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## **Overview**

- intro: the argument
- the lexical analysis of FoRs
- the indexical analysis of FoRs
- Vector Space Semantics
- FoRs in VSS
- FoRs in Yucatec
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- summary

## Intro: the argument

- two kinds of *place functions* (Jackendoff 1983)
- i.e., functions from reference entities into regions
   topological (Piaget & Inhelder) perspective=frame-free

   means in practice independent of the orientation of the ground, the observer, and the figure-ground array (the configuration)



Figure 1. Some configurations that might be described in terms of topological place functions

- (1.1) The apple is on the skewer
- (1.2) The band aid is on the shin
- (1.3) The earring is in the ear (lobe)



- projective –framework-dependent

   the place function returns a region defined in a coordinate system centered on the reference entity
  - the axes of the coordinate system are derived from an anchor
    - » in intrinsic frames, the anchor is the reference entity
    - » in **relative** frames, it is the body of an observer
    - » in absolute frames, it is some environmental entity/feature





Figure 2. The three types of spatial FoRs distinguished in Levinson 1996, 2003



### Intro: the argument (cont.) great amount of crosslinguistic variation



Figure 4. Reference frame use in small-scale horizontal space across languages (Bohnemeyer & Levinson ms.)

# 3

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### Intro: the argument (cont.)

- but what do semanticists have to say about FoRs?
  - Levinson (1996, 2003): lexical-semantic analysis
    - treats FoRs as part of the meanings of the relators
  - my objectives today
    - show that the lexical analysis is inadequate
    - argue that all projective relators are indexicals – correcting and generalizing the classic analysis by Bühler 1934
    - develop a model-theoretic treatment in the framework of Zwarts & Winter's (2000) Vector Space Semantics
    - apply this treatment to the analysis of FoRs in Yucatec
    - sketch extensions of the analysis to landmark-based FoRs and orientation descriptions in Yucatec
      - which have received little attention in the literature heretofore

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## The lexical analysis of FoRs

- Levinson (1996, 2003)
  - frames are a lexical property of spatial relators
  - the anchor is a semantic argument of extrinsic relators, but not of intrinsic ones
    - intrinsic relators are binary, relative ones are ternary
  - Levinson's definitions
    - R the meaning of the spatial relator
    - F the figure the entity to be located/oriented
    - G a reference entity or **ground**
    - $\bullet$  X the origin of the coordinate system
    - A an anchor point
    - V the viewpoint of an observer
    - S ""Slope' of a fixed bearing system, with infinite parallel lines across environment (Levinson 2003: 39)

#### The lexical analysis of FoRs (cont.)

"An intrinsic spatial relator R is a binary spatial relation, with arguments F and G, where R typically names a part of G. The origin X of the coordinate system is always on the volumetric center of G. An intrinsic relation R(F, G) asserts that F lies in a search domain extending from G on the basis of an angle or line projected from the center of G, through an anchor point A (usually the named facet 'R'), outwards for a determined distance." (Levinson 2003: 42-43)

"A relative relator R expresses a ternary spatial relation, with arguments V, F and G, where F and G are unrestricted as to type, except that V must be centered on an observer and V and G must be distinct. The primary coordinate system always has its origin on V; there may be a secondary coordinate system with origin on G." (Levinson 2003: 47)

"An absolute relator R expresses a binary relation between F and G, asserting that F can be found in a search domain at the fixed bearing R from G. The origin X of the coordinate system is nearly always centered on G, and the system of terms anchored by reference to a conceptual 'Slope' S." (Levinson 2003: 50)

- absolute relators are treated as binary b/c the environmental "slope" argument S is assumed to be fixed
- S, V and A are all treated as properties
- of the anchor in the analysis developed below

#### The lexical analysis of FoRs (cont.)

- problem I: ambiguity
  - intrinsic and relative descriptions are systematically ambiguous between the two interpretations
    - Bühler 1934 : 127-132; Fillmore 1997: 66-67; Miller & Johnson-Laird 1976: 394-405; Levelt 1984 *inter alia*



The ball is in front of the chain The ball is left of the chair

Figure 5. Truth conditions of intrinsic and relative descriptions of Ball & Chair 3.9 (left) and 3.12

The lexical analysis of FoRs (cont.)

