On the Reification of Semantic Linearity

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Linearity is a multi-faceted and ubiquitous notion in the analysis and the development of programming language concepts. We study linearity in a denotational perspective by picking out programs that correspond to linear functions between domains. We propose a PCF-like language imposing linear constraints on the use of variable to program only linear functions. To entail a full abstraction result, we introduce some higher-order operators related to exception handling and parallel evaluation. We study several notions of operational equivalence and we show them to coincide on our language. Last, we present a new operational evaluation of the language that provides the base for a real implementation. It exploit the denotational linearity to provide an efficient evaluation semantics SECD-like, that avoid the use of closures.

1. Introduction

Linearity is a key tool to support a conscious use of resources in programming languages. A non-exhaustive list of its uses includes garbage collection, memory management and aliasing control, description of digital circuits, process channels and messages management, languages for quantum computations, etc. A survey of several variants of linear type systems proposed in literature is in Walker (2005). This broad spectrum of applications highlights the fact that linearity is a multifaceted abstract concept which can be considered in different perspectives. For instance, notions of syntactical linearity can be considered when variables are used once (in suitable senses), e.g. Hindley (1989); Alves et al. (2007). On the other hand, if redexes cannot be discarded or duplicated during reduction, like in Hindley (1989), then a kind of operational linearity is achieved. This is related to the notion of simple term in \(\lambda\)-calculus (Klop, 2007) which suggests a kind of linearity on reductions, unrelated from a specific strategy.

Although ideas that can be tracked to linearity have been implicitly used in programming languages for many years, the introduction of linear logic Girard (1987) is a redoubtable milestone in this setting. Linear logic arises from a sharp semantic analysis of stable domains where stable functions have been decomposed into linear functions and exponential domain constructors. Such a decomposition is patently reflected in the

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syntax of linear logic. Moreover, it suggests a new approach to linearity: **denotational linearity.** In a programming perspective, denotational linearity says that programs (i.e., closed terms) should correspond via a suitable interpretation to linear function on some specific domains, e.g. the linear models introduced in Huth (1993); Girard (1987); Bucciarelli and Ehrhard (1993); Bucciarelli et al. (2010). By tackling this correspondence minutely some important contributions to the theory of programming can be obtained. For instance, if the intended domain includes all the computable functions, this analysis provides Turing-complete languages with weak syntactic linear constraints on variables, and new linear operators that, in a higher-type computability perspective (Longley, 2000; Normann, 2006) increase the expressivity of linear languages.

Following the denotational linearity approach, we study (recursive) linear functions in the **type theory** \( \mathcal{L} \) of coherence spaces and linear functions introduced by Girard (1987). An attractive aspect of this type theory is the simplicity of its formulation. We present a language, named \( \text{S}^{\ell}_{\text{PCF}} \), that is **fully abstract** for this type theory. \( \text{S}^{\ell}_{\text{PCF}} \) can be described as the combination of the following ingredients.

— A core PCF-like language, named core-\( \text{S}^{\ell}_{\text{PCF}} \), characterized by a constrained management of variables and by an operational semantics based on a careful evaluation of program mixing call-by-name and call-by-value. This core language is Turing complete and can be soundly interpreted in \( \mathcal{L} \).

— A higher-order operator, named \textit{which}?, that corresponds to a primitive form of exception handling. \textit{which}? permits to obtain besides the result of an evaluation also some information about the way the result is computed.

— A higher-order operator, named \textit{let-\textlor}, that permits to explore in parallel different program branches. A distinguished feature of \textit{let-\textlor} is that it permits to share some information between the different branches.

The combination of the core language with the two higher-order linear operators \textit{which}? and \textit{let-\textlor} is essential to gain a **finite definability** result (Curien, 2007), i.e. the definability of all the finite cliques of the considered linear model. The finite definability result is not only the key ingredient to obtain the full abstraction result but it is also important to show the programming patterns that the language offers. The importance of the full abstraction comes from the fact that it allows us to reason about program in a compositional way. Moreover, full abstraction allows us also to prove the coincidence of three different definitions of operational equivalence. These definitions make simpler the reasoning on the equivalence between programs by permitting to consider restricted families of contexts.

An interesting remark is that \( \text{S}^{\ell}_{\text{PCF}} \) is neither syntactically linear nor operationally linear in tight sense, albeit its finitary fragment (the set of programs which does not involve recursion) respects some syntactical and operational forms of linearity (see Remark 1). We present \( \text{S}^{\ell}_{\text{PCF}} \) by means of a self-explanatory operational semantics that, quite inefficiently, requires for some operators an infinite branching search in the evaluation tree. Then, we show that \( \text{S}^{\ell}_{\text{PCF}} \) programs can be evaluated in an efficient way by means of another abstract machine that traces out and records linear information to finitely prune the infinite branching search tree of the evaluation of \( \text{S}^{\ell}_{\text{PCF}} \). This latter
tracing operational machine is a very concrete by-product of our results, since it tailors on denotationally linear constraints a very efficient evaluation of a Turing-complete programming language endowed of parallel features and exception-like mechanism, namely $S\ell PCF$.

The study of the relations between languages and models is a classic theme in denotational semantics (Ong, 1995; Curien, 2007). The full abstraction for PCF has led to the development of very sophisticated semantics techniques (as Abramsky et al. (2000); Hyland and Ong (2000)) and revealed relevant programming principles and operational constructions. In particular, several works have studied how to extend PCF by different operators in order to achieve full abstraction compared to some classical models. For example, this approach has been followed by Plotkin (1977) for the continuous Scott model, by Berry and Curien (1982) for the sequential algorithms model, by Abramsky and McCusker (1996) for a particular game model, by Longley (2002) for the strongly stable model and by Paolini (2006) for the stable model. Remark that all higher-type operators introduced in the works above cannot be directly interpreted in the linear model. This is another motivation for our search for new operators.

Many linear languages with different goals have been proposed so far in the literature. Recently, in the studies of syntactical linearity, Alves et al. (2006, 2007, 2010) have proposed several syntactical linear languages in order to characterize different classes of computable functions. To explore their extension higher-type semantically-motivated operators, is another interesting open problem.

Two PCF-like languages embedding linearity notions have been proposed in Bierman (2000); Bierman et al. (2000). These languages are not denotationally linear, in the sense that not all their closed terms are in correspondence with linear functions of a suitable domain. In particular, they cannot be interpreted in the linear model considered here. Despite this fact, the authors of Bierman (2000); Bierman et al. (2000) give some interesting results on the relations between several forms of operational reasoning, in the context of the linear decomposition. Our results on the coincidence of three operational equivalences can be viewed as further contributions in those topics.

The results we present in this paper extend and complete our previous works: Gaboardi and Paolini (2007); Paolini and Piccolo (2008); Gaboardi et al. (2011). The core-$S\ell PCF$ language and the $\textbf{which}$? operator were first introduced by Gaboardi and Paolini (2007) and Paolini and Piccolo (2008), respectively. Paolini and Piccolo (2008) proved the language combining these two components, named $S\ell PCF$, correct for the type theory $\mathcal{L}$. Moreover, they showed a full abstraction result for stable closed terms, i.e. terms not containing free occurrences of a particular kind of variables used for recursion. Gaboardi et al. (2011) extended $S\ell PCF$ by means of the $\textbf{let-for}$ operator obtaining in this way the full abstraction for terms that can contain free occurrences of stable variables. The enhanced tracing operational machine we present in this paper greatly improves in efficiency the tracing operational machine defined in Gaboardi et al. (2011).
In Section 2, we introduce the core-operators which holds for stable closed terms. In Section 3 we show that for the core language of Section 2. The core of a linear programming language

Variables in $\text{Var}$ are to design a programming language corresponding to a particular linear type theory. As usual, $\text{Var} = \bigcup_{\ell \in \ell} (\Gamma \vdash \ell : \tau)$. If $\sigma \neq \iota$ then, let $\text{SVar}^\sigma$ be a numerable set of variables of type $\sigma$. If $\sigma \neq \iota$ then, let $\text{SVar}^\sigma$ be a numerable set of variables disjoint from $\text{Var}^\sigma$. Variables in $\text{Var}^\iota$ are named ground variables. Variables in $\text{SVar} = \bigcup_{\sigma \neq \iota} \text{SVar}^\sigma$ are named linear variables. Variables in $\text{SVar} = \bigcup_{\sigma \neq \iota} \text{SVar}^\sigma$ are named stable variables. Latin letters $x, y, f, \ldots$ denote variables.
ables in $\text{Var}^\sigma$. Moreover, $F_0^\sigma, F_1^\sigma, F_2^\sigma, \ldots$ denote stable variables. Last, $\kappa$ is a wild-card for all the variables.

**Definition 1.** Core-$\mathcal{S}$PCF pre-terms are defined by the following grammar.

$$M ::= \kappa \quad \text{variable}$$
$$\quad | 0 \quad \text{zero}$$
$$\quad | s \quad \text{successor}$$
$$\quad | p \quad \text{predecessor}$$
$$\quad | \ell \text{if } M M M \quad \text{conditional}$$
$$\quad | MM \quad \text{application}$$
$$\quad | \lambda \sigma. M \quad \lambda\text{-abstraction}$$
$$\quad | \mu \sigma. M \quad \mu\text{-abstraction}$$

We write $n$ for $s(\cdots(s0)\cdots)$ where $s$ is applied $n$-times to 0, and we denote $\mathcal{N} = \{0, \ldots, n, \ldots\}$ the set of numerals. When the type information is irrelevant, we will omit type labels. We partitioned variables in three main categories: $\text{Var}^\iota, \ell\text{Var}, S\text{Var}$. Other choices are possible, but we preferred this one in order to emphasize their differences. The two kinds of abstraction act as binders in the standard way, $\lambda$-abstraction binds ground and linear variables (however, the first set of variables entails a call-by-value policy and the latter a call-by-name one), while $\mu$-abstraction binds stable ones. Free variables of any kind (FV), free linear variables ($\ell$FV), free stable variables ($S$FV), closed and open terms are defined as expected. $M[N/\kappa]$ denotes the capture-free substitution of all free occurrences of $\kappa$ in $M$ by $N$. As usual application associates to the left, i.e. $MN_1\ldots N_k$ abbreviates $\ldots((MN_1)N_2)\ldots N_k$ and we routinely omit parenthesis as we safely can.

Core-$\mathcal{S}$PCF terms are pre-terms that are well typed. We consider typing judgments of the shape $\Gamma \vdash M : \sigma$ where $M$ is a pre-term, $\sigma$ is a linear type and $\Gamma$ is a basis, that is a finite list of variables in $\text{Var} \cup S\text{Var}$, where each variable appears at most once. We denote $\Gamma\upharpoonright \mathcal{S}$ (resp. $\Gamma\upharpoonright \iota, \Gamma\upharpoonright \ell$) the restriction of the basis $\Gamma$ containing only variables in $S\text{Var}$ (resp. in $\text{Var}^\iota, \ell\text{Var}$). We denote $\Gamma, \Delta, \Gamma \cup \Delta$ and $\Gamma \cap \Delta$ the disjoint union, the union and the intersection of two basis respectively. We write $M^\sigma$ to signify that there is a basis $\Gamma$ such that $\Gamma \vdash M : \sigma$.

**Definition 2.** The pre-terms typable by using the type system in Table 1.a are the terms of core-$\mathcal{S}$PCF.

We remark that the type system contains weakening and contraction rules only for ground and stable variables (viz. (gw), (sw), (gc) and (sc)), while linear variable are managed by means of linear-typing rules (the rule $\ell\text{if}$ is additive). Therefore, it is easy to check that the following rules are derivable rules in our system.

$$\frac{\Gamma_1 \vdash M : \sigma \quad \sigma \rightarrow \tau \quad \Gamma_2 \vdash N : \sigma}{\Gamma_1 \cup \Gamma_2 \vdash MN : \sigma}$$
$$\frac{\Gamma_0 \vdash M : \iota \quad \Gamma_1 \vdash P : \iota \quad \Gamma_2 \vdash Q : \iota \quad \Gamma_1\upharpoonright \ell = \Gamma_2\upharpoonright \ell \quad \Gamma_0\upharpoonright \ell = \emptyset \quad \Gamma_0 \cap \Gamma_1\upharpoonright \ell = \emptyset}{\Gamma_0 \cup \Gamma_1 \cup \Gamma_2 \vdash \ell\text{if } MPQ : \iota}$$

A closed term of ground type is called program and $\mathcal{P}$ denotes the set of all programs.
Definition 3. The evaluation relation $\Downarrow$ between programs of core-SPCF and numerals is the smallest relation inductively satisfying the rules of Table 1.b. For any program $M$, if there exists a numeral $n$ such that $M \Downarrow n$ then we say that $M$ converges and we write $M \Downarrow$. Otherwise we say that it diverges and we write $M \not\Downarrow$.

We remark that the evaluation is carried out in a call-by-name fashion by the rule $\lambda^\omega$, and in a call-by-value fashion by the rule $\lambda^v$. Patently all terms appearing in the evaluation rules are well typed. To be more precise, in the rule $\lambda^v$, we are assuming that $\tau = \sigma_1 \rightarrow \ldots \rightarrow \sigma_i \rightarrow \iota$ where $\sigma_k$ is the type of $P_k$. Moreover, note that the evaluation of the program $p$ diverges.

Remark 1. Note that the finitary fragment of SPCF (i.e. the language obtained by avoiding stable variables and $\mu$-abstractions) contains terms like $\lambda^v$ which are not syntactically linear. Moreover, SPCF is not operationally linear because in the following term

$$(\lambda^v. f \downarrow^v (f \Downarrow) (f \downarrow^v))((\lambda x'. \lambda y'. y) \bar{\xi})$$

the redex $(\lambda x'. \lambda y'. y) \bar{\xi}$ is duplicated during the evaluation.

We name some terms. Let $\Omega' := p \bar{\xi}$ and inductively, if $\sigma_0 = \mu_1 \rightarrow \ldots \rightarrow \mu_n \rightarrow \iota$ for some $n \in \mathbb{N}$, then

$$\Omega^{\sigma_0 \rightarrow \ldots \rightarrow \sigma_n \rightarrow \iota} := \lambda x_0^{\sigma_0} \ldots x_n^{\sigma_n}. f(\Omega^{\sigma_0 \rightarrow \ldots \rightarrow \sigma_n \rightarrow \iota} x_1^{\sigma_1} \ldots x_n^{\sigma_n})(x_0^{\mu_1} \ldots x_n^{\mu_n})(x_0^{\mu_1} \ldots x_n^{\mu_n}).$$

If $\sigma \neq \iota$ then it is possible to define $\Omega^\sigma$ as $\mu F^\sigma F$ and, still, to satisfy the Lemma 1. Nevertheless, the crucial use of $\Omega^\sigma$ is to define the approximants, i.e. terms approximating $\mu$-abstraction that avoids the use of (additional) $\mu$-abstractions. In this way, we can prove the Lemma 5 by adapting the Tait technique used in (Plotkin, 1977). By using $\Omega^\sigma$ it is possible to define approximants of a term having shape $\mu F^\sigma M^\sigma$ as follows,

$$\mu^0 F^\sigma M^\sigma := \Omega^\sigma, \quad \mu^{n+1} F^\sigma M^\sigma := [\mu^{n+1} F^\sigma M^\sigma].$$

It is easy to check that the $\mu$-abstractions in $\mu^k F^\sigma M^\sigma$ are strictly less than that in $\mu F^\sigma M^\sigma$.

