvious that there is a single semantic prototype
     shared by all or most of the functional categories that have been called 'subjunctives' in descriptions across languages
  - however, I argue that there are two semantic notions associated with the Yucatec subjunctive
    - which are worth looking into for wider crosslinguistic currency
  - these are the notions
  - of non-realization and non-at-issueness

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### Theory (ii): Possibilistic situation semantics

- Kratzer's (1989, 1990, 1998, 2002) blend of situation semantics and possible world semantics
- basic ingredients (cf. Kratzer 1989: 615-616)
  - a domain  $D_s$  of possible situations
  - a mereological relation  $\leq_s$  among situations defining a partial ordering on  $D_s$
  - a subset D<sub>w</sub> of D<sub>s</sub>, the set of possible worlds, defined as mereologically maximal situations

Theory (ii): Possibilistic situation semantics

#### • basic ingredients (cont.)

• additions

(6.6)

- a set of propositions defined as the power set p(D<sub>s</sub>)
   propositions may be true in situations, but the logical relations such as entailment and equivalence are defined over worlds
- (6.1) **Truth:** A proposition  $p \in \mathcal{P}(D_s)$  is true in a situation  $s \in D_s$  iff  $s \in p$ .
- (6.2) Entailment: A set of propositions A ⊆ p(D<sub>y</sub>) entails a proposition p ∈ p(D<sub>y</sub>) iff w ∈ ∩A → w ∈ p for any w ∈ D<sub>w</sub>.

Theory (ii): Possibilistic situation semantics

(6.3) **Equivalence:** Two propositions  $p, q \in \mathcal{P}(D_s)$  are equivalent iff  $p \cap D_w = q \cap D_w$ .

- a domain  $D_i$  of time intervals

among situations

- the temporal trace function  $\tau: D_s \rightarrow D_1$ 

- situation "grow" into the future

 $\forall s \in \mathsf{D}_{\varsigma}.\tau(s_{u/r}) <_{t} \tau(s) \rightarrow \neg(s \leq_{\varsigma} w_{u/r})$ 

No future in this world:

translating Klein's approach

which maps situations to their run times

- a mereological complement/difference relation

•  $s_a \setminus s_b$  denotes those parts of  $s_a$  that are not parts of  $s_b$ 

and  $w_{u/r}$  the utterance world or a reference world.

Theory (ii): Possibilistic situation semantics

where  $s_{u/r}$  is the utterance situation or a reference situation

#### Theory (ii): Possibilistic situation semantics

facts as "worldly particulars"

– cf. Kratzer (2002: 660)

# (6.4) Minimal situation in which a proposition is true: A possible situation s is a minimal situation in which a proposition p is true iff s ∈ p & ¬∃s'. s' <<sub>s</sub> s & s' ∈ p.

(6.5) Fact exemplifying a proposition: A possible situation *s* is a fact exemplifying a proposition *p* iff  $\forall s' \in D_s.(s' \leq_s s \& s' \notin p) \exists s''.s' \leq_s s'' \leq_s s$ and *s''* is a minimal situation in which *p* is true.

 exemplifying facts are situations that lack "irrelevant details"

 Kratzer shows that this notion is useful in modeling the semantics of know and of counterfactuals

#### Theory (ii): Possibilistic situation semantics

- additions (cont.)
  - the future is non-factual





а	topic	situa	tio	n in	the	ser	nse o	f Aust	in 1950	)
(6.0)										

(6.8)	At issue status: In context <i>c</i> of any discourse, any utterance that is at issue adds a question to the QUD <sub>c</sub> stack or resolves one in it.		
(6.9)	<b>Topic situation:</b> If $p \in p(D_s)$ is an at-issue proposition in context $c$ , then $s_{topc} \in p$ , where $s_{topc}$ is the topic situation of $c$ .		
<ul> <li>the topic time is the run time of the topic situation</li> </ul>			
(6.10)	Topic time in context <i>c</i> : $t_{topc} := \tau(s_{topc})$		

# Subjunctive mood as non-realization viewpoint aspect in Mayan

Theory (ii): Possibilistic situation semantics

- translating Klein's approach (cont.)
  - mereological definitions of the aspects
    - perfective: the described event is a part of the topic situation
- (6.11) **Perfective:**  $\llbracket PRV \rrbracket^c = \lambda P. \exists s.s \leq_s s_{topc} \& P(s)$ 
  - imperfective: the topic situation is a proper part of the described event

(6.12) Imperfective:  $\llbracket IMPF \rrbracket^c = \lambda P. \exists s. s_{topc} <_s s \& P(s)$ 

Theory (ii): Possibilistic situation semantics

- realization the basic idea
  - define realization
     via facts exemplifying the description
  - restrict the introduction of new facts to facts that are part of the topic situation

Theory (ii): Possibilistic situation semantics

realization – implementing the idea

(6.13) **Realization:** An event predicate *P* is realized in a given situation  $s \in D_s$  iff *s* has a part  $s' \leq_s s$  that instantiates *P* and thereby exemplifies *P*(*s*).