- Levinson's account entails that this is polysemy
- this seems implausible *prima facie* in view of the fact that the pattern is apparently w/o exceptions
- moreover, it fails standard polysemy tests
  - (2.1) (uttered wrt Figure 6) does not seem *zeugmatic*
- (2.1) The ball is in front of both chairs. It is relatively in front of the first chair and intrinsically in front of the second



Figure 6. Ball & Chair 3.9 (left) and 3.12

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The lexical analysis of FoRs (cont.)

- (2.2) and (2.3) seem to be contradictory
- (2.2) # The ball is not in front of the picture, it's between the observer and the picture
- (2.3) # The ball is not in front of the picture, it's near the intrinsic front of the picture



- (2.4) That's not a cow, that's a bull
- the same argument can be advanced for the geocentric family of frames
  - where similar ambiguities arise b/w geomorphic or landmark-based and abstract absolute interpretations

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Figure 6

Ball & Chair 3.9

(top) and 3.12

### The lexical analysis of FoRs (cont.)

- problem III: compositionality
  - functors of different arity fulfilling the same semantic function creates a headache
    - for compositional treatments
    - requiring fixes via type raising operations
  - all spatial relators are standardly assumed to be binary regardless of reference frame
    - in both mentalist (Jackendoff 1983) and model-theoretic treatments
      - e.g., Kracht 2002, Zwarts 2005, Zwarts & Winter 2000
  - this suggests that reference frames are not a part of the meaning of spatial relators
    - calling for a compositional and/or pragmatic analysis of the interaction b/w relators and frames

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### The lexical analysis of FoRs (cont.)

- problem II: logic
  - if relative relators are ternary, so are intrinsic ones
    both designate regions defined wrt. grounds
    - in a reference frame derived from an anchor
    - the anchor is the observer's body in the case of relative interpretations and the ground itself in intrinsic ones
    - but logically, anchor and ground are distinct semantic argument positions even in intrinsic descriptions

       they just happen to be filled by descriptors of the same entity
  - the same goes for absolute relators
    - just because the anchor is in this case invariant does not mean its role in the semantics of the relator is different

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## The indexical analysis of FoRs

 that *relative* relators are indexicals has been known since at least Bühler 1934

No.

Din wein eines von den de al. Onenderten Eleveresen, hannen der Mensch, den Mund auftut und deiktisch zu sprechen anfängt, so sagt er z.B. dortt muß der Bahnhof sein, und nimmt dabei vorübergehend die Haltung eines Wegweisers an. Das Lexikon von Wörtern, die aus demselben Orientiertsein ihre Feldwerte erhalten, ist mit dem dort noch keineswegs erschöpft. Wenn derselbe Mensch Wörter wie vorn-hinten, rechts-links, oben-unten verwendet, so wird eine neue Tatsache offenbar, die Tatsache nämlich, daß er in Relation zu seiner optischen Orientierung auch seinen Körper verspührt und zeigend einsetzt."

"Und wenn eines von den derart orientierten Lebewesen, nämlich der



And when one of the creatures so oriented, man, opens his mouth and begins to speak deictically, he says, e.g., *there! must be the train station*, and temporarily assumes the bearing of a signpost. The lexicon of words that derive their field values from the same sense of orientation is by no means exhausted with *there*. When the same person uses words such as *front-back*, *right-left*, *above-below*, a new fact is revealed, namely the fact that he senses his body in relation to his optical orientation as well and uses it for pointing.' (Bühler 1934: 129; emphasis and translation *JB*)

The indexical analysis of FoRs (cont.)

the false equation of deixis with egocentricity
 Bühler's notion of indexicality – deixis –

is inherently egocentric

"Zwei Striche auf dem Papier, die sich senkrecht schneiden, sollen uns ein Koordinatensystem andeuten, O die Origo, den Koordinatenausgangspunkt [cf. Figure 8; JB]. Lich behaupte, daß drei Zeigwörter an die Stelle von O gesetzt werden müssen, wenn dies Schema das Zeigfeld der menschlichen Sprache repräsentieren soll, nämlich die Zeigwörter hier, jetzt, und ich."