Lemma 1. Let $M_0^{\sigma_0}, \ldots, M_n^{\sigma_n}$ be a sequence of closed terms ($n \geq 0$).
1. $\Omega^{\sigma_0 \rightarrow \ldots \rightarrow \sigma_n \rightarrow \iota} M_0 \ldots M_n$ is a program and $\Omega^{\sigma_0 \rightarrow \ldots \rightarrow \sigma_n \rightarrow \iota} M_0 \ldots M_n \Downarrow$.
2. Let $(\mu F^\sigma P^\sigma) M_0 \ldots M_n$ be a program.
   
   $$(\mu F^\sigma P^\sigma) M_0 \ldots M_n \Downarrow \bar{\xi} \text{ if and only if } (\mu^{k+1} F^\sigma P^\sigma) M_0 \ldots M_n \Downarrow \bar{\xi}, \text{ for some } k \in \mathbb{N}.$$  

Proof. 1. The proof can be done by induction on $m$. 2. Both implications can be proved by induction on derivations proving the hypothesis.

2.1. Pairing

In the following sections we need pairing and projections operators on natural numbers. This can be defined as follows. If $m, n \in \mathbb{N}$ then $< m, n > := 2^m(2n+1) - 1$ is our pairing function. Projections from $z \in \mathbb{N}$ can be defined by functions

$$p_{i_1}(z) = \min_{z \leq z}[(\exists y)_{\leq z}(z = < x, y >)]; \quad p_{i_2}(z) = \min_{y \leq z}[(\exists x)_{\leq z}(z = < x, y >)].$$
Such functions induce a bijection, details can be found either, in p.41,p.73 of Cutland (1980) or in p.47 of Davis and Weyuker (1983). The reader can easily verify that

\[
\begin{align*}
\text{Sum} & = \mu F . \lambda x'.y'. \ell i f \ x \ y \ (F \ (p \ x) \ (s \ y)) \\
\text{Prod} & \equiv \mu F . \lambda x'.y'. \ell i f \ x \ (\text{Sum} \ y \ (F \ (p \ x) \ y)) \\
\text{Exp2} & \equiv \mu F . \lambda x'. \ell i f \ x \ 1 \ (\text{Prod} \ 2 \ (F \ (p \ x)))
\end{align*}
\]

respectively define the addition, the multiplication and the exponentiation of base 2.

Hence, the above function \(\prec,\succ\) is defined by the program

\[
\pi \equiv \lambda x'y'. p(\text{Prod} \ 2 x) (s(\text{Prod} \ 2 y)).
\]

Clearly our pairing-program is total and correct i.e. \([n,m] \downarrow \prec n,m \succ\) for all numerals \(n,m\). So, we will write \(M \downarrow [n,m]\), for some \(M\), has a shorthand for \(\exists k. M \downarrow k\) and \([n,m] \downarrow k\).

The definition of the terms \(\pi_1\) and \(\pi_2\) corresponding to projection (i.e. which are such that \(\pi_1 \ [n,m] \downarrow n\) and \(\pi_2 \ [n,m] \downarrow m\)) turns out to be an easy exercise and it is left to the reader. Again, we write \(M \downarrow \pi, n (i \in [1,2])\) to mean \(\exists k. M \downarrow k\) and \(\pi, n \downarrow k\).

2.2. Operational equivalence

There is a notion of program equivalence which programmers understand well: two program fragments are equivalent if they can always be interchanged without affecting the visible or observable outcome of the computation.

The set of \(\sigma\)-context \(\text{Cxt}_\sigma\) is defined as:

\[
\text{C}[\sigma] := [\sigma] | x \# | 0 | s | p | \ell i f \ C[\sigma] C[\sigma] | (C[\sigma] C[\sigma]) | (\lambda x.\ C[\sigma]) | \mu F. C[\sigma]
\]

\(\text{C}[n\#]\) denotes the result obtained by replacing all the occurrences of \([\#]\) in the context \(\text{C}[\sigma]\) by the term \(\text{C}[n\#]\) and by allowing the capture of its free variables.

**Definition 4 (Standard Operational Equivalence).** Let \(M^\sigma, N^\sigma\) be terms.

1. \(M \leq_\sigma N\) whenever, for all \(C[\sigma]\) s.t. \(C[M], C[N] \in \mathcal{P}\), if \(C[M] \downarrow n\) then \(C[N] \downarrow n\).

2. \(M \approx_\sigma N\) if and only if \(M \leq_\sigma N\) and \(N \leq_\sigma M\).

The above definition is standard, however we introduce an alternative equivalence definition that will allow to study the formal relationship between the two binders we introduced.

**Definition 5 (Fix-point operational equivalence).** Let \(M^\sigma, N^\sigma\) be such that \(\text{SFV}(M), \text{SFV}(N) \subseteq \{F_1^{\sigma_1}, \ldots, F_n^{\sigma_n}\}\).

1. \(M \leq_\sigma N\) whenever, for all \(P_1^{\sigma_1}, \ldots, P_n^{\sigma_n}\), for all \(C[\sigma]\) s.t.

   \[
   C[M[P_1/F_1, \ldots, P_n/F_n]], C[N[P_1/F_1, \ldots, P_n/F_n]] \in \mathcal{P}\text{ if } C[M[P_1/F_1, \ldots, P_n/F_n]] \downarrow n\text{ then } C[N[P_1/F_1, \ldots, P_n/F_n]] \downarrow n.
   \]

2. \(M \sim_\sigma N\) if and only if \(M \leq_\sigma N\) and \(N \leq_\sigma M\).

It is easy to verify that \(\leq_\sigma\) is a preorder and \(\sim_\sigma\) is an equivalence. Note that the comparison between the fix-point operational equivalence and the standard one is not immediate, since there is no obvious way to implement substitution of a stable variable with an arbitrary term. This issue will be discussed in detail in Section 6.
2.3. Coherence Spaces

We are interested in the least full subcategory \( \mathcal{L} \) of coherence spaces, including the flat domain of natural numbers and the coherence spaces of linear functions between domains in the category itself. Coherence spaces are a simple framework for Berry’s stable functions Berry (1978), developed by Girard (1987). This section does not aim to provide an exhaustive presentation of these arguments, it just aims to make the paper self-contained. More details can be found in Girard (1986, 1987); Girard et al. (1989).

A coherence space \( X \) is a pair \( (|X|, \sqsubseteq_X) \) where \(|X|\) is a set of tokens called the web of \( X \) and \( \sqsubseteq_X \) is a reflexive and symmetric relation between tokens of \(|X|\) called the coherence relation on \( X \). The strict incoherence \( \prec_X \) is the complementary relation of \( \sqsubseteq_X \); the incoherence \( \preceq_X \) is the union of relations \( \prec_X \) and \( = \); the strict coherence \( \sim_X \) is the complementary relation of \( \preceq_X \). A clique \( x \) of \( X \) is a subset of \(|X|\) containing pairwise coherent tokens. The set of cliques of \( X \) is denoted \( \mathcal{C}l(X) \), while the set of finite cliques is denoted \( \mathcal{C}l_{fin}(X) \). Two cliques \( x, y \in \mathcal{C}l(X) \) are compatible when \( x \cup y \in \mathcal{C}l(X) \).

If \( X \) is a coherence space then \( \mathcal{C}l(X) \) form a cpo (indeed, a Scott-domain) with respect to the set-theoretical inclusion. In particular,

1. \( \emptyset \in \mathcal{C}l(X) \) is the bottom element and \( \{a\} \in \mathcal{C}l(X) \), for each \( a \in |X| \),
2. if \( y \subseteq x \) and \( x \in \mathcal{C}l(X) \) then \( y \in \mathcal{C}l(X) \),
3. if \( D \subseteq \mathcal{C}l(X) \) is directed then \( \bigcup D \in \mathcal{C}l(X) \),
4. the set of compact elements is \( \mathcal{C}l_{fin}(X) \).

**Definition 6.** Let \( X \) and \( Y \) be coherence spaces.

1. The linear implication \( X \rightsquigarrow Y \) is the coherence space having \(|X \rightsquigarrow Y| = |X| \times |Y|\) as web, while \((a, b) \sim_{X \rightsquigarrow Y} (a', b') \) iff \( a \sqsubseteq_X a' \) implies \( b \sim_Y b' \).
2. A linear morphism between \( X \) and \( Y \) is an element of \( \mathcal{C}l(X \rightsquigarrow Y) \).
3. The tensor product \( X \otimes Y \) is the coherence space having \(|X \otimes Y| = |X| \times |Y|\) as web, while \((a, b) \sqsubseteq_{X \otimes Y} (a', b') \) if \( a \sqsubseteq_X a' \) and \( b \sqsubseteq_Y b' \).

We denote with \( \text{LinCoh} \) the category whose objects are coherence spaces and whose morphisms are linear morphisms between coherence spaces. \( (\text{LinCoh}, \otimes, 1, \rightsquigarrow) \) is a symmetric monoidal closed category, where \( 1 \) is the coherence space having a singleton set as web.

**Definition 7.** Let \( X, Y \) be two coherence spaces. A function \( f : \mathcal{C}l(X) \to \mathcal{C}l(Y) \) is continuous whenever it is monotone and it commutes with the union of directed sets of cliques. A continuous function \( f : \mathcal{C}l(X) \to \mathcal{C}l(Y) \) is stable when \( \forall x, x' \in \mathcal{C}l(X), x \) and \( x' \) compatible implies \( f(x \cap x') = f(x) \cap f(x') \). A function \( f : \mathcal{C}l(X) \to \mathcal{C}l(Y) \) is linear when it is stable (so, continuous), \( f(\emptyset) = \emptyset \) and for all \( x, x' \in \mathcal{C}l(X) \), if \( x \) and \( x' \) are compatible then \( f(x \cup x') = f(x) \cup f(x') \).

Linear morphisms are in bijection with linear functions between cliques.

The trace of a linear function \( f : \mathcal{C}l(X) \to \mathcal{C}l(Y) \) is \( \mathcal{T}r(f) = \{(a, b) \mid a \in |X|, b \in f(|a|)\} \). Given \( t \in \mathcal{C}l(X \rightsquigarrow Y) \) and \( x \in \mathcal{C}l(X) \), let us define the map \( \mathcal{F}(t) : \mathcal{C}l(X) \to \mathcal{C}l(Y) \) as

\[
\mathcal{F}(t)(x) = \{b \in |Y| \mid \exists a \in x, (a, b) \in t\} \tag{1}
\]
Proposition 1.
1. If \( f : \text{Cl}(X) \to \text{Cl}(Y) \) is a linear function then \( \mathcal{F}(f) \in \text{Cl}(X \to Y) \).
2. If \( t \in \text{Cl}(X \to Y) \) then \( \mathcal{F}(t) : \text{Cl}(X) \to \text{Cl}(Y) \) is a linear function.
3. \( \mathcal{F}(\mathcal{F}(t)) = t \), for all \( t : \text{Cl}(X \to Y) \).
4. \( \mathcal{F}(\mathcal{F}(f)) = f \), for all \( f : \text{Cl}(X) \to \text{Cl}(Y) \).

The basis of our model is the countable flat domain. Let \( \mathbb{N} \) denotes the space of natural numbers, namely \( (\mathbb{N}, \subseteq) \) such that \( |\mathbb{N}| := \mathbb{N} \) (this latter is the set of natural numbers) and \( m \subseteq \mathbb{N} n \) if and only if \( m = n \), for all \( m, n \in |\mathbb{N}| \).

Definition 8 (Linear Model). The Linear Model \( \mathcal{L} \) is the type structure generated by the coherence space \( \mathbb{N} \) and the arrow \( \to \).

To provide the interpretation of the recursion in core-\( \lambda \)PCF, we discuss the fix-point operator on coherence domain. More precisely, if \( X \) is a coherence space then we look for \( \text{fix}_X : \text{Cl}(X) \to \text{Cl}(X) \) such that \( \text{fix}_X(f) = f(\text{fix}_X(f)) \) for all stable functions \( f : \text{Cl}(X) \to \text{Cl}(X) \). Given any coherence spaces \( X, Y \), we remind that the set of stable functions between \( \text{Cl}(X) \) and \( \text{Cl}(Y) \) together with stable ordering\(^1\) form a Scott domain. Thus we define a family of maps\(^2\) \( \text{fix}_X^n : (\text{Cl}(X) \to \text{Cl}(X)) \to \text{Cl}(X) \) by induction on \( n \in \mathbb{N} \):

\[
\text{fix}_X^0 = \lambda f : \text{Cl}(X) \to \text{Cl}(X).0 \\
\text{fix}_X^{n+1} = \lambda f : \text{Cl}(X) \to \text{Cl}(X).f(\text{fix}_X^n(f))
\]

By using Knaster-Tarski Fix Point Theorem, we can define \( \text{fix}_X = \bigvee_n \text{fix}_X^n \). In the sequel we will omit the subscript \( X \) on \( \text{fix}_X \) when it is clear from the context or uninteresting.

Proposition 2. Let \( X \) be a coherence space and let \( f : \text{Cl}(X) \to \text{Cl}(X) \), \( g : \text{Cl}(X) \to \text{Cl}(\mathbb{N}) \) be two stable functions and let \( p \in \mathbb{N} \). If \( g(\text{fix}(f)) = \{p\} \) then there is \( k \in \mathbb{N} \) such that \( g(\text{fix}^k(f)) = \{p\} \).

We stress the fact that \( \text{fix} \) is a stable function which is not linear. We use it only applied to stable endo-function on domains in \( \mathcal{L} \), obtaining back therefore traces of linear functions. The Kleisli Category on the finite-clique comonad \( \text{LinCoh} \) is equivalent to the category of coherence spaces and stable functions. This category us Cartesian closed and it admits a least fix point operator, which corresponds to the above defined \( \text{fix} \).

2.4. Linear Interpretation

We define a standard interpretation (Plotkin, 1977) such that \( [t] = \mathbb{N} \) and \( [\sigma \to \tau] = [\sigma] \to [\tau] \). An environment \( \rho \in \text{Env} \) is a total function mapping a variable \( x \) in a token

\(^1\) Let \( f, g : \text{Cl}(X) \to \text{Cl}(Y) \) two stable functions. The stable ordering \( f \subseteq g \) is defined as \( \forall x, y \in \text{Cl}(X), x \subseteq y \) implies \( f(x) = f(y) \cap g(x) \).

\(^2\) Let \( A \) and \( B \) be two sets, let \( x \) be an element of \( A \) and let \( E(x) \) be an expression than may contain \( x \) and corresponding an element of : \( \lambda x : A.E(x) \) is the function from \( A \) to \( B \) mapping any element \( x \in A \) into the element \( E(x) \in B \), that is the function \( f : A \to B \) such that \( f(x) = E(x) \). In p.49 of (Gunter, 1992), the notation \( x \mapsto E(x) \) is used with the same meaning of \( \lambda x.E(x) \).
Table 2. Linear Interpretation Map.

<table>
<thead>
<tr>
<th>Term</th>
<th>Interpretation</th>
</tr>
</thead>
<tbody>
<tr>
<td>$[\emptyset]_{\rho}$</td>
<td>${0}$</td>
</tr>
<tr>
<td>$[s^{</td>
<td>n\rightarrow\infty}</td>
</tr>
<tr>
<td>$[p^{</td>
<td>n\rightarrow\infty}</td>
</tr>
<tr>
<td>$[x^{</td>
<td>\sigma}</td>
</tr>
<tr>
<td>$[f^{</td>
<td>\sigma}</td>
</tr>
<tr>
<td>$[\ell(\text{if } M \ , k \ , L')^{</td>
<td>\sigma}</td>
</tr>
<tr>
<td>$[\lambda x^{</td>
<td>\sigma} . M^{</td>
</tr>
</tbody>
</table>

$a \in [\llbracket \sigma \rrbracket]$ and a stable variable $f^{|\sigma}$ in a finite clique $x \in \text{Cl}^{\text{fin}}([\sigma])$. We remark that the interpretation of $x^{|i}$ cannot be associated to an empty cliques, so it is subjected to restrictions (see cases 4 and 5 of Lemma 2). The set of environments is denoted by Env.