- via (6.6), s must be a part of the utterance world
- via (6.9), a fact that realizes a given event description can only be introduced as a part of the topic situation
- via (6.11), perfective aspect entails realization
- via (6.12), imperfective aspect entails realization with atelic, but not with telic, predicates
- (6.6)-(6.7) & (6.13) do not preclude speakers from talking about future topic situations
   they merely render such talk non-factual

# Analysis (ii): toward a formalization

- completive status
  - the completive status is simply a perfective aspect
  - expressed redundantly in the preverbal and the suffixal slot
     perfective aspects are inherently
  - realis = *realization* moods

## (7.1) **Completive status:** $\llbracket CMP \rrbracket^c = \lambda P. \exists s.s \leq_s s_{topc} \& P(s)$

- incompletive status
  - incompletive verb forms are aspectually imperfective
  - they entail realization with atelic descriptions only and thus do not specify mood

(7.2) **Incompletive:**  $\llbracket INC \rrbracket^c = \lambda P. \exists s. s_{topc} <_s s \& P(s)$ 

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#### Analysis (ii): toward a formalization

- subjunctive status
  - the subjunctive is an irrealis = non-realization mood
  - it confines realization of the event predicate
    - either to the outside of the topic situation (Type A, C, D)
    - or to a topic situation that is not part of the utterance world (Type B)
  - in the following, these four uses are treated as involving polysemous senses of the subjunctive

# Subjunctive mood as non-realization viewpoint aspect in Mayan

# TLS 16, 2/20/16







Analysis (ii): toward a formalization



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# Comparison to other approaches

- recap: theoretical approaches to mood
  - relating mood to modality
    - Roberts 1989; Farkas 1992; Portner 1997
  - relating mood to viewpoint aspect
    - latridou 2000; the present proposal
  - relating mood to tense
    - Krifka 2011

Comparison to other approaches (cont.)

- Krifka's (2011) analysis of mood in Daakie
  - doesn't carry over to Yucatec because
    - realis/indicative clauses in Yucatec do negate
    - projective complements are subjunctive in Yucatec
    - not realis/indicative, as in Daakie

Comparison to other approaches (cont.)

- latridou's (2000) proposal
  - counterfactual conditionals are asserted over topic worlds that exclude the utterance world
- the Yucatec evidence
  - latridou's analysis predicts correctly the occurrence of the Yucatec subjunctive in counterfactuals
    - given the semantics of the subjunctive proposed above 8.1) Pero wáab káa bèev-lak in=bóotare'.
  - (8.1) Pero wáah káa bèey-lak in=bóotare' but ALT SR like.this-INCH.SUBJ(B3SG) A1SG=vote 'But if I were able to vote,' hi'n=bóotar-t-ik Pablo=e'. ASS:A1SG=vote-APP-INC(B3SG) Pablo=D3

'I'd vote (for) Pablo.'

#### Comparison to other approaches (cont.)

- except latridou suggests that the element of counterfactuality is not contributed by the subjunctive
  - but by past tense morphology
- in latridou's language sample
  - subjunctives only occur in counterfactual antecedents in languages that distinguish past and non-past subjunctives
- Yucatec counterfactuals contradict latridou's generalization
  - Yucatec is a tenseless language (Bohnemeyer 1998, 2000, 2002, 2009)
- (8.2) wáah káa bèey-lak in=bóotare'
  - ALT SR like.this-INCH.SUBJ(B3SG) A1SG=vote
  - 1. 'if I were able to vote'
  - 2. 'if I would have been able to vote' (constructed)

# Overview

- mood: the next hurrah/final frontier
- · status marking in Yucatec
- · uses of the subjunctive
- Klein's aspect theory
- possible situation semantics
- realization
- analysis
- counterfactuals

summary

#### Comparison to other approaches (cont.)

- an alternative route to counterfactuality?
  - on the analysis sketched here, there may be an alternate typological route to counterfactual meanings
    - not in terms of the tense-like relation between topic world and utterance world, as per latridou's analysis
    - but in terms of the aspect-like relation between topic world and realization

# Summary

- main points argued
  - two semantic notions associated with the Yucatec subjunctive
    - non-realization and non-at-issueness
  - possible unified account via the notion of realization
     formalized here
  - in the framework of possibilistic situation semantics – the Yucatec subjunctive emerges
  - as a kind of inverse perfective aspect
  - on this account, viewpoint aspect and mood capture different relations among the same variable
  - this conceptually similarity may explain why they are expressed in a single morphological paradigm in Mayan

Subjunctive mood as non-realization viewpoint aspect in Mayan