'Two lines on the paper, intersecting at right angles, shall stand for a coordinate system and O for the origin, the coordinates of the starting point [cf. Figure 8; *JB*]. I argue that three deictic words must take the place of O if this scheme is to represent the deictic field of human language, namely the deictic words *here*, *now*, and *I*.' (Bühler 1934: 102; emphasis and translation *JB*)

- this may have prevented him from realizing that allocentric relators are just as indexical
- the literature building on Bühler subsequently codified the false equation deictic/indexical = egocentric
  - cf. Fillmore [1971/1975] 1997: 66-67; Miller & Johnson-Laird 1976: 394-405; Levelt 1984 *inter alia*

The indexical analysis of FoRs (cont.) • Bühler meets Kaplan: the indexicality of projective relators

- Kaplan (1989) defines indexicality in terms of two
- properties: context-dependence and character

"What is common to the words or usages in which I am interested is that the referent is dependent on the context of use and that the meaning of the word provides a rule which determines the referent in terms of certain aspects of the context. The term I now favor for these words is 'indexical'." (Kaplan 1989: 490; emphasis *JB*)

"The second kind of meaning, most prominent in the case of indexicals, is that which determines the content in varying contexts. The rule,

'I' refers to the speaker or writer

is a meaning rule of the second kind. ... Let us call the second kind of meaning, *character*. The character of an expression is set by linguistic conventions and, in turn, determines the content of the expression in every context." (Kaplan 1989: 505; emphasis *JB*)



The indexical analysis of FoRs (cont.) "Just as it was convenient to represent contents by functions from possible

possible contexts extensions possible contexts contents Figure 9. Kaplan's theory schematically

- the context-dependence of projective relators
   every use of a projective relator
- requires the selection of an **anchor** from the context the character of projective relators
- can be understood as a constraint the relator imposes on possible anchors

frame type	constraint on anchor	example	illustration
intrinsic	the ground	The ball is in front of the chair	
relative	the body of an observer (speaker, addressee, or generic)	The ball is right of the chair	F F R
geomorphic	a salient environmental entity/feature	The ball is downriver of the chair	
landmark- based	_	The ball is mountainward of the chair	
absolute	(abstracted from) a salient environmental entity/feature	The ball is downriver of the chair	N.

The indexical analysis of FoRs (cont.)

Figure 10. Frame type and anchor selection

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The indexical analysis of FoRs (cont.)

- the anchor and the speech situation
  - the role of the anchor in the semantics of projective relators corresponds to
    - the role of speaker and addressee in person deixis
    - the location of speaker and addressee in demonstratives
    - the time of utterance in temporal deixis
  - the anchor is part of the *deictic center*
  - possible objection:
    - there is no *unique* anchor in any situation
  - response: "real-world" situations do not contain unique speakers and addressees either
    - but every utterance has a unique speaker and addressee
    - in the same vein, every projective spatial description has a unique anchor

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## Vector Space Semantics

- the framework of choice for implementing the indexical analysis: Vector Space Semantics – VSS; Zwarts & Winter 2000
  - the key advantage of VCC west
- the key advantage of VSS: vectors
  - VSS differs from other model-theoretic approaches to spatial semantics...
    - such as Kracht 2002 and Zwarts 2005
  - ... in that it treats the regions designated by place functions not as primitives
    - but as mappings from sets of vectors into sets of points

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Vector Space Semantics (cont.)

- VSS: what's "under the hood"
  - an ontology defining a vector space V over the real numbers R
     and the operations of vector addition
    - and scalar multiplication over V
  - domains of points  $D_p$  and vectors  $D_v$  and the corresponding non-standard types p, v
- how it works a Yucatec sample composition
  - (4.2) Ti' =yàan y=óok'ol le=mèesa le=lùuch=o' PREP=EXIST(B3SG) A3=on/above DET=table DET=cup=D2 'The cup is on the table'
    - Yucatec is a VOS language, but the "subject" the figure nominal in locative descriptions – is usually left-dislocated – in connected speech
      - this is ignored here, as is the incorporated preposition ti' and the role of pronominal arguments in semantic composition 27



- (4.5)  $\forall p \in loc(the\_cup') \exists v \in above'(loc(the\_table'))[e-point(v) = p]$ 
  - i.e., for every point in the eigenspace of the cup

     there is a vector in the denotation of the ground phrase
     [above'(*loc*(the\_table'))] whose endpoint this point is

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Vector Space Semantics (cont.)