Let $\bar{a}$ be a sequence of tokens of a coherence space, let $\bar{x}$ be a sequence of non-stable variables of the same length of $\bar{a}$; $\rho(\bar{x} := \bar{a})$ is the environment such that $\rho(\bar{x} := \bar{a})(x^{|i}) = a_i$ in case $x^{|i}$ is the $i$-th element of $\bar{x}$, otherwise $\rho(\bar{x} := \bar{a})(x') = \rho(x')$. If $\bar{x}$ is a sequence of finite cliques and $F$ is a sequence of stable variables of the same length then $\rho[F := \bar{x}]$ is defined likewise. We will write $x \subseteq_{\text{fin}^{\text{st}}} y$ when $x \subseteq y$ with $x$ finite.

**Definition 9.** Let $M^{|\sigma}$, $N^{|\sigma}$ and $\rho$, $\rho'$ $\in$ Env. The **linear interpretation** $[M^{|\sigma}|] : \text{Env} \rightarrow \text{Cl}([\sigma])$ is defined in Table 9 using $F$ as defined in Equation 1 and $\text{fix}$ which is the least fix point operator.

Looking at the interpretation we justify the introduction of stable variables. Those variables are used in order to program continuous (w.r.t. stable order) non-strict functions from a linear coherence space $L$ to itself, so their fix-points will be always an element of $L$. Notice that, syntactically, a stable variable will be used without linear constraints. We do not permit to $\lambda$-abstract stable variables, we use them only in order to obtain fix-points. Permitting the $\lambda$-abstraction of stable variable would produce terms defining non-linear functions, which is against our wishes.

Two terms $M^{|\sigma}$ and $N^{|\sigma}$ are **denotationally equivalent** if and only if $[M^{|\sigma}|]_{\rho} = [N^{|\sigma}|]_{\rho}$ for every $\rho$. The interpretation of closed terms is invariant with respect to environments, thus in such cases the environment can be omitted. Next lemmas are standard. The basic properties of a $\lambda$-model are recalled in Lemma 2.

**Lemma 2.** Let $M^{|\sigma}$, $N^{|\sigma}$ and $\rho$, $\rho'$ $\in$ Env.
1. If $\rho(F) \subseteq \rho'(F)$ for each $F \in \text{SFV}(M)$, then $[M]_{\rho} \subseteq [M]_{\rho'}$.
2. If $M^{|\sigma}[N/F^{|\tau}|] \in \text{SCPFC}$ then $[M^{|\sigma}[N/F^{|\tau}|]|]_{\rho} = \bigcup_{\bar{x} \in \text{fin}^{\text{st}}[\sigma]} [M]_{\rho}[\bar{x} := \bar{a}]$.
3. If $M^{|\sigma}[N/x^{|\tau}|] \in \text{SCPFC}$ with $\tau \neq \epsilon$ then $[M^{|\sigma}[N/x^{|\tau}|]|]_{\rho} = \bigcup_{a \in \text{fin}^{\text{st}}[\sigma]} [M]_{\rho}[x := a]$.
4. If $M^{|\sigma}[N/x^{|\tau}|] \in \text{SCPFC}$ then $[M^{|\sigma}[N/x^{|\tau}|]|]_{\rho} = [M]_{\rho}[x := n]$.
5. $[[\lambda x^{|\sigma} . N]|] = [M^{|\sigma}|]$.
6. $[[\lambda x^{|\sigma} . M]|] = [M^{|\sigma}|]$.
7. $[[\text{if } n + 1 L R]|] = [L]$ and $[[\text{if } n L R]|] = [R]$. 
The proof of (9) follows by induction on the structure of $C$. (5), (6), (7) and (8) follow by definition of interpretation and by points (2), (3) and (4). The proof of (9) follows by induction on the structure of $C[\tau]$.

As usual, an approximation theorem for finite fixpoint semantics holds.

**Lemma 3.**

1. $[\mu F. M]_{\rho} = \{x \in \mathcal{C}(\sigma) : \bigcup_{x \subseteq f_{i,\mu}\sigma} [M]_{\rho}(F := x')\}

2. $[\mu F.M]_{\rho} = \bigcup_{n \in \mathbb{N}} [\mu^\nu F.M^\nu]_{\rho}$, for all $\sigma \in \mathcal{T}$.

**Proof.** (1) Obvious. (2) Since $[\mu^\nu + 1 F.M^\nu]_{\rho} = [M]_{\rho}(F := [\mu^\nu F.M^\nu]_{\rho})$, the proof is easy.

**Theorem 1.** If $M \downarrow n$ then $[M] = [n]$.

**Proof.** By induction on the derivation of $M \downarrow n$. Lemma 2 is crucial to deal with the various inductive steps.

### 2.5. Adequacy and correctness

The denotational semantics is said to be *adequate* when $[M] = [n]$ and $M \downarrow n$ are logically equivalent for any program $M$ and numeral $n$. We straightforward adapt a proof of Plotkin (1977) for Scott-domains, based on a computability argument in Tait style.

**Definition 10.** The "computability predicate" is defined by the following cases.

<table>
<thead>
<tr>
<th>Case</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>— Case $\text{FV}(M^\nu) = \emptyset$.</td>
<td></td>
</tr>
<tr>
<td>— Subcase $\sigma = \iota$. $\text{Comp}(M^\nu)$ if and only if $\forall n. [M] = [n]$ implies $M \downarrow n$.</td>
<td></td>
</tr>
<tr>
<td>— Subcase $\sigma = \mu \rightarrow \tau$. $\text{Comp}(M^{\mu \rightarrow \sigma})$ if and only if $\text{Comp}(M^{\mu \rightarrow \sigma}M^\nu)$ for each closed $N^\mu$ such that $\text{Comp}(N^\mu)$.</td>
<td></td>
</tr>
<tr>
<td>— Case $\text{FV}(M^\nu) = {x_1^{\nu_1}, \ldots, x_n^{\nu_n}}$, for some $n \geq 1$. $\text{Comp}(M^\nu)$ if and only if $\text{Comp}(M[N_1/x_1, \ldots, N_n/x_n])$ for each closed $N_i^{\nu_i}$ s.t. $\text{Comp}(N_i^{\nu_i})$.</td>
<td></td>
</tr>
</tbody>
</table>

Lemma 4 states a standard equivalent formulation of computability predicate.

**Lemma 4.** Let $M^{\iota_{n_1} \rightarrow \ldots \rightarrow \iota_{n_m}}$ and $\text{FV}(M) = \{x_1^{\mu_1}, \ldots, x_n^{\mu_n}\}$ (n, m $\in \mathbb{N}$). $\text{Comp}(M)$ if and only if $[M[N_1/x_1, \ldots, N_n/x_n][P_1 \ldots P_m]] = [n]$ implies $M[N_1/x_1, \ldots, N_n/x_n][P_1 \ldots P_m] \downarrow n$ for each closed $N_i^{\mu_i}$ and $P_j^{\nu_j}$ such that $\text{Comp}(N_i)$ and $\text{Comp}(P_j)$ where $i \leq n, j \leq m$.

We remark that we consider substitution to all kinds of free variables.

**Lemma 5.** If $M^\nu$ is a term of core-$\mathcal{S}$/PCF then $\text{Comp}(M^\nu)$.

**Proof.** By induction on the shape of $M$. We detail the most interesting cases.
— \( M = \lambda x. \) Let \( \sigma = \tau_1 \cdots \tau_m \) where \( m \in \mathbb{N} \). Let \( P^\sigma \) and \( \mathcal{N}^\tau \) for \( 1 \leq i \leq m \) be closed terms such that \( \text{Comp}(P^\sigma) \) and \( \text{Comp}^\tau \). By definition, \( \text{Comp}(P^\sigma) \) implies that, if \( [P_{N1} \cdots N_m] = [\bar{n}] \) then \( P_{N1} \cdots N_m \Downarrow \bar{n} \), by definition of the computability predicate.

— \( M = \lambda \sigma. \) Assume \( \mathcal{N}^\tau \) and \( P^\tau \) for types \( \sigma \) and \( \tau \). By induction hypothesis \( \text{Comp}(\mathcal{N}^\tau) \) and \( \text{Comp}(P^\tau) \) and the proof follows by definition of the computability predicate.

— \( M = \lambda x. Q. \) Assume \( x^\mu \) and \( Q^\tau \) for types \( \mu \) and \( \tau \). Let \( \text{FV}(M) = \{ x_1^{\mu_1}, \ldots, x^{\mu_k} \} \) for \( k \geq 0 \) and \( \tau = \tau_1 \cdots \tau_h \rightarrow \tau_0 \rightarrow \iota \), where \( h \geq 0 \). Let \( N_1^{\mu_1}, \ldots, N_k^{\mu_k}, \vdash P_0^\tau, \vdash P_1^\tau, \ldots, \vdash P_h^\tau \) be closed terms such that \( \text{Comp}(N_i) \) and \( \text{Comp}(P_j) \) for \( 1 \leq i \leq k \) and \( 0 \leq j \leq h \) respectively. Thus \( \text{Comp}(Q^\tau)P_0/x[N_1/x_1, \ldots, N_k/x_k]P_1 \ldots P_h \), since \( \text{Comp}(Q^\tau) \) holds by induction hypothesis. Consider the case \( \mu \neq \iota \) and \( \text{Comp}(\lambda x^\mu.Q^\tau)N_1/x_1, \ldots, N_k/x_k \vdash P_0 \ldots P_h \) by Lemma 2 point (6). Thus \( \text{Comp}(Q^\tau)P_0/x[N_1/x_1, \ldots, N_k/x_k]P_1 \ldots P_h \Downarrow \bar{n} \) by induction hypothesis. Therefore, \( \lambda x^\mu.Q^\tau)N_1/x_1, \ldots, N_k/x_k \vdash P_0 \ldots P_h \Downarrow \bar{n} \).

by the evaluation rule \( (\lambda^\iota) \).
Now, suppose \( \mu = \iota \) and \( \text{Comp}(\lambda x^\mu.Q^\tau)N_1/x_1, \ldots, N_k/x_k \vdash P_0 \ldots P_h \) by Lemma 2 point (5). \( \text{Comp}(Q^\tau)P_0/x[N_1/x_1, \ldots, N_k/x_k]P_1 \ldots P_h \Downarrow \bar{n} \) by induction hypothesis. The proof follows by applying the evaluation rule \( (\lambda^\iota) \).

\( M = \lambda x^\mu. M \). Assume \( \text{FV}(M) = \{ x_1^{\mu_1}, \ldots, x^{\mu_k} \} \) and let \( P_1^\mu, \ldots, P_k^\mu \) be closed terms such that \( \text{Comp}(P_1) \) for all \( i \). Suppose that \( [M[P_1/x_1, \ldots, P_n/x_n]] = [\bar{n}] \). Then, by interpretation, one of the following cases holds:
- \([M_1[P_1/x_1, \ldots, P_n/x_n]] = [0] \) and \([M_2[P_1/x_1, \ldots, P_n/x_n]] = [\bar{n}] \),
- \([M_1[P_1/x_1, \ldots, P_n/x_n]] = [k+1] \) and \([M_2[P_1/x_1, \ldots, P_n/x_n]] = [\bar{n}] \).
In the first case we have \( M_1[P_1/x_1, \ldots, P_n/x_n] \Downarrow 0 \) and \( M_2[P_1/x_1, \ldots, P_n/x_n] \Downarrow \bar{n} \) by inductive hypothesis; thus we conclude by the evaluation rule (ill). For the other case, we conclude again by using inductive hypothesis and the evaluation rule (ifr).

\( M = \mu F. N \), so the typing constraints imply \( M^\sigma, N^\sigma \) for the same type \( \sigma \neq \iota \). Let \( \text{FV}(M) = \{ x_1^{\mu_1}, \ldots, x^{\mu_k} \} \) for \( k \geq 0 \) and \( \sigma = \tau_1 \cdots \tau_h \rightarrow \iota \), where \( h \geq 0 \). Assume \( h \geq 1 \) and \( N_1^{\mu_1}, \ldots, N_k^{\mu_k}, P_1^\tau, \ldots, P_h^\tau \) be closed terms such that \( \text{Comp}(N_i) \) and \( \text{Comp}(P_j) \) for \( 1 \leq i \leq k \) and \( 1 \leq j \leq h \) respectively. Let \( [[\mu F. N^\sigma[N_1/x_1, \ldots, N_k/x_k]]P_1 \ldots P_h] = [\bar{n}] \).
By Proposition 2, there is \( k \) such that
\[
\mathcal{F}^k(\text{fix}^k(\lambda x \in \text{Cl}(\sigma). \bigcup_{x' \subseteq x} \text{cat}(\sigma)))[\bar{n}] = [\bar{n}].
\]
Hence \( [[\mu F. N^\sigma[N_1/x_1, \ldots, N_k/x_k]]P_1 \ldots P_h] = [[\mu F. N^\sigma[N_1/x_1, \ldots, N_k/x_k]]P_1 \ldots P_h] \) for some \( k \in \mathbb{N} \), by Lemma 3. Thus, \( \mu F. N^\sigma[Q'/v_1, \ldots, Q'/v_n]P_1 \ldots P_h \Downarrow \bar{n} \), by the previous points of this lemma. The proof follows by Lemma 1.

\( \Box \)

**Corollary 1 (Adequacy).** For all \( M \in \mathcal{P} \), for all \( \bar{n} \), \( [M]\rho = [\bar{n}]\rho \) if and only if \( M \Downarrow \bar{n} \)
As usual the adequacy implies the correctness, i.e. the denotational equivalence implies the operational one. Note that correctness implies that our terms are strict in all arguments, for all orders.

**Theorem 2 (Correctness).** Let $M^\sigma, N^\sigma$ be terms of core-$\mathcal{S}$PCF. If $[M]_\rho = [N]_\rho$, for each $\rho \in \text{Env}$, then $M \sim^\sigma N$.

**Proof.** Let $C[\cdot]$ such that $C[M], C[N] \in \mathcal{P}$. If $C[M] \Downarrow n$ for some value $n$, then $[C[M]] = [n]$ by Theorem 1. Since $[C[N]] = [C[M]] = [n]$ by Lemma 2, $C[N] \Downarrow n$ by adequacy. By definition of standard operational equivalence the proof is done. \hfill \Box

We can reuse the same argument to prove the correctness for the fix-point operational equivalence (Definition 5).

**Proposition 3.** Let $M^\sigma, N^\sigma \in \text{core-}\mathcal{S}\text{PCF}$. If $[M]_\rho = [N]_\rho$, for each $\rho \in \text{Env}$, then $M \sim^\sigma N$.

**Proof.** Suppose $\text{SFV}(M), \text{SFV}(N) \subseteq \{F^1, \ldots, F^n\}$. Let $P^1, \ldots, P^n$ be closed terms and let $C[\cdot]$ be a context such that $\text{IF}[P^1/f_1, \ldots, P_n/f_n], C[N[P^1/f_1, \ldots, P_n/f_n]] \in \mathcal{P}$. If $C[M[P^1/f_1, \ldots, P_n/f_n]] \Downarrow n$ then $[C[M[P^1/f_1, \ldots, P_n/f_n]]] = [n]$ by Theorem 1; but $[C[N[P^1/f_1, \ldots, P_n/f_n]]] = [n]$ by hypothesis, thus $C[N[P^1/f_1, \ldots, P_n/f_n]] \Downarrow n$ by Adequacy. By definition of fix-point operational equivalence the proof is done. \hfill \Box

### 2.6. Token definability and stable closed full abstraction

Core-$\mathcal{S}$PCF is sufficient to define all tokens (belonging to coherence spaces) of $\mathcal{L}$. First, we introduce some abbreviations that are useful in order to simplify the rest of the paper. We use $(\mathfrak{N} \text{ and } \mathfrak{N})$ to abbreviate $(\ell \text{if } M \ (\ell \text{if } N \ O \ 1) \ 1)$. The equivalence among numerals, denoted $\equiv$ used in infix notation, is encoded as

$$\mu^i_{\equiv} \lambda x. \lambda y. \ell \text{if } (\ell \text{if } y \ O \ 1) \ (\ell \text{if } y \ 1 \ (f(x)(py))).$$

We can define an encoding $\llbracket \cdot \rrbracket : \llbracket [\sigma] \rrbracket \to \mathbb{N}$ from tokens of the coherence space $[\sigma]$ to natural numbers as:

- $\llbracket n \rrbracket = n$ if $\sigma = i$;
- $\llbracket (a_1, a_2) \rrbracket = <a_1, a_2>$ if $\sigma = \tau_1 \rightarrow \tau_2$.

This encoding provides an enumeration of tokens in $\mathcal{L}$. We define two indexed families of terms, namely $\text{Sgl}_n^\sigma : \sigma$ and $\text{Chk}_n^{(\sigma)} : \sigma \rightarrow \mathfrak{I}$, by mutual induction. The first is a term of type $\sigma$ having the $n$-th token (of $[\sigma]$) as interpretation. The latter is a term that checks whether the $n$-th token (of $[\sigma]$) is included in the interpretation of its argument.

**Definition 11.** The terms $\text{Sgl}_n^\sigma : \sigma$ and $\text{Chk}_n^{(\sigma)} : \sigma \rightarrow \mathfrak{I}$ are defined by mutual induction on $\sigma$. If $\sigma = i$, $\text{Sgl}_n^i = n$ and $\text{Chk}_n^{(i)} = \lambda y. \ell \text{if } (n \ D y) \ \mathfrak{I}^{\Omega}$. If $\sigma = \tau_1 \rightarrow \tau_2$ where $\tau_2 = \tau_1 \rightarrow \ldots \rightarrow \tau_k \rightarrow \mathfrak{I}$ for some $k \geq 0$, then $\text{Sgl}_n^\sigma$ is

$$\lambda f^{\tau_1} \lambda g_1^{\tau_1} \ldots \lambda g_k^{\tau_k}. \ell \text{if } (\text{Chk}_{p_1(n)}^{(\tau_1)} f)(\text{Sgl}_{p_2(n)}^{\tau_2} g_1 \ldots g_k) \ (\Omega^{\tau_2} g_1 \ldots g_k)$$

and $\text{Chk}_n^{(\sigma)}$ is $\lambda f^{\tau_1} \ell \text{if } (\text{Chk}_{p_2(n)}^{\tau_2} f(\text{Sgl}_{p_1(n)}^{\tau_1} g))) \ O^{\Omega}$. 
In $\text{Chk}_n^{(\sigma)}$ we use $(\sigma)$ as a short for $\sigma \rightarrow \iota$. For sake of readability, sometimes we will abuse the notation by writing $\text{Sgl}_n^{(\sigma)}$ and $\text{Chk}_n^{(\sigma)}$ to mean, respectively $\text{Sgl}_n^{(\sigma_1)}$ and $\text{Chk}_n^{(\sigma)}$ where $n$ is the number denoted by the numeral $n$. As an instance, if $n = [n_1, n_2]$ then the term $\text{Sgl}_n^{(\sigma_1)}$ is operationally equivalent to the term $\lambda x. \text{if } (x = n_1) \text{ then } n_2 \text{ else } \Omega^2$, while the term $\text{Chk}_n^{(\iota \rightarrow \iota)}$ is operationally equivalent to the term $\lambda \iota. \text{if } (\iota = n_1) \text{ then } \Omega^2$.

**Lemma 6.** Let us fix a type $\sigma$. If $a \in \llbracket \sigma \rrbracket$ and $n = \langle a \rangle$ then,

1. $[\text{Sgl}_n^{(\sigma)}]_\rho = \{ a \}$;
2. if $[\text{Chk}_{n}^{(\sigma)}]_\rho = \{ a \}$ then $a \in \llbracket \emptyset \rrbracket$.

**Proof.** The proof is done by mutual induction on $\sigma$.

The case $\sigma = \iota$ is immediate. Let us develop the case $\sigma = \sigma_1 \rightarrow \sigma_2$. To prove (1), let $\sigma_2 = \tau_1 \ldots \rightarrow \tau_k \rightarrow \iota$ for some $k \geq 0$.

\[
\llbracket \text{Sgl}_n^{(\sigma_1)} \rrbracket_\rho = \begin{cases} 
(a_1, a_2) & \text{if } [\text{Chk}_{pi_1^2}^{(\sigma_1)}]_\rho[f := \{ a_1 \}] = \{ 0 \}, \\
\{ a_1, a_2 \} & \text{if } m \in [\text{Sgl}_m^{(\sigma_2)}]_{g_1 \ldots g_k} \rho [g := b], \\
\langle \pi_1 \rangle & \text{if } \langle \pi_1 \rangle = \pi_1(n), \\
\langle \pi_2 \rangle & \text{if } \langle \pi_2 \rangle = \pi_2(n).
\end{cases}
\]

where the first row follows by definition of interpretation, the second row follows by applying mutual induction on type $\sigma_1$, the third row follows by applying the inductive hypothesis on type $\sigma_2$.

To prove (2) suppose $[\text{Chk}_n^{(\sigma)}]_\rho = \{ a \}$ and let $a = (a_1, a_2)$, then by definition of interpretation, $[\text{Chk}_n^{(\sigma_2)}]_\rho \subseteq [\text{Sgl}_n^{(\sigma_2)}]_\rho$, which implies that $a_2 \in \llbracket \text{Sgl}_n^{(\sigma_2)} \rrbracket_\rho$. By inductive hypothesis, this implies that $a_2 \in \llbracket \emptyset \rrbracket$. By mutual induction and by definition of interpretation, we have that $a_2 \in \llbracket \emptyset \rrbracket$. This implies $(a_1, a_2) \in \llbracket \emptyset \rrbracket$ by definition of $\llbracket \emptyset \rrbracket$.

**Lemma 7.**

1. $\text{Comp}(\text{Sgl}_n^{(\sigma)})$
2. Let $\mathcal{N}$ be a closed term such that $\text{Comp}(\mathcal{N})$. If $[\text{Chk}_n^{(\sigma)}] = \{ a \}$ then $\text{Chk}_n^{(\sigma)} \downarrow \mathcal{N}$.

**Proof.** The proof is immediate by Lemma 5.

It is easy to build a program taking in input the numeral $n$ and giving back $\text{Sgl}_n^{(\sigma)}$. Instead, it is not possible to extend $\text{Chk}_n^{(\sigma)}$ to a decision program, i.e. a (total) program deciding the membership of the token encoded by $n$ to the interpretation of the considered argument (already a total $\text{Chk}_n^{(\iota)}$ should imply the definability of the halting program, by Lemma 7).

**Theorem 3 (Token Definability).**

If $u \in \llbracket \sigma \rrbracket$ then there exists a closed $\mathcal{N} \in \text{core-SPCF}$ such that $\llbracket \mathcal{N} \rrbracket = \{ u \}$. 
Proof. Let \( n = |u| \), so \( M = Sg1^n \) is our term, by Lemma 6.

Token definability permits to define the separating terms used in the next lemma. This in contrast to what happens in Plotkin (1977) and Paolini (2006) where a finite definability is needed.

**Lemma 8 (Separability).** Let \( \sigma \in \mathbb{I} \). For all distinct \( f, g \in Cl([\sigma]) \) there exists a closed term \( P^{\sigma \rightarrow \iota} \) such that \( \mathcal{F}(\{P\})(f) \neq \mathcal{F}(\{P\})(g) \).

Proof. Let \( \sigma = \sigma_1 \rightarrow \ldots \sigma_k \rightarrow \iota \). Since \( f \neq g \), it must exists \( a \in f \) such that \( a \notin g \) (or vice versa). Assume \( a = (a_1, \ldots, a_k, n) \) with \( a_1 \in [|\sigma_1|], \ldots, a_k \in [|\sigma_k|] \) and \( n \in \mathbb{N} \). By Theorem 3, for each \( i \in \{1, \ldots, k\} \) there is \( N^{\sigma_i} \in \text{core-PCF} \) such that \( [N^{\sigma_i}] = a_i \).

By choosing \( M^{\sigma \rightarrow \iota} \) as \( \lambda x^{\sigma_i}.f_1 f \) \((x N^{\sigma_1} \ldots N^{\sigma_k}) \simeq n) \odot 1\), the proof follows.

As a corollary, a limited form of full abstraction holds restricted to terms that do not contain free stable variables. Erroneously, Paolini and Piccolo (2008) claimed a more general result.

**Theorem 4 (Stable Closed Completeness).** Let \( M^\sigma, N^\sigma \in \text{core-PCF} \) and \( \text{SFV}(M^\sigma) = \text{SFV}(N^\sigma) = \emptyset \). If \( M \simeq_{\sigma} N \) then \([M] = [N]\).

Proof. We prove the contra-positive. Let us assume \([M]_{\rho} \neq [N]_{\rho} \), for \( \rho \in \text{Env} \). By Lemma 8, there exists a closed \( P^{\sigma \rightarrow \iota} \) such that \( \mathcal{F}(\{P\})_{\rho}([M]_{\rho}) = n_1 \neq \mathcal{F}(\{P\})_{\rho}([N]_{\rho}) \).

Moreover, by Theorem 3 we can build a closing context \( C[\iota] \) such that \( [P]_{\rho} = [C[P]]_{\emptyset} \) and \( [P]_{\rho} = [C[P]]_{\emptyset} \) where \( \emptyset \) is the empty environment (notice that terms \( M \) and \( N \) may contain free ground or higher order variables, so Token Definability is needed to build \( C[\iota] \)). By adequacy both \( C[P] \Downarrow n_1 \) and \( C[P] \Downarrow n_1 \).

Thus, \( M \not\simeq_{\sigma} N \).

In the above proof we can build the context \( C[\iota] \) only because we have assumed that the two terms have no free stable variables.

**Corollary.** Let \( M^\sigma, N^\sigma \in \text{core-PCF} \) and \( \text{SFV}(M^\sigma) = \text{SFV}(N^\sigma) = \emptyset \). Then, \( M \simeq_{\sigma} N \) if and only if \([M] = [N]\).

This corollary holds also for fix-point operational equivalence in a trivial way, since the terms we are considering have no free occurrences of stable variables.

3. Lack of Full abstraction

Core-\( \text{PCF} \) does not enjoy the full abstraction extended to all terms. To discuss this issue, we start by proving that core-\( \text{PCF} \) is not able to define all finite cliques of the model by presenting a linear function that is not definable in core-\( \text{PCF} \), since it is not strongly stable Bucciarelli and Ehrhard (1991). We use this function to define two terms having free occurrences of stable variables which are operationally equivalent, albeit they are interpreted in two different linear functions.
3.1. Hypercoherence spaces

We recall some basic notion on hypercoherence spaces and strongly stable functions, following (Bucciarelli and Ehrhard, 1994; Ehrhard, 1995).

**Definition 12 (Qualitative domain).** A qualitative domain (Girard, 1986) is a pair \( (\|Q\|, Q) \) where \( |Q| \) is a set (called the web) and \( Q \) is a subset of \( \wp(|Q|) \) satisfying the following conditions:

\[- \emptyset \in Q \text{ and, if } a \in |Q| \text{ then } \{a\} \in Q, \]
\[- \text{if } x \in Q \text{ and if } y \subseteq x \text{ then } y \in Q, \]
\[- \text{if } D \subseteq Q \text{ is directed with respect to inclusion, then } \bigcup D \in Q. \]

The elements of \( Q \) are called states of the qualitative domain, and the qualitative domain itself will also be denoted \( Q \).

**Property 1.** A qualitative domain \( Q \) is a coherence space when for all \( u \subseteq |Q| \), if for all \( a, b \in u \), \( \{a, b\} \in Q \) then \( a \in Q \). In this case, \( Q \) is the set of cliques.

Hypercoherence spaces are defined in Ehrhard (1995).

**Definition 13 (Hypercoherence).** A hypercoherence is a pair \( X = (|X|, \Gamma(X)) \) where \( |X| \) is an enumerable set and \( \Gamma(X) \) is a subset of \( \wp_{fin}(|X|) \) such that \( \emptyset \notin \Gamma(X) \) and for any \( a \in |X|, \{a\} \in \Gamma(X) \).

There is a canonical way to obtain a qualitative domain starting from a hypercoherence. Given a hypercoherence \( X \), we can define the qualitative domain \( qD(X) \) as follows.

\[
qD(X) = \{ x \subseteq \wp_{fin} |X| \mid \forall u \subseteq \wp_{fin} |X|, (u \neq \emptyset \wedge u \subseteq x) \Rightarrow u \in \Gamma(X) \}. 
\]

Let \( X, Y \) be two sets and \( A \) as a subset of pairs having the first component in \( X \) and the second in \( Y \) (i.e. a Cartesian product). We denote \( \pi_1^H(A) = \{ x \in X \mid (x, y) \in A \} \) and \( \pi_2^H(A) = \{ y \in Y \mid (x, y) \in A \} \). Moreover, if \( X \) is a set then \( |X| \) denote the number of its elements.

**Definition 14.** Let \( X \) and \( Y \) be hypercoherences.

\[- \text{The tensor product } X \otimes Y \text{ is the hypercoherence whose web is } |X \otimes Y| = |X| \times |Y| \\
\text{and whose atomic coherence is defined by } w \in \Gamma(X \otimes Y) \text{ iff } \pi_1^H(w) \in \Gamma(X) \text{ and } \pi_2^H(w) \in \Gamma(Y). \]
\[- \text{We call linear implication of } X \text{ and } Y \text{ and we note } X \rightarrow Y \text{ the hypercoherence defined by } |X \rightarrow Y| = |X| \times |Y| \text{ and } w \in \Gamma(X \rightarrow Y) \text{ if and only if } w \text{ is a non empty and finite subset of } X \rightarrow Y \text{ such that} \]
\[
\pi_1^H(w) \in \Gamma(X) \Rightarrow (\pi_2^H(w) \in \Gamma(Y) \wedge |\pi_2^H(w)| = 1 \Rightarrow |\pi_1^H(w)| = 1). \]
\[- \text{A linear morphism between } X \text{ and } Y \text{ is an element of } qD(X \rightarrow Y). \]

We denote with \( \text{HLinCoh} \) the category whose objects are hypercoherences and whose morphisms are linear morphisms between hypercoherences. It is routine (Bucciarelli and Ehrhard, 1994; Ehrhard, 1995) to check that the tensor product is commutative, and associative and that the hypercoherence \( 1 = (\{\ast\}, \{\{\ast\}\}) \) is its neutral element. It is also
routine to check that there is a natural isomorphism between $\mathcal{HLinCoh}(X \otimes Y, Z) \cong \mathcal{HLinCoh}(X, Y \rightarrow Z)$, which makes $\mathcal{HLinCoh}$ a symmetric monoidal closed category.

### 3.2. Non-Definable Finite Cliques

It is important to remark that $\mathcal{HLinCoh}$ provides a correct model of core-$\mathcal{S}$PCF under a standard interpretation. A proof can be easily obtained mutatis mutandis the proof of correctness for coherence spaces given in previous section. We show that there are linear functions of the model $\mathcal{L}$ that cannot be defined in core-$\mathcal{S}$PCF by proving that these functions are not linear morphisms in $\mathcal{HLinCoh}$. So, they cannot be defined in core-$\mathcal{S}$PCF.