(4.3)  $loc^{-}:= \lambda W_{<v,t>} \lambda x_{e}$ ,  $\forall \mathbf{p} \in loc(x) \exists \mathbf{v} \in W[e-point(\mathbf{v}) = \mathbf{p}]$ (Zwarts & Winter 2000: 175)

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## FoRs in VSS

- the proposal
  - all 'projective' (non-topological) functions of type <<p,t>, <v,t>> are indexicals
- implementation
  - the axis function
    - replace the axis constant in the denotation of the projective function...
    - up in the case of above
  - (5.1) **above**' :=  $\lambda A \lambda \mathbf{v}.ext(\mathbf{v}, A) \& c(up, \mathbf{v}) > |\mathbf{v}_{\perp up}|$ 
    - ... with a function that maps the anchor into a unit vector of the relevant kind
    - assignment • e.g., up maps the anchor into a vertical axis where verticality is interpreted differently depending on the nature and identify of the anchor and thus the FoR

- FoRs in VSS (cont.)
- the axis function is part of the meaning
- of the projective relator (see, e.g., (5.1))
- expand Zwarts & Winter's object-centric
- up, front, and right and their negative counterparts - with a set of geocentric axes selected by upriver, uphill, north,
  - east, etc.
    - » and their negative and orthogonal partners
- each type of frame comes with its own unique set of axis functions
- the anchor constant
  - let a constant anchor pick out the anchor
    - the denotation of anchor is fixed by the interpretation function

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### FoRs in VSS (cont.)

- the assignment function
  - assume an "anchored" model  $M^a = \langle C, D_e, D_p, D_v, I, g \rangle$ consisting of
    - a set of contexts C
    - the domains of individuals, points, and vectors
    - the interpretation function
    - and a variable assignment
- model theory
  - $[anchor]^{Ma,g,c} = C_a$
  - $[up(anchor)]^{Ma,g,c}$  denotes for each context
    - the ordered pair of the selected anchor and its vertical axis projected onto the origin of the reference frame

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UDoc

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Figure 14.

FoR and axis

- FoRs in VSS (cont.)
- a ground phrase headed by a projective relator
  - · denotes the set of endpoints of vectors connected to the hull of the ground that form an acute angle ...
  - ...with a vector based in the volumetric center of the ground and codirectional with the axis of the anchor - picked out by the axis function
    - see, however, below re. the codirectionality requirement
- a locative description is true iff
  - the eigenspace of the figure is included in the region designated by the ground phrase

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### example



FoRs in VSS (cont.)

- [(5.2)]<sup>Ma,g,c</sup> =1 • if the table is in canonical vertical orientation

> - and the closest vectors pointing from the table

angle with the gravity vector



Figure 15. Scenarios that satisfy (5.2) in an absolute FoR (left) and a (disaligned) intrinsic one (right) (image to the cup form an acute from Bowerman & Pederson 1992)

- but also if the table is standing on one side
  - and the closest vectors pointing from the table to the cup form an acute angle with the table's intrinsic vertical axis

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- FoRs in VSS (cont.)
- 'disaligned' intrinsic interpretations (Carlson-Radvansky & Irwin 1993) of vertical relators are in fact common - in Yucatec discourse (cf. Bohnemeyer & Tucker 2010)
- (5.3)Le=bòola=o', y=àanal te'l tu'x k-u=kutal DET=ball=D2 A3=under DADV where IMPF-A3=sit:INCH.DIS máak=o', kóoh-ol tu=chan

Figure 16. B&C 1.6

'The ball, under there where a person sits,

(it's) touching (the chair's) thing (...)'

ba'l-il (...) person=D2 hit\MIDDLE-INC PREP:A3=DIM thing-REL





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- two important wrinkles
  - two subtypes of relative frames
    - the projection of the selected axis from the anchor onto the ground involves
      - translation + rotation in English-style relative frames
      - translation only in Hausa-style relative frames (Hill 1982)

English-style relative frames: the ground as a metaphorical mirror image of the observer

a precursor

frames in DRT

Hausa-style relative frames: the ground as a metaphorical avatar of the observer



Figure 17. English- and Hausa-type relative frames

FoRs in VSS (cont.)