Let $k_1, k_2, k_3, k_4 \in \mathbb{N}$; we define $G\mathcal{r}_{k_1 \overrightarrow{k_2}, k_3 \overrightarrow{k_4}}: (t \rightarrow i) \rightarrow (t \rightarrow i) \rightarrow (t \rightarrow i) \rightarrow t$ to be the following trace

$$
\begin{align*}
((0, 0), (1, 0), (0, 1), k_1), \\
((0, 1), (0, 0), (1, 0), k_2), \\
((1, 0), (1, 0), (0, 0), k_3), \\
((1, 1), (1, 1), (1, 1), k_4)
\end{align*}
$$

where for sake of simplicity we omitted a full parenthesizing. It is immediate to check that $G\mathcal{r}_{k_1 \overrightarrow{k_2}, k_3 \overrightarrow{k_4}}$ is a coherent trace (i.e. a clique) in $[(t \rightarrow i) \rightarrow (t \rightarrow i) \rightarrow (t \rightarrow i) \rightarrow t]$. As instance, we note that $G\mathcal{r}_{1 \overrightarrow{2}, 2 \overrightarrow{4}}$ is exactly the operator $G\mathcal{r}$ defined in Paolini and Piccolo (2006).\footnote{The index 2 in the $G\mathcal{r}$ notation is put to emphasize that it is a second order operator. It is different from the $gor$ operator defined in Paolini (2006), which is a first order operator.}

We prove that, for each $k_1, k_2, k_3, k_4 \in \mathbb{N}$, $G\mathcal{r}_{k_1 \overrightarrow{k_2}, k_3 \overrightarrow{k_4}}$ does not correspond to a linear morphism in the category of hypercoherences. Let $w = \{(0, 0), (0, 1), (1, 0), (1, 1)\}$, we first observe that trivially $w \in \Gamma(N \rightarrow N)$ since $\pi^H(w) = \{0, 1\} \notin \Gamma(N)$. Thus $\pi^H(G\mathcal{r}_{k_1 \overrightarrow{k_2}, k_3 \overrightarrow{k_4}}) = (N \rightarrow N) \otimes (N \rightarrow N) \otimes (N \rightarrow N)$ because $\pi^H(G\mathcal{r}_{k_1 \overrightarrow{k_2}, k_3 \overrightarrow{k_4}}) = \pi^H(\pi^H(G\mathcal{r}_{k_1 \overrightarrow{k_2}, k_3 \overrightarrow{k_4}})) = \pi^H(\pi^H(G\mathcal{r}_{k_1 \overrightarrow{k_2}, k_3 \overrightarrow{k_4}})) = w$ which is in $\Gamma(N \rightarrow N)$.

We consider two cases:

- suppose $k_1 = k_2 = k_3 = k_4$: we have that $\pi^H(G\mathcal{r}_{k_1 \overrightarrow{k_2}, k_3 \overrightarrow{k_4}}) = \{k_1\}$ is in $\Gamma(N)$ but $|\pi^H(G\mathcal{r}_{k_1 \overrightarrow{k_2}, k_3 \overrightarrow{k_4}})| = 1$ while $|\pi^H(G\mathcal{r}_{k_1 \overrightarrow{k_2}, k_3 \overrightarrow{k_4}})| \neq 1$.

- suppose there are $i,j$ such that $k_i \neq k_j$: in this case we have that $\{k_i, k_j\} \subseteq \pi^H(G\mathcal{r}_{k_1 \overrightarrow{k_2}, k_3 \overrightarrow{k_4}})$ which is not in $\Gamma(N)$ because $\{k_i, k_j\} \notin \Gamma(N)$.

Thus $G\mathcal{r}_{k_1 \overrightarrow{k_2}, k_3 \overrightarrow{k_4}}$ is not a linear morphism in $\mathcal{HLinCoh}$. So it is not definable in core-$\mathcal{S}$PCF.

**Corollary 3**. For all $k_1, k_2, k_3, k_4 \in \mathbb{N}$, $G\mathcal{r}_{k_1 \overrightarrow{k_2}, k_3 \overrightarrow{k_4}}$ is not definable in core-$\mathcal{S}$PCF.

Let $M = F^\omega \Omega^{\omega - 1} \Omega^{\omega - 1} \Omega^{\omega - 1}$ and

$$
N = \forall \langle F(Sg1_{<\omega_{2}>}, (Sg1_{<\omega_{1}>}, (Sg1_{<\omega_{2}>}, (Sg1_{<\omega_{2}>}, \ldots) \rangle, (Sg1_{<\omega_{2}>}, \ldots) \rangle) \rangle$$

\[ \mathcal{L}_{\Omega'} \]
be core-$S$PCF terms such that $\forall (\alpha \rightarrow \alpha) \rightarrow (\alpha \rightarrow \alpha) \rightarrow \alpha \vdash M, N : \iota$. It is easy to see that $[M]_\rho \neq [N]_\rho$, by taking $\rho(f)$ be the trace of $\text{Gor}^{1.2.2.4}$. While $M, N$ are operationally equivalent, since to separate the two terms a term behaving like $\text{Gor}^{1.2.2.4}$ is necessary. But $\text{Gor}^{1.2.2.4}$ is not definable in core-$S$PCF as shown by Corollary 3.

4. The language $S$PCF,

We extend the core-$S$PCF language by means of two new operators: which? and $\text{let-\text{for}}$. The resulting language simply $S$PCF, is that presented in Gaboardi et al. (2011).

**Definition 15.** The $S$PCF pre-terms are defined by extending the grammar of Core-$S$PCF pre-terms as follows:

$$ M ::= \cdots \mid \text{which?} \mid \text{let-\text{for}} f_1^{\beta_1^{\gamma_1}} = M, \ldots, f_k^{\beta_k^{\gamma_k}} = M \text{ infor } M, M, M $$

The terms of $S$PCF are the pre-terms typable by using the type system in Table 1.a extended by the rules in Table 3.a.
The \texttt{which}? operator corresponds to a primitive control operator that permits to obtain besides the result of an evaluation also the information on which part of the input has been used during the evaluation.

The \texttt{let-\ell or} generalizes the behavior of the \texttt{G\sigma r} operator presented in the previous section by forcing a linear evaluation. It is worth noting that the contexts of the terms \( M_i \) in the (\texttt{let-\ell or}) rule are managed in an additive way, contracting common (ground, linear and stable) variables. However, note that a \texttt{let-\ell or} binds all the linear variables in its three branches. So, a form of syntactic linearity \textit{by slice} for linear variables is preserved, see Gaboardi et al. (2011) for more details.

In order to deal with the \texttt{which}? and the \texttt{let-\ell or} operators we need a careful evaluation of terms. In particular, the challenge is to evaluate the \texttt{let-\ell or} operator without violating the denotational linearity. To obtain this, we present specific evaluation rules that are described using the terms \texttt{Sgl}\(_n\) and \texttt{Chk}(\(\sigma\))\(_n\) introduced in the previous section.

\textbf{Definition 16.} The \textit{evaluation relation} \( \Downarrow \subseteq \mathcal{P} \times \mathcal{N} \) for \( \mathcal{S}\ell\text{-PCF}^{\star} \) programs is the smallest relation satisfying the rules in Table 1.b extended by the rules in Table 3.b.

The evaluation rules for \texttt{which}? and \texttt{let-\ell or} are patterns for infinite rules, likewise to that of the existential operator of Plotkin (1977).

The result of the evaluation of \texttt{which}? applied to a term \( M \) consists of a pair \( [n,k] \) where \( n \) is the result of the evaluation of the term \( M(\lambda x.\iota) \) while \( k \) is the unique numeral that \( M \) gives as argument to \( \lambda x.\iota \). The fact that there exists a unique such \( k \) follows from the fact that \( M \) represents a linear function. In this sense, \texttt{which}? can be seen as a primitive control operator.

The evaluation of the \texttt{let-\ell or} operator is obtained by three distinct rules that explore pair-wisely the \texttt{let-\ell or} branches. The evaluation of a \texttt{let-\ell or} can be performed only in the case two between \( M_1, M_2 \) and \( M_3 \) evaluate to the values \( 0 \) and \( m + 1 \) respectively by replacing to \( f_1, \ldots, f_k \) a (same) single-traced terms denotationally included in the trace of \( N_1, \ldots, N_k \) respectively. For instance, the (\texttt{11gor}) can be applied in the case \( M_1[N_1/f_1, \ldots, N_k/f_k] \Downarrow 0 \) and \( M_2[N_1/f_1, \ldots, N_k/f_k] \Downarrow s \) and the same single information of each \( N_j \), coded by numerals \( n_j \), is used in both evaluations. Albeit ex-ante we don’t know what is the right pair of \texttt{let-\ell or} branches to evaluate, they are established during the course of the evaluation, so ex-post only one of the three rules can converge.

The interpretation of \texttt{which}? and \texttt{let-\ell or} reflects such ideas.

\textbf{Definition 17.} Let \( M^\sigma \) be a term of \( \mathcal{S}\ell\text{-PCF}^{\star} \) and \( \rho \in \text{Env} \). The \textit{linear interpretation} \( [M^\sigma]_{\rho} \in \mathcal{C}l([\sigma]) \) is defined by the equations in Table 1.c extended by the ones for the \texttt{which}? and the \texttt{let-\ell or} operators in Table 3.c.

We can now extend the Adequacy and Correctness results of Section 2.4 to the whole \( \mathcal{S}\ell\text{-PCF}^{\star} \) language. The first step is to extend Lemma 5.

\textbf{Lemma 9.} Let \( M^\sigma \) be a term of \( \mathcal{S}\ell\text{-PCF}^{\star} \). Then \( \text{Comp}(M^\sigma) \).

\textit{Proof.} By induction on the shape of \( M \), similarly to the proof of Lemma 5. We detail only the two new cases.
— \( M = \text{which?} \). Let \( N^{(i \cdots n)} \) be a term such that \( \text{Comp}(N) \) and suppose \([\text{which?} N] = [[[n, k]]] \). This means that \( F([N]_\rho) \cdot \{(k, k)\} = F([N]_\rho) \cdot \{(\lambda x'. \ell (\vec{p} \cdots \vec{p} x) \; \vec{k} \; \Omega')_\rho = \{n\} = [n]_\rho \), by definition of interpretation. Then \( M(\lambda x'. \ell (\vec{p} \cdots \vec{p} x) \; \vec{k} \; \Omega') \downarrow n \) by definition of Comp. Then we conclude by applying the evaluation rule (\( \ast \)).

— \( M = \text{let } f_1^{\sigma_1} = N_1, \ldots, f_k^{\sigma_k} = N_k \text{ infer } M_1 M_2 M_3 \). Let \( \text{FV}(M) = \{x_1^{\sigma_1}, \ldots, x_k^{\sigma_k}\} \) for \( h \geq 0 \). Assume \( P_1', \ldots, P_h' \) to be closed terms such that \( \text{Comp}(P_i) \) for \( 1 \leq i \leq h \). Let \( \{\text{let } f_1^{\sigma_1} = N_1, \ldots, f_k^{\sigma_k} = N_k \text{ infer } M_1 M_2 M_3 \} P_1 / x_1, \ldots, P_h / x_h \}_\rho = [n]_\rho \). There are three cases.

1. There exist \( \vec{a} \in [N[P_1 / x_1, \ldots, P_h / x_h]]_\rho \) such that \( [M_2][P_1 / x_1, \ldots, P_h / x_h]]_\rho[\vec{f} := \vec{a}] = \{0\} \) and \( [M_1][P_1 / x_1, \ldots, P_h / x_h]]_\rho[\vec{f} := \vec{a}] = \{n + 1\} \).

2. There exist \( \vec{a} \in [N[P_1 / x_1, \ldots, P_h / x_h]]_\rho \) such that \( [M_2][P_1 / x_1, \ldots, P_h / x_h]]_\rho[\vec{f} := \vec{a}] = \{0\} \) and \( [M_1][P_1 / x_1, \ldots, P_h / x_h]]_\rho[\vec{f} := \vec{a}] = \{n + 1\} \).

3. There exist \( \vec{a} \in [N[P_1 / x_1, \ldots, P_h / x_h]]_\rho \) such that \( [M_2][P_1 / x_1, \ldots, P_h / x_h]]_\rho[\vec{f} := \vec{a}] = \{0\} \) and \( [M_1][P_1 / x_1, \ldots, P_h / x_h]]_\rho[\vec{f} := \vec{a}] = \{n + 1\} \).

We shorten the list \( f_1, \ldots, f_k \) by \( \vec{f} \). We develop only the first case: the others are similar. Suppose that \( \vec{m} \) is a sequence of natural number of the same length of \( \vec{a} \) such that each \( m_i \) is the encoding of the token \( a_i \) (for \( 1 \leq i \leq k \)). By Lemma 6.1 \( a_i = [Sg]_\rho^{\sigma_i} \) and, moreover, by applying Lemma 2.3, it follows

\[ [M_2][P_1 / x_1, \ldots, P_h / x_h, Sg]_\rho^{\sigma_1} / f_1, \ldots, Sg]_\rho^{\sigma_k} / f_k]_\rho = [0]_\rho \) and,
\[ [M_1][P_1 / x_1, \ldots, P_h / x_h, Sg]_\rho^{\sigma_1} / f_1, \ldots, Sg]_\rho^{\sigma_k} / f_k]_\rho = [n + 1]_\rho. \]

By Lemma 7.2 and by induction, we obtain

\[ M_2[P_1 / x_1, \ldots, P_h / x_h, Sg]_\rho^{\sigma_1} / f_1, \ldots, Sg]_\rho^{\sigma_k} / f_k] \downarrow \Omega \) and,
\[ M_1[P_1 / x_1, \ldots, P_h / x_h, Sg]_\rho^{\sigma_1} / f_1, \ldots, Sg]_\rho^{\sigma_k} / f_k] \downarrow n + 1. \]

Furthermore, \([\text{Chk}^{\sigma_1}_\rho]_\rho(N_1[P_1 / x_1, \ldots, P_h / x_h])_\rho = [0]_\rho \) by Lemma 6.2 and by definition of interpretation. Then, by Lemma 7.2, we have that \( \text{Chk}^{\sigma_1}_\rho N_1[P_1 / x_1, \ldots, P_h / x_h] \downarrow \Omega \).

So, we can conclude by induction an by applying the evaluation rule (\( \text{ligor} \)).

\[ \square \]

**Corollary 4 (Adequacy).** For all \( M \in \mathcal{P} \), for all \( n \), \([M]_n = [n]_\rho \) if and only if \( M \downarrow n \).

**Theorem 5 (Correctness).** Let \( M^r, N^r \) be terms of \( S(\text{PCF}) \).
If \([M]_\rho = [N]_\rho \), for each \( \rho \in \text{Env} \), then \( M \approx^r N \).

4.1. \( S(\text{PCF}) \) program examples
We discuss some examples of the use of the \texttt{which?} and of the \texttt{let-\texttt{for}} operators. Let us start with two examples for \texttt{which?}. The first example show how to use it in order to define a kind of linear exception. Consider the term

\[ \text{Try}_n = \lambda f^{(1 \cdots n)} g^{(1 \cdots 1)} (\lambda x'. \ell (\pi_2 x) \doteq n) \Omega s(\pi_1 x) \texttt{(which? } \lambda h.f(\lambda x'. g(hx)) \texttt{)} \]
Try\textsubscript{\text{a}} takes in input a functional $f^{(c)}$ and a function $g^{(d)}$. It tries to evaluate the term $f \circ g$ and it raises an exception (returning 0) if during the evaluation, $f$ observes $g$ on the particular value $n$. Otherwise it returns the successor of the result of the evaluation.

The second example is more involved, and it shows how to use the which? operator to define a family of programming constructs ($\text{@wh}?\tau$) useful to collect precise run-time information. Let us introduce the operators $\text{@wh}?\tau : (\sigma \rightarrow \tau) \rightarrow \sigma \rightarrow \tau$, with the following operational semantics:

$$\frac{M^{(\sigma \rightarrow \tau)} \text{Sgl}_{\sigma}^{(\tau)} P_1 \ldots P_k \Downarrow n \quad \text{Chk}_{\sigma}^{(\tau)} \ N \Downarrow 0}{\text{@wh}?\tau M^{(\sigma \rightarrow \tau)} N^{\sigma} P_1 \ldots P_k \Downarrow \lfloor n \cdot m \rfloor}$$

Observe that ($\text{@wh}?\tau M N P_1 \ldots P_k \Downarrow$ if and only if $M N P_1 \ldots P_k \Downarrow$. This control operator gives back the result $n$ of the evaluation of $M N P_1 \ldots P_k$ together with the numeral $m$ encoding the part of the trace of $N$ used for the evaluation. This information will be essential in the proof of the Finite Definability Theorem.

The definition of $\text{@wh}?\tau$ is slightly involved, so we give first some examples to understand how this can be done.

First, observe that the term $\lambda \tau. \text{which}? (\lambda h^{\tau} \cdot f (h x))$ implements $\text{@wh}?\tau \cdot$. Informally, the idea of this program is that which? can use the variable $h^{\tau}$ as an observer, to retrieve the value associated to $x$ during a specific evaluation. Suppose now that we want to build a term behaving as $\text{@wh}?\tau \cdot$. Assume $M^{(\tau \rightarrow \sigma)}$ and $N^{\sigma}$ to be two terms such that $M N \Downarrow n$. This evaluation is driven by $M$: it inputs $N$, it applies $N$ to a (unique) suitable ground argument (we name this argument $i^B$ and we name $g^B$ the output of the evaluation of $N i^B \Downarrow$) and, last, it computes the result $n$ (possibly, by using $g^B$). To reach our purpose, we need to find a way to bring in the output information about $i^B$ and $g^B$. Assume $I$ to denote $\lambda y. y$, it is easy to understand that $M (\lambda x. (I (N x))) \Downarrow n$ by harmless eta-expansions and two additional copies of the identity. Patently, the rightmost identity forwards $i^B$ and the leftmost identity forwards $g^B$. By a sharp use of which?, we replace the rightmost identity, so that $\text{which}? (\lambda h_1 M (\lambda x. (I (N (h_1 x)))))) \Downarrow \lfloor n \cdot g^B \rfloor$. Re-applying this trick $\text{which}? (\lambda h_0. \text{which}? (\lambda h_1 M (\lambda x. (h_0 (N (h_1 x)))))) \Downarrow \lfloor n \cdot \pi^B, g^B \rfloor$ which is almost what we are looking for. In order to conclude it is sufficient to project the three numerals and suitably re-compose them.

The proof of the following theorem generalizes the technique.

**Theorem 6.** $\text{@wh}?\tau$ is definable in SPCF.

**Proof.** In the following, we show that, for each $\sigma$ there is a term in SPCF behaving as $\text{@wh}?\tau : (\sigma \rightarrow \tau) \rightarrow \sigma \rightarrow \tau$, i.e. respecting its operational rule: $\text{@wh}?\tau M^{(\sigma \rightarrow \tau)} N^{\sigma} P_1 \ldots P_k \Downarrow \lfloor n \cdot m \rfloor$ whenever $M^{(\sigma \rightarrow \tau)} \text{Sgl}_{\sigma}^{(\tau)} P_1 \ldots P_k \Downarrow n$ and $\text{Chk}_{\sigma}^{(\tau)} \ N \Downarrow 0$.

Let $\tau = \tau_1 \rightarrow \cdots \rightarrow \tau_k \rightarrow \iota$, the proof is by induction on $\sigma$.

**Case** $\sigma = \iota$. Let $L$ be the following term:

$$\lambda \tau. \lambda x. \text{which}? (\lambda h^{\tau} \cdot f (h x)) g_1 \ldots g_k .$$

Clearly, $L M N P_1 \ldots P_k \Downarrow \lfloor n \cdot m \rfloor$ if and only if $N \Downarrow m$ and $M P_1 \ldots P_k \Downarrow n$ if and only if $M^{(\sigma \rightarrow \tau)} \text{Sgl}_{\sigma}^{(\tau)} P_1 \ldots P_k$ and $\text{Chk}_{\sigma}^{(\tau)} \ N \Downarrow 0$. Therefore, $\text{@wh}?\tau$ is implemented by $L$. 
Case $\sigma = \sigma_1 \circ \cdots \circ \sigma_p$. Suppose $\sigma_2 = \mu_1 \circ \cdots \circ \mu_p \circ \tau$ and, without loss of generality, assume $M \vDash P_1, \ldots, P_k \vdash B$. Clearly $M(\lambda z_1 \cdots z_p. I(N(z_1) \cdots (z_p))) P_1, \ldots, P_k \vDash B$, where the first identity of $\sigma_2$ forwards the result produced by use of $B$ and the remaining copies of identities of $\sigma_2$ forward its $j$-th argument (1 $\leq j \leq p$). Let $L$ be $(\lambda z_1 \cdots z_p. x_0(N(x_1 z_1) \cdots (x_p z_p)))$ for the above term where we replaced identities by fresh variables, for $0 \leq j \leq p$.

We build a sequence of terms $L_0, L_1, \ldots, L_1$ by abstracting one more free variable in each of them. Let $L_0 = @w$ wh $?\mu_1(\lambda x_0^\circ \cdots M LP_1 \cdots P_k) I^\sigma B$, thus the evaluation of $L_0$ after substituting its free variables with (conveniently typed) identities gives back a pair $[B, B_0]$. Let $L_1$ be $(\lambda x_0^\circ \cdots M LP_1 \cdots P_k) I^\sigma B$, and so on, until $L_1$ is the closed term $M N \sigma_1(\mu_1) \cdots M N \sigma_p(\mu_p) \vDash B$, and, moreover, $M N \sigma_1(\mu_1) \cdots M N \sigma_p(\mu_p) \vDash B$. It is easy to check that $L_1 \vdash [[[[B, B_0], [B_1], [B_2], [B_3]]], [B_0]] \vdash [B, [B_1], [B_2], [B_3], [B_4]]]$. Explicitly rewriting $\lambda x_1 \cdots x_p. \sigma_1 = @wh?\mu_1(\lambda x_1^\circ \cdots M LP_1 \cdots P_k) I^\sigma B$, where $L$ is $(\lambda z_1 \cdots z_p. x_0(N(x_1 z_1) \cdots (x_p z_p)))$. We replace $M, N, P_1, \ldots, P_k$ by some fresh variables, let $w$ be the term

$$@w = @\mu(\lambda x_1^\circ \cdots M LP_1 \cdots P_k) I^\sigma B \vdash w,$$

where $L' = (\lambda x_1 \cdots x_p. x_0 g^\circ (x_1 z_1) \cdots (x_p z_p))$. The term behaving as $@w$ is defined as $\lambda x_1 \cdots x_p. g^\circ (x_1 z_1) \cdots (x_p z_p)$.

As an example of the use of the $\text{let-\lor}$ operator, we show how to use it in order to program in $\Sigma FC\Phi$, the operator $\text{Of}$ defined in Paolini and Piccolo (2008). Indeed, we show how to define $\text{GOf}^{d_1, d_2, d_3, d_4}$ for all $d_1, d_2, d_3, d_4 \in B$. In order to proceed in a modular way, we introduce a notation useful to consider the restrictions of $\text{GOf}$ that use only a subset of the four rules. Precisely, a $\bullet$ in the list $L$ is used to denote the operator obtained omitting the corresponding rules. For instance, $\text{GOf}^{d_1}$ where $L = \varnothing, \varnothing, \bullet, \bullet$ is defined as

$$\lambda x_1 \cdots x_4. \text{let } g_1 = f_1 g_2 = f_2 g_3 = f_3 \text{ inf or}$$

$$\text{Of}^{d_1, d_2, d_3, d_4, d_5}.$$
Finally, $G\bar{\sigma}^L$ with parameter $L = 0, 1, 2, 3$ can be defined as

$$\lambda f_1 \rightarrow \mu f_2 \rightarrow \mu f_3 \rightarrow \mu. \text{let } g_1 = f_1, g_2 = f_2, g_3 = f_3 \in \text{or}(G\bar{\sigma}^L, G\bar{\sigma}^L, G\bar{\sigma}^L, G\bar{\sigma}^L, G\bar{\sigma}^L).$$

For every parameter $L$, all the $G\bar{\sigma}^L$ can be built analogously. As expected, the let-f or operator is fundamental for the above construction.

5. Finite Definability and Full Abstraction

In this section, we prove that the linear model $L$ is fully abstract with respect to $S\Pi PCF$. This result relies on the completeness of the linear interpretation with respect to the operational semantics and on the definability of all the finite cliques by means of $S\Pi PCF$ terms. In particular, we start by proving the completeness with respect to the Fix-Point equivalence then, in next section (Proposition 4 and Theorem 9), we then prove that the Fix-Point and the Standard operational equivalences coincide. From this, full abstraction holds also with respect to the latter.

Finite definability asserts that all finite cliques can be defined by means of $S\Pi PCF$ terms. We follow a standard scheme for proofs of this kind, e.g. Plotkin (1977), Paolini (2006). Non trivial uses of @wh? and let-f or constructors are needed in inductive steps.

**Definition 18.** Let $u$ be a finite clique of a coherence space in $L$. A term $M$ defines $u$ if and only if $[M] = u$. The class of closed terms having $u$ as interpretation is denoted by $\{u\}$, i.e. $[u] = \{M \mid [M] = u\}$. Moreover, $[a^1, \ldots, a^k]$ is used to abbreviate $\{a^1, \ldots, a^k\}$ and $[u] = M$ is used to abbreviate $M \in [u]$.

By abuse of notation, in the following we denote $[u]$ a term $M$ such that $M = [u]$. In the following, if $i \in \{1, 2\}$ and $k \leq 0$ we denote $\pi^k_i (2)$ to be $\pi_i$ applied $k$ times to $2$. To prove definability, we use the following auxiliary lemma.

**Lemma 10.** Let $(a_0, \ldots, a_n, a), (b_0, \ldots, b_n, b) \in [\tau_0 \rightarrow \cdots \rightarrow \tau_n \rightarrow i]$. Then:

1. $(a_0, \ldots, a_n, a) \prec (b_0, \ldots, b_n, b)$ if and only if \( \exists k \leq n: a_k \prec b_k \);
2. $(a_0, \ldots, a_n, a) \asymp (b_0, \ldots, b_n, b)$ if and only if \( \forall k \leq n: a_k \asymp b_k \).

Some measures are needed in the next theorem: the cardinality of a clique $u$ is denoted $\|u\|$; the RK of a type is inductively defined as: $\text{RK}(i) = 1; \text{RK}(\sigma \rightarrow \tau) = \text{RK}(\sigma) + \text{RK}(\tau)$.

**Theorem 7 (Finite Definability).** If $u \in Cl_{fin}(\sigma)$ then there exists a closed $M \in S\Pi PCF$ such that $M = [u]$.

**Proof.** Let $\sigma = \tau_1 \rightarrow \cdots \rightarrow \tau_k \rightarrow i$ for some $k \geq 0$. The proof is by induction on the triple $\langle \text{RK}(\sigma), k, \|u\| \rangle$ ordered in a lexicographic way. The cases $\text{RK}(\sigma) = 1$ and $\text{RK}(\sigma) = 2$ are easy.

- Consider $\text{RK}(\sigma) = 1$, then $\sigma = i$ and $[\sigma] = N$. Thus, $\Omega$ and numerals define all possible finite cliques, since $Cl_{fin}(N) = \emptyset \cup \{\{n\}/ n \in |N|\}$.
- Consider $\text{RK}(\sigma) = 2$, then $\sigma = i \rightarrow i$. 

- If \( \|u\| = 0 \) then \( u = \emptyset \) is defined by \( \Omega^{i \mapsto \emptyset} \).
- If \( \|u\| \geq 1 \), then \( u = u' \cup \{(a, b)\} \) for \( a, b \in \mathbb{N} \). By induction hypothesis we have \([u']\), hence \([u] = \lambda z. \text{if} \ (z = [a]) \ [b] \ ([u']z) \).

- Consider \( \text{RK}(\sigma) \geq 3 \) and \( k = 1 \), then \( \sigma = \tau \mapsto \iota \) with \( \tau = \sigma_1 \mapsto \cdots \sigma_r \mapsto \iota \).

- If \( \|u\| = 0 \) then \( u = \emptyset \) is defined by \( \Omega^{i \mapsto \emptyset} \).
- If \( \|u\| = 1 \), then \( u = \{(a, b)\} \) for \( a \in \mathbb{N} \) and \( b \in \mathbb{N} \). Suppose \( a = (a_1, \ldots, a_r, c) \) where \( a_i \in [\sigma_i] \) and \( c \in \mathbb{N} (1 \leq i \leq r) \). By induction hypothesis we have \([a_1], \ldots, [a_r], [c] \) and \([b] \), hence: \([u] = \lambda x. \text{if} \ (f[a_1] \cdots [a_r] = [c]) \ [b] \ \Omega^i \).

- If \( \|u\| > 1 \), then \( u = \{(a^0, b^0), \ldots, (a^m, b^m)\} \) for \( a^i \in \mathbb{N} \) and \( b^i \in \mathbb{N} (0 \leq i \leq m) \). Suppose \( a^i = (a^i_1, \ldots, a^i_{r-1}, c^i) \) where \( a^i_j \in [\sigma_i] \) and \( c^i \in \mathbb{N} (1 \leq j \leq r) \). By Lemma 10.1, we have \( a^h \sim a^k (0 \leq h \neq k \leq m) \), so by Lemma 10.2 \( a^h_j \circ a^k_j (1 \leq j \leq r) \). Hence, take \( v_j = \{a^h_j| 1 \leq i \leq m\} \). Moreover, for sake of simplicity, we write just \( a^h_j \) in place of \([a^h_j]\), i.e. the natural number encoding \( a^h_j \). By induction hypothesis we have \([v_j]\), \([c^i]\) for every \( 0 \leq i \leq m, 1 \leq j \leq r \) and \( 1 \leq k \leq s \). So, we can define:

\[
[u] = \lambda Z^i \cdot (\lambda Z^1 \cdot (\lambda Z^2 \cdot \ldots (\lambda Z^m \cdot (\text{if} \ (\pi^1_1(z) = a^0_1 \ldots \pi^1_m(z) = a^0_m) b^0 \ldots \text{if} \ (\pi^i_1(z) = a^i_1 \ldots \pi^i_m(z) = a^i_m) b^i \ldots (\text{if} \ (\pi^m_1(z) = a^m_1 \ldots \pi^m_m(z) = a^m_m) b^m \ldots \text{if} \ (\forall h \leq i \pi^h_1(z) = a^h_1 \ldots \text{if} \ (\forall h \leq i \pi^h_m(z) = a^h_m))) \ldots [v_1]) \ldots [v_k]))
\]

- Consider \( \text{RK}(\sigma) \geq 3 \) and \( k > 1 \).

- If \( \|u\| = 0 \), then \( u = \emptyset \) is defined by \( \Omega^{i \mapsto \emptyset \mapsto \cdots \mapsto \emptyset} \).
- If \( \|u\| = 1 \), then \( u = \{(a_1, \ldots, a_k, b)\} \) where \( a_i \in \mathbb{N} \) (1 \( \leq i \leq k \)) and \( b \in \mathbb{N} \). Thus, \([u] = \lambda f_1 \cdot \ldots \cdot f_k. \text{if} \ (\text{Chk}_{\alpha}^{(a_1)} f_1) \cdots (\text{Chk}_{\alpha}^{(a_k)} f_k) [b] \ \Omega^k \).