- Maillat 2003 sketches a formalization of reference

- his proposal shares with the present one the idea

- the present implementation has the advantage of

that reference frames are determined

preserving the compositionality of VSS

• by single (half)-axes represented by vectors - Maillat cites VSS to explain this idea

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-igure

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Space

tield :

sites

Juchitán Zapotec

(G. Pérez Báez)

• Purepecha (A. Capistrán)

Tarascan

Totonacan

FoRs in VSS (cont.) absolute frames

- the axes of absolute frames are abstracted from concrete geomorphic and landmark-based systems - cf. Levinson 2003: 47-50
- in this case, the anchor does not change with context
- in absolute frames and English-type relative frames • the axis from which the designated region is projected is not simply codirectional with the selected axis of the anchor
- these cases can be dealt with in terms of specialized axis functions

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## FoRs in Yucatec

- ongoing research: MesoSpace NSF award #BCS-0723694 "Spatial language and cognition in Mesoamerica"
- 15 field workers
- 13 MA languages
  - Mayan
  - · Chol (J.-J. Vázquez) CIESAS
  - Q'anjob'al (E. Mateo Toledo) • Tseltal (G. Polian)
  - Yucatec (J. Bohnemeyer, PI)
  - Mixe-Zoquean
    - Huehuetla Tepehua Avutla Mixe (R. Romero Méndez) (S. Smythe Kung)
    - Soteapanec (S. Gutierrez Morales) Uto-Aztecan • Tecpatán Zoque (R. Zavala Maldonado) Cora (V. Vázquez)
  - Oto-Manquean
    - Pajapan Nawat (V. Peralta) • Otomí (E. Palancar; N. H. Green; S. Hernández-Gómez) 41
- Semantic typology (cont.) 3 non-MA "controls" Seri (C. O'Meara) - Mayangna (E. Benedicto, A. Eggleston in collaboration with the The MesoSpace Mayangna Yulbarangyang Balna) Mexican Spanish (R. Romero Méndez) and R. 2 (interrelated) domains Tucker, - frames of reference and meronyms (labels for entity parts) tean Figure 20. Meronyms in Ayoquesco Zapotec (left) and Tenejapa Tselta (adapted from MacLaury 1989 and Levinson 1994)

### FoRs in Yucatec (cont.)

- the MesoSpace tool for studying FoRs in discourse - **Ball & Chair (B&C)** 
  - 4 x 12 photographs of configurations of a ball and chair
    participants match corresponding pix
  - in two identical sets through referential communication



### FoRs in Yucatec (cont.)

### • examples: locative descriptions

- topological
- (6.1) (...) te'l tu'x k-u=kutal máak=o', te=lu'm=o', DADV where IMPF-A3=sit-INCH.INC person=D2 PREP:DET=earth=D2 hun-p'éel bòola pek-ekbal hachtu=tu'k'=o'. one-CLIN ball lie.as.if.dropped-DIS(B3SG) really PREP:A3=corner=D2 '(...) there where one sits, on (lit. with respect to) the ground, a ball is lying, right at its corner.'

## Figure 24. Ball & Chair 2.6

– obj	ect-ce	ntered	1			
(6.2)	()tu=	tséel=i',	bwèeno,	tu=på	ach	
	PREP:A	3=side=D	4 well	PREP:A	3=back	
	te'l	tu'x	k-u=nak-tal	n	náak=o'	
	DADV	where	IMPF-A3=lean-INCH	l.DIS p	erson=D2	
'() on its side, well, behind where one sits'						

Figure 25. Ball & Chair 2.11

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#### FoRs in Yucatec (cont.)