- If \( \|u\| = 2 \) then \( u = \{(a^1_1, \ldots, a^1_k, b^1), (a^2_1, \ldots, a^2_k, b^2)\} \). We know that there is \( i \in [1, k] \) such that \( a^1_i \sim a^2_i \). If \( \tau = \iota \) then \( a^1_i \) and \( a^2_i \) are two different numbers: thus the term defining \( u \) is the following:

\[
[u] = \lambda f_1 \cdot \ldots \cdot f_k. \text{if} \ (f_1 \doteq [a_1]) \left( \text{if} \ (\text{Chk}_{\alpha}^{(a_1)} f_1) \right) \ldots \left( \text{if} \ (\text{Chk}_{\alpha}^{(a_k)} f_k) \right) [b^1] \Omega \left( \text{if} \ (f_1 \doteq [a_2]) \right) \ldots \left( \text{if} \ (\text{Chk}_{\alpha}^{(a_k)} f_k) \right) [b^2] \Omega
\]

If \( \tau = \nu_1 \cdot \ldots \cdot \nu_l \cdot \iota \) then we have that \( a^1_i = (e_1^i, \ldots, e^i_l, c^i) \) and \( a^2_i = (e_2^i, \ldots, e^i_l, c^i) \). Since \( a^1_i \sim a^2_i \), we have that for all \( j \in [1, l] \) the sets \( \{e_j^1, e_j^2\} \) are cliques of lower rank. Thus by inductive hypothesis we have terms \( N_j \) defining them. Thus the term defining
u is the following
\[
[u] = \lambda f_1 \ldots f_k. \big( \lambda x. \text{if } (\pi_1 x \equiv e_1^{1} \text{ and } \pi_2 x \equiv e_2^{1}) \text{ and } \ldots \pi_2 x \equiv e_1^{2} \text{ and } \ldots \pi_2 x \equiv e_2^{2} \text{ and } \ldots \big)
\]

\[
\big( \text{Chk}_{\mathcal{M}}^{(r_1)} f_1 \text{ and } \ldots \text{Chk}_{\mathcal{M}^{-1}}^{(r_1)} f_1 \text{ and } \ldots \big) \big[ b_1 \big] \Omega
\]

- If \( \|u\| > 2 \), then \( u = \{d^1, \ldots, d^m\} \) where \( d^j = (a_i^1, \ldots, a_k^1, b^j) \), \( a_i^1 \in \mathbb{N}_1 \) and \( b^j \in \mathbb{N} \) \((1 \leq j \leq m, 1 \leq i \leq k)\). We denote by \( d^j[b] \) the token \((a_1^1, \ldots, a_k^1, b)\). By Lemma 10.1 there exists \( 1 \leq h \leq k \) such that \( a_h^1 \prec a_h^2 \), so we can build the following finite cliques:

\[
w_1 = \{d^1[0], d^2[b^2 + 1]\}
\]

\[
w_2 = \{d^1[b^1 + 1]\} \cup \{d^r[0] \mid 2 < r \leq m\}
\]

\[
w_3 = \{d^2[0]\} \cup \{d^r[b^r + 1] \mid 2 < r \leq m\}
\]

Note that \( \|w_s\| < \|u\| \) for \( s = 1, 2, 3 \). So, by induction hypothesis we have \([w_1], [w_2] \) and \([w_3]\). Hence, we conclude the proof by letting \([u]\) be

\[
\lambda f_1 \ldots f_k. \text{let } g_1 = f_1, \ldots, g_k = f_k \text{ infer } ([w_1]g_1 \ldots g_k)([w_2]g_1 \ldots g_k)([w_3]g_1 \ldots g_k)
\]

The definability of finite cliques is the key ingredient to extend the Stable Closed Completeness (i.e. Theorem 4) to all the terms of \&PCF, as follows.

**Theorem 8 (Completeness).** If \( M \sim_{\sigma} N \) then \([M]\rho = [N]\rho\), for all \( \rho \in \text{Env} \).

**Proof.** Let \( \Gamma \vdash M, N : \sigma \) with \( \Gamma \vdash S = \{F_1^{r_1}, \ldots, F_n^{r_n}\} \) and \( \Gamma \vdash \ell, \Gamma \vdash \mu = \{x_1^{\sigma_1}, \ldots, x_m^{\sigma_m}\} \). Assume that there exists \( \rho \) such that \([M]\rho \neq [N]\rho\). By the Lemma 8, there exists a closed term \( P_{\sigma^{-1}} \) such that \( F(P)([M]\rho) \neq F(P)([N]\rho) \). By the Theorem 7, for all \( F_i \) in \( \Gamma \vdash S \) there is a term \( P_1 = [\rho(F_i)] \) and for all \( x_i \) in \( \Gamma \vdash \ell, \Gamma \vdash \mu \) there is a term \( N_1 = [\rho(x_i)] \). So, we can build \( C = P(\lambda x_1^{\sigma_1} \ldots x_m^{\sigma_m} \cdot [\sigma]N_1 \ldots N_m) \). Without loss of generality, let us assume \( F(P)([M]\rho) = \{k\} \). By adequacy we have \( C[M/F_1, \ldots, P_n/F_n] \downarrow k \) but \( C[N/P_1/F_1, \ldots, P_n/F_n] \downarrow \neq k \). This concludes the proof.

By soundness and completeness the full abstraction follows.

**Corollary 5 (Full Abstraction).** \( M \sim_{\sigma} N \) if and only if \([M]\rho = [N]\rho\), for all \( \rho \in \text{Env} \).
6. Coincidence of operational equivalences

In this section, we prove the coincidence of the standard operational equivalence (i.e. \( \approx_\sigma \), see Definition 4) and the fix-point operational equivalence (i.e. \( \sim_\sigma \), see Definition 5). Therefore, the full abstraction (Corollary 5) holds also for the standard operational equivalence and a \emph{compositional} theory of program equivalence can be effectively defined.

One direction follows easily by the fact that the fix-point equivalence coincides with the denotational equivalence by Corollary 5 and by the correctness of the denotational semantics w.r.t the standard operational equivalence.

**Proposition 4.** Let \( M^\sigma, N^\sigma \in \mathbb{S}[^\sigma \text{PCF}] \). If \( M \sim_\sigma N \) then \( M \approx_\sigma N \).

**Proof.** \([M] = [N], \) by Theorem 8. Thus we conclude, by Theorem 5. \qed

The opposite direction is more difficult and it requires semantic reasoning. First, we prove an auxiliary result (Corollary 6) that claims that in a coherence space \( X \in \mathcal{L} \) (i.e in our type structure) different from \( N \), finite cliques are never maximal w.r.t. set-theoretical inclusion\(^5\). Then, we use this fact, together with Adequacy (Theorem 4) and Finite Definability (Theorem 7) to prove the coincidence-result in the ground case (Lemma 14), which implies the general result (Theorem 9).

In the next lemmas we show that, if \( X \in \mathcal{L} \) is coherence space different from \( N \) then, a finite clique of \( X \) is never maximal w.r.t. set-theoretical inclusion.

**Lemma 11.** Let \( x \) be a non-empty finite set of tokens in \( [\sigma] \) satisfying

\[
\exists a \in x, \forall b \in x, a \succ b
\]  

Then \( \exists a' \not\in x, \forall b \in x, a' \sim b \).

**Proof.** Remark that \( x \) is not required to be a clique. The proof is by induction on the structure of \( \sigma \). The case \( \sigma = \iota \) is immediate: since \( x \) is finite, it suffices to choose a number in the set \( b \setminus x \) to obtain the result. For the inductive case \( \sigma = \sigma_1 \sim \sigma_2 \), let \( a = (a_1, a_2) \in x \) be an element satisfying (2), viz. \( \forall (b_1, b_2) \in x, a_1 \succ b_1 \land a_2 \succ b_2 \). So it is possible to build the set \( x_2 = \{ b_2 | (b_1, b_2) \in x \text{ and } b_2 \succ a_2 \} = \{ b_2 | (b_1, b_2) \in x \} \). By inductive hypothesis there is \( a'_2 \not\in x_2 \) such that for all \( b_2 \in x_2 \) we have that \( a'_2 \sim b_2 \). Let \( a' = (a_1, a'_2) \); it is not difficult to check that for all \( b \in x \) we have that \( a' \sim b \). \qed

**Lemma 12.** Let \( x \) be a non-empty finite set of tokens in \( [\sigma] \) (\( \sigma \neq \iota \)) satisfying

\[
\exists a \in x, \forall b \in x, a \succ b
\]  

Then \( \exists a' \not\in x, \forall b \in x, a' \sim b \).

**Proof.** Remark that \( x \) is not required to be a clique, albeit all finite cliques satisfy (3). The proof is by induction on the structure of \( \sigma \). The base case \( \sigma = \iota \) is vacuously true. For the inductive case \( \sigma = \sigma_1 \sim \sigma_2 \), let \( a = (a_1, a_2) \in x \) be an element satisfying (3). Let \( x_1 = \{ b_1 | (b_1, b_2) \in x \text{ and } b_1 \succ a_1 \} \) and \( x_2 = \{ b_2 | (b_1, b_2) \in x \text{ and } b_2 \succ a_2 \} \). It is

---

\(^5\) Observe that this fact is not true in the general case of stable functions: for example in the coherence space \( \text{!N} \rightarrow \text{N} \), the finite clique \( \{ (\emptyset, 0) \} \) is maximal.
easy to see that \( \{b_2 \mid (b_1, b_2) \in x \text{ and } b_1 \subset a_1 \} \subseteq x_2 \), because (3). By Lemma 11, there is \( a'_1 \not\subset x_1 \) such that for all \( c_1 \in x_1 \) we have \( a'_1 \sim c_1 \). There are two cases.

1. If \( \sigma_2 = \iota \) then \( x_1 = \{b_1 \mid (b_1, b_2) \in x_2 \} \) and we can set \( a' = (a'_1, k) \) where \( k \) is a randomly chosen natural number.

2. If \( \sigma_2 \neq \iota \) then we apply the inductive hypothesis: there is \( a'_2 \not\subset x_2 \) such that for all \( c_2 \in x_2 \) we have \( a'_2 \sim c_2 \). We set \( a' = (a'_1, a'_2) \).

In both cases it is not difficult to check that for all \( b \in x \) we have that \( a' \sim b \).

\[ \square \]

**Corollary 6.** Let \( x \in \text{Cl}_{f\text{in}}([|\sigma|]) \) with \( \sigma \neq \iota \). Then, there is \( a \in [|\sigma|] \) such that \( a \not\subset x \) and \( x \cup \{a\} \in \text{Cl}([|\sigma|]) \).

Given an environment \( \rho \), a term \( \mathcal{M} \), a stable variable \( F^{\sigma} \) and an infinite clique \( x \in \text{Cl}([|\sigma|]) \), with a slight abuse of notation in the sequel we write \([\mathcal{M} \rho[F := y]]\) to denote \( \bigcup_{x \subseteq f_{\mathcal{M}}} [\mathcal{M} \rho[F := y]] \).

**Lemma 13.** If \( \mathcal{M}[N/F \leftarrow] \in \text{SFPCF}_* \) then \([\mathcal{M}[N/F \leftarrow]] \rho = \bigcup_{x \subseteq f_{\mathcal{M} N}} [\mathcal{M} \rho[F \leftarrow := x]] \).

**Proof.** The proof is straightforward by induction on \( \mathcal{M}^\sigma \).

Now, we show that by using fixpoints it is possible to build contexts that allow us to discriminate as much as we can do by using substitutions.

**Lemma 14.** Let \( \Gamma \vdash M, N : \iota \) with \( \Gamma_\iota = \emptyset \). If \( M \approx N \) then \( M \sim \iota N \).

**Proof.** We prove the contrapositive. Let \( \text{SFV}(M), \text{SFV}(N) \subseteq \{F \} \) and let \( C^{[ \iota ]} \) be a context and \( \bar{F} \) be closed terms such that \( C[M[\bar{F}/F], C[N[\bar{F}/F]] \in \mathcal{P} \mathcal{C}[M[\bar{F}/F], C[N[\bar{F}/F]] \not\subseteq \mathcal{N} \) and \( C[N[\bar{F}/F]] \not\subseteq \mathcal{N} \).

By induction on \( |F| \) that there is a \( C^{[ \iota ]} \) such that \( C[M] \not\subseteq \mathcal{N} \) and \( C[N] \not\subseteq \mathcal{N} \).

**Base case.** Namely the two terms have no free occurrence of stable variables, thus we can take \( C^{[ \iota ]} = C^{[ \iota ]} \).

**Inductive case.** The fix-point (in)equivalence implies that there is a context \( C^{[ \iota ]} \) and there are closed terms \( P_1, \ldots, P_{n+1} \), such that \( C[N[P_1/F^{\sigma_1}], \ldots, P_{n+1}/F^{\sigma_{m+1}}] \not\subseteq \mathcal{N} \) but \( C[N[P_1/F^{\sigma_1}, \ldots, P_{n+1}/F^{\sigma_{m+1}}] \not\subseteq \mathcal{N} \).

Thus, by Correctness, there exists a \( \rho \) such that

\([\mathcal{M} \rho[F^{\sigma_1}] := [P_1] \rho, \ldots, F^{\sigma_{m+1}} = [P_{n+1}] \rho] \neq [\mathcal{N} \rho[F^{\sigma_1}] := [P_1] \rho, \ldots, F^{\sigma_{m+1}} := [P_{n+1}] \rho] \).

In the following, we define \( \rho_1 = \rho[F^{\sigma_1}] := [P_1] \rho, \ldots, F^{\sigma_{m+1}} := [P_{n+1}] \rho \). Since \( \Gamma \vdash M, N : \iota \), both \( [\mathcal{M} \rho_1[F^{\sigma_{n+1}} := [P_{n+1}] \rho] \) and \( [\mathcal{N} \rho_1[F^{\sigma_{n+1}} := [P_{n+1}] \rho] \) are finite sets (in particular, they have at most one element and they cannot be both empty). Thus, without loss of generality, we assume that \( [\mathcal{M} \rho_1[F^{\sigma_{n+1}} := [P_{n+1}] \rho] = \{k\} \) with \( k \in \mathcal{N} \). So by Lemma 13 there exists \( x \subseteq f_{\mathcal{M} N} \) such that

\([\mathcal{M} \rho_1[F_{m+1} := [P_{n+1}] \rho] = [\mathcal{M} \rho_1[F_{m+1} := x] \neq [\mathcal{N} \rho_1[F_{m+1} := x] \subseteq [\mathcal{N} \rho_1[F_{m+1} := [P_{n+1}] \rho] \).

Since stable variables are never of ground type, we can let \( \sigma_{m+1} = \tau_1 \iota \ldots \tau_l \iota \) and \( l \geq 1 \). Let \( x = \{a_1, \ldots, a_l, h^1 \}, \ldots, \{a_p, h^p \} \) with \( p \geq 0 \) and \( a_i \in [|\tau_i]\) for all \( i \in [1, p] \). Furthermore, by Corollary 6 there is a token \( \{a_1^*, \ldots, a_i^*, h^* \} \not\subset x \) such that \( x^* = x \cup \{a_1^*, \ldots, a_i^*, h^* \} \) is still a clique. Observe that it is not restrictive to assume \( h^* \not\in \{h_1, \ldots, h_p \} \), since changing of outputs preserves the
coherence of tokens. By Finite Definability, there is a term \( P \) defining the clique \( x^r \). Now, let us consider the context
\[
D^{[\tau]} = m_{m+1}. \lambda f_{m_1} \ldots f_{m_t}. \\
(\lambda x^r. \ell f (x \overset{\eta}{=} b^r) \mid \ell f (x \overset{\eta}{=} b_p) \{ \ldots \ell f (x \overset{\eta}{=} b_r) \}

Observe that \( D[M], D[N] \in S\text{PFC}_{\tau} \) because we assumed that \( M, N \) have no free occurrences of linear variables. If \( q_1 = \{q_1^1\}, \ldots, q_m = \{q_m^1\} \), it is not difficult to see that
\[
[D[M] \ Sgl^{(7)}_4 \ldots \ Sgl^{(7)}_m] \rho_1 = [M] \rho_1 [f_{m+1} := [P_{m+1}]_\rho] = \{k\} \quad \text{and,} \\
[D[N] \ Sgl^{(7)}_4 \ldots \ Sgl^{(7)}_m] \rho_1 \subseteq [N] \rho_1 [f_{m+1} := [P_{m+1}]_\rho]
\]
which is either empty or it is a singleton \( \{k'\} \) different from \( k \). By Lemma 13, adequacy and fix-point equivalence: \( D[M|P_1/f_{m_1}^\tau, \ldots, P_n/f_{m_n}^\tau] \ Sgl^{(7)}_4 \ldots \ Sgl^{(7)}_m \downarrow \ k \) and, \( D[N|P_1/f_{m_1}^\tau, \ldots, P_n/f_{m_n}^\tau] \ Sgl^{(7)}_4 \ldots \ Sgl^{(7)}_m \) diverges or converges to \( k' \). Thus, we can apply inductive hypothesis (since the number of free stable variables has decreased) to conclude the proof. \( \square \)

The above lemma can be used to prove the general case.

**Theorem 9.** Let \( M^\sigma, N^\sigma \in S\text{PFC}_{\tau} \). If \( M \approx_{\sigma} N \) then \( M \sim_{\sigma} N \).

**Proof.** We prove the contrapositive statement. Let us consider \( f_{m_1}^\tau, \ldots, f_{m_n}^\tau \) such that \( \text{SFV}(M), \text{SFV}(N) \subseteq \{ f_{m_1}, \ldots, f_{m_n} \} \). Let \( C^{[\tau]} \) be a context and \( \bar{F} \) be closed terms such that \( C[M|\bar{F}/\tau] \downarrow \bar{b} \) and \( C[N|\bar{F}/\tau] \not\downarrow \bar{b} \) for some numeral \( \bar{b} \). Namely, \( C[M|\bar{F}/\tau], C[N|\bar{F}/\tau] \in \mathcal{P}, \)

\( C[M] \not\approx_{\tau} C[N] \) and, both \( C[M], C[N] \) have no free occurrence of linear variables. Thus, by Lemma 14 there is a context \( D^{[\tau]} \) such that \( D[C[M]] \not\downarrow \bar{b} \) and \( D[C[N]] \not\downarrow \bar{b} \). So, the proof is done. \( \square \)

**Corollary 7.** The equivalence \( \sim_{\sigma} \) is a congruence.

### 6.1. Applicative Operational Equivalence

We conclude the section by defining an applicative operational equivalence obtained by considering only special kinds of contexts (Applicative Contexts) to test the equality of terms.

**Definition 19 (Applicative Operational Equivalence).** Let \( M^\sigma, N^\sigma \in S\text{PFC}_{\tau} \) such that \( \text{SFV}(M), \text{SFV}(N) \subseteq \{ f_{m_1}^\tau, \ldots, f_{m_n}^\tau \} \).

- \( M \preceq_{\sigma} N \) whenever, for all context \( C \) of the form \( (\lambda F. [\cdot]^{\sigma'}) \) \( P_1 \ldots P_n \) and for all closed terms \( L_{m_1}^\tau, \ldots, L_{m_n}^\tau \), if \( C[M|L/F] \not\downarrow \bar{b} \) then \( C[N|L/F] \not\downarrow \bar{b} \)

- \( M \approx_{\tau} N \) if \( M \preceq_{\sigma} N \) and \( N \preceq_{\sigma} M \).

**Theorem 10.** Let \( M^\sigma, N^\sigma \in S\text{PFC}_{\tau} \). If \( M \approx_{\sigma} N \) then \( [M] = [N] \).

**Proof.** Just by observing that the context used in the proof of Theorem 8 is an applicative context.

The applicative equivalence still coincides with the previous ones, so it provides a convenient tool for reasoning on programs.
Corollary 8. Let $M^\sigma, N^\sigma \in S^\ell \text{PCF}$. Then $M \sim^\sigma N$, $M \approx^\sigma N$ and $M \sim^A^\sigma N$ coincide.

7. Enhanced Tracing Reduction machine

The operational semantics of $S^\ell \text{PCF}$ presented in Section 2 and Section 4 is effective, but quite inefficient. The operational rules for which? and let-for non-deterministically face a potentially infinite number of evaluation branches, therefore an exhaustive search of the right branch among the infinite ones is needed. In this section, we introduce an enhanced tracing evaluation semantics which is able to drastically prune such infinite-branching search tree. Roughly speaking, denotational linearity provides the certainty that each term is applied to a unique sequence of arguments. Consequently, only one token in its interpretation is used. An efficient evaluation can be obtained by introducing a variable (a name) for each argument of a function, then the term is stored in correspondence of such name (in a suitable environment) and finally, during the evaluation the term is traced and the trace is stored in the environment. Summarizing, the idea is “to recursively trace” the arguments supplied to functions (by means of an environment) that record trace-information along the evaluation tree. The enhanced tracing evaluation semantics improve tracing we presented in Gaboardi et al. (2011) avoiding its main computational defect (i.e. a free use of substitutions).

7.1. The recursion-free fragment

For sake of simplicity, we start by presenting the tracing evaluation of the recursion-free fragment of $S^\ell \text{PCF}$, i.e. terms that does neither contain stable variables nor $\mu$-abstractions.

Following Barendregt, we say that a term respects the hygienic-condition when all variables have different names. More precisely, any two different bound variables have different names and, the name of one free variable is different from that of all bound ones. $S^\ell \text{PCF}$ endowed with the straightforward notion of reduction does not preserve hygienic-conditions by evaluation because it involves both recursive and additive features. For instance, $(\lambda f. \text{if } 0 f 3 f 5 )\,(\lambda x.x')$ respects the hygienic-conditions, but the evaluations involves both $(\lambda x'. x')^2$ and $(\lambda x'. x')^5$. However, in the recursion-free fragment of $S^\ell \text{PCF}$, we can state a remarkable property about the hygienic-compliance of the evaluation tree (in the case of a converging evaluation).

Property 2. Let $M$ be a program in the recursion-free fragment of $S^\ell \text{PCF}$, and $M$ respecting the hygienic-condition. Given a converging evaluation derivation $D$ proving $M \Downarrow^\nu$, if $D'$ is a sub-derivation of $D$ with conclusion $N \Downarrow^\nu$ then,

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- $N$ respect the hygienic-condition provided that: in the premise of the operational rule $(u)$ the (introduced) abstracted variable $x^i$ is fresh and, in the premise of rules (11gor), (21gor), (31gor), we rename variables (by using pairwise different fresh variables) in the duplicated terms;
- we can define a (finite) function from all the bound variables of $N$ (they have different names by hygienic-conditions) to terms of the corresponding type as follows: to each
Table 4. Enhanced Tracing Evaluation for the recursion-free fragment of \textit{S}PCF.

\[
\begin{align*}
\text{(Hvar)} & \hspace{1cm} (x^t)[L_0]:=[x::\xi]:L_1 & \psi_{\xi}^t(L_0)[x::\xi]:=L_1^t, \\
\text{(Hvar)} & \hspace{1cm} (h t)[L_0]:=[h::\tau]:L_1 & \psi_{\tau}^t(L_0)[h::\tau]:=L_1^t, \\
\text{(Hvar)} & \hspace{1cm} (h t)[L_0]:=[h::\tau]:L_1 & \psi_{\tau}^t(L_0)[h::\tau]:=L_1^t, \\
\end{align*}
\]
\( x^\sigma \) we associate a \( \text{Sgl}_n^\sigma \) for a suitable \( n \) such that \( \text{Chk}^{(\sigma)}(P) = 0 \) whenever \( P \) replaces \( x^\sigma \) in a sub-derivations \( D^* \) of \( D \); this condition endures that \( \text{Sgl}_n^\sigma \) can be used in place of \( P \).

**Proof.** The proof follows easily by straightforward induction on the evaluation rules.

The above property suggests that the recursion-free fragment of the evaluation semantics can be rewritten by avoiding immediate substitution, we can use a global environment-list to record the term supposed to be substituted to a given variable and to use it, when the variable is encountered. This is essentially the tracing operational semantics we are going to propose. From now on, for sake of simplicity, we assume that we evaluate only terms respecting the hygienic-condition.

In order to collect the tracing information in an evaluation tree we introduce a very simple notion of environment.

**Definition 20.** An environment \( \mathcal{L} \) is a finite list of entries that pairs variables (ground or linear) to either a term (named argument) or a numeral (named trace).

Let \( x^\sigma \) be a variable in the domain of \( \mathcal{L} \). If \( \sigma = \iota \) then \( \mathcal{L}(x) \) is always the trace, i.e. a numeral. If \( \sigma \) is an arrow then either \( \mathcal{L}(x) \) is a term typed \( \sigma \) (the argument) or it is a trace typed \( \iota \).

We note \( [\cdot] \) an empty environment and we use \( [f_1 := N_1, \ldots, f_k := N_k] : \mathcal{L} \) to represent the environment list obtained by appending the \( k \) pairs in the first list in front of the pairs of list \( \mathcal{L} \).

During the evaluation, we use variable-names as hold-place, recording in the environment the corresponding term when it is encountered and recording the trace when it become available. Linearity gives us a notable benefit in the Landin-style evaluation that we are presenting, namely we avoid the use of closures (i.e. recursive data-structures) and we avoid the duplication of the environments on subterms. We remark that we need a generator of fresh variables, with two main purposes. First, in order to trace the evaluation of which arguments (each which argument is associated to a fresh variable to be traced), second in order to trace subterms.

The rule of the enhanced tracing machine will be driven by states (i.e. term in environment); we denote them by \( (M|\mathcal{L}) \), where \( \mathcal{L} \) involves all free variable of \( M \). When we evaluate a subterm we do not restrict the environment to its free variables, for sake of efficiency, thus sometimes \( \mathcal{L} \) will involve more than the free variables of \( M \). Anyway, for sake of clarity, we explicitly remove unused variable-names from environments.

If \( M \) is a closed term then we can obtain its evaluation, by supplying the state \( (M|[\cdot]) \) to the tracing machine that we are introducing.

**Definition 21.** The **enhanced tracing evaluation** (for the recursion-free evaluation of \( \mathcal{S}\text{PCF}_\dagger \)) is the effective relation \( \downarrow_E \) from states (ground terms in environments) to states (numerals in environments) defined by the rules of Table 4. If \( (M|\mathcal{L}_0) \downarrow_E (n|\mathcal{L}_1) \) then we say that \( M \) converges, and we write simply \( (M|\mathcal{L}_0) \downarrow_E \), otherwise we say that it diverges, and we write \( (M|\mathcal{L}_0) \uparrow_E \).
To help the reader, we discuss how the rule have been conceived. The left-hand side of a state is always a term of the shape $\xi M_0 \ldots M_m : \iota$ for some $m \geq 0$, where $\xi$ (the head) is either a variable, or a numeral, an abstraction, or a which?, or $\ell$if $M L R$ (for some $M,L,R$), or $\lambda$et $f^0_1 = N_1, \ldots, f^k_1 = N_k$ in/or $M_1 M_2 M_3$. In the latter two cases ($\ell$if and $\ell$et-for) and when $\xi$ is a numeral the typing rules imply that $m = 0$.

The enhanced tracing evaluation presented in Table 4 is driven by the head $\xi$ of the term $\langle \xi M_0 \ldots M_m \rangle$ in the state $\langle \xi M_0 \ldots M_m | \Sigma \rangle$, which appears in the left-hand side of the conclusion. In order to facilitate the comprehension of the tracing semantics we have devised its rules in two parts. The rules in the higher Part take into account all possible shapes of the head $\xi$, but the case of a (head) variable. This latter case is tackled by the lower part of Table 4, they are driven by the shapes of terms associated to the (variable) $\xi$ in the environment.

The higher part of Table 4 is quite easy to understand, so we comment only rules involving non-standard operators. The rule (u) extends the incoming environment $\Sigma_0$ by a fresh variable $h$ associated to the term $M$ that we plan to trace, and evaluates $h$ applied to the identity $I = \lambda x.x$ (recall that if $\text{which}?(M)$ converges, by rules in the Table 1.c, then $M \Sigma$ also converges). The result of this evaluation gives back a state $\langle h \Sigma_1 \rangle$ where $\Sigma_1$ reports the observed trace of $M$ that allows us to build the expected output information. Likewise, each of the three $\ell$et-for rules start by evaluating a branch (non-deterministically). If a branch converges (in a rule) then, the reported trace is used in order to start the evaluation of the other branch, where we supply as arguments of the involved variables a term having (exactly) the behavior of the reported trace (recall the Lemma 6). Therefore, a rule converges only in case the two (involved) branches do the same observations on the list of arguments supplied to a linear variable.

The lower part of Table 4 contains rules having in the left-hand side of conclusion, a state of the shape $\langle \approx M_0 \ldots M_m | \Sigma \rangle$ where $\approx$ is the head variable. These rules are driven by the shape of the term associated to $\approx$ in $\Sigma$. Because (well-typed) $\ell$et-for-terms, well-typed $\ell$if-terms and ground variables are typed $\iota$, we remark no $\Sigma$ can associates $\approx$ to one of them. Indeed, the call-by-value policy for ground sub-terms force their evaluations before storing them in the environment.

The rule (Hgvar) is easy. (Hvar) considers the case where the argument associated to the head variable is another variable-name, it forwards the evaluation by using the argument (this case can happen only with arrow-typed variables). (Happ) is a key rule. It applies in the case the argument associated to the head variable is an application $M N$. In this case, it shifts $N$ in the term of the state driving the rules. Such approach allows us to collect sufficient information to report the needed traces. The rules (Hs), (Hp) are quite simple. Likewise to the rule (u) presented above, the rule (Hu) is interesting: it uses the information gathered by the fresh variable $h$ to produce the right outcome and the trace of the $\text{which}$? itself. Finally, the rules (HL$\xi$), (HL$\lambda$N), (HL$\lambda^-$) and (HL$\lambda^-$) consider the cases arising from an head variable associated to an abstraction in the environment. There are four rules depending from two types, i.e. the type of the body of the abstraction and the type of the abstracted variable: mnemonically, the rule’s names use as superscript the type of the variable and as subscript the type of the body. The rules (HL$\xi$) and (HL$\lambda$N) need first to evaluate the ground argument in order to comply the call-by-value policy.
All the rules use trace-information in the (right-hand side of) premises to obtain the trace-information that they provide in the (right-hand side of) conclusion.

The tracing evaluation semantics is very concrete and it can be straightforwardly adapted to use the de Bruijn indexes (de Bruijn, 1972) to avoid the needed for variable names in environments, since our environments are never reordered and grow only on the left-hand side. Therefore this evaluation looks as a reasonable base for an implementation.

7.2. Full evaluation

We extend the evaluation provided in the previous sub-section by adding the evaluation rules for recursion. First of all, we can assume without loss of generality that all names of stable variables respect hygienic conditions, i.e. we assume that all “identifiers” in programs are unique. A $\mu$-abstraction $\mu f.M$ is morally a ML-like expression $\text{letrec } f = M \text{ in } M$. A standard compilation technique to normalize the presence of $\text{letrec}$ in programs is the lambda-lifting (see Johnsson (1985)). Lambda-lifting allows us to transform programs so that all recursive-definitions are given in one giant $\text{letrec}$ at the top-level and the only free variables of definition-bodies are just stable ones.

We adapt the lifting algorithm to $S^\ell\text{PCF}$. Let $M$ be a term of $S^\ell\text{PCF}$ and let assume that in $M$ occur $k \geq 0$ (different) $\mu$-abstractions.

We say that a $\mu$-abstraction is liftable whenever it does not contain ground (and linear) free-variables (albeit, it can contain stable ones). We remind that a well-typed $\mu$-abstraction cannot contain linear free variables. A not liftable $\mu$-abstraction is said unliftable.

We describe our Lifting-algorithm.

1. Let $X$ be the term we are considering. If $X$ only contains liftable $\mu$-abstraction then we skip to Step 3, otherwise we proceed to Step 2.

2. Since $X$ contains (at least) one unliftable $\mu$-abstraction, we can always find a unliftable $\mu$-abstraction $\mu F.B$ (occurring in $X$) that does not contain (as subterm) any other unliftable $\mu$-abstraction. Let us assume that $\text{FV}(\mu F.B) = \{z_1^\sigma, \ldots, z_h^\sigma\}$ for