#### landmark-based Ba'l=e'. tu=tòoh-il le=kàancha=o'. (6.5) . thing=TOP PREP:A3=straight-REL DET=court=D2 ti'=yàan le=bòola tu=pachk'ab-il=o' PRÉP=EXIST(B3SG) DET=ball PREP:A3=back:hand-REL=D2 'But toward the [volleyball] court, there's the ball behind [the chair] Figure 27. Ball & Chair 4.2 - direct (loosely based on Danziger 2010) (6.6) Te=pàarte t-ak=tòoh-il-o'n YUC PREP:DET=part PREP-A1PL=straight-REL-B1PL bèey he'x kul-ik-o'n bèey=a' thus how sit-EXFOC-B1PL thus=D1 ti'=pek-a'n te=lu'm=o' hun-p'éel bòola PREP-lie.as.if.dropped-RES(B3SG) PREP=earth=D2 one-CL.IN ball In the part in our direction the way we are sitting like this, there

'In the part **in our direction** the way we are sitting like this, the a ball lying on the ground.' **Figure 28**. *Ball & Chair 3.10* 



Figure 23. Numbers of locative (left) and orientation descriptions by frame type top - topological; int - object centered; dir - direct (Danziger 2010); rel - relative; int/rel - object-centered/relative and bigulty; lan - landmark-based; abs - cardinal direction terms; ver - absolute vertical; mityer - object-centered/absolute-vertical ambiguity 44



### FoRs in Yucatec (cont.)

<ul> <li>examples: orientation descriptions</li> </ul>								
– rela	ative			Fig				
(6.7)	()u=hoʻl le=si A3=head DET= <b>x-noʻh súut</b> F-right(B3SG) turn\ `() the backrest (li	iya=o', =chair=D2 <b>-ul</b> MIDDLE-If t. head) of	estéen, HESIT NC(B3SG) i the chair, it's turne	d right'				
– absolute								
(6.8)	() le=pàarte tu'x DET=part wher chik'in súut-ul west turn\MID `() the part where	k-u=k e IMPF- DLE-INC(B one sits, it	sutal A3=sit:INCH.INC () 3SG) t's <b>turned west</b> (	máak=o' 12 person=D2 .)'				
Ē	Figure 30. <i>Ball &amp; Cl</i>	air 3.9		48				



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### Extensions (cont.)

- making orientation descriptions work
  - the reference frame is centered on the figure
     not on the ground as in locative descriptions
  - the axis function serves to align a suitable axis of the figure





### observations

 orientation descriptions, like locative descriptions, may be interpreted with respect to FoRs

FoRs in Yucatec (cont.)

- e.g., in Yucatec, they may employ cardinal direction terms and 'left'/right' terms in relative interpretation
- both locative and orientation descriptions may be landmark-based or direct
  - such descriptions are **head-anchored** (Bohnemeyer & O'Meara in press)
  - the truth conditions of **angular-anchored** descriptions... » these are the traditionally recognized object-centered, relative, geomorphic, and absolute types
    - ... depend on the orientation of the anchor,
    - but not on its location
  - the truth conditions of head-anchored descriptions in contrast depend on the anchor's location

     but not on its orientation

### Extensions

- the Yucatec data suggests two additional phenomena a semantics for FoRs should cover – orientation descriptions
  - head-anchored descriptions
- orientation descriptions are by necessity
  - projective there are no topological orientation descriptions
  - extrinsic there are no intrinsic/object-centered orientation descriptions

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### Extensions (cont.)

- making head-anchored descriptions work
- the axis function in this case defines a set of vectors whose endpoints are on the anchor
   rather than to select an axis of the anchor, as in angular-anchored frames
  - (7.2) *The chair is facing the door/us*
  - (7.3) The ball is towards the door/us from the chair



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proposals argued for

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- spatial frames of reference are not a part of the lexical meaning of spatial relators
- projective spatial relators are indexicals
- orientation descriptions are necessarily projective and extrinsic
- two routes to constituting a reference frame
  - in angular-anchored descriptions, an axis of the frame is "copied" from one of the anchor via translation ± rotation
  - in head-anchored descriptions, an axis of the frame is defined as a vector pointing toward the anchor



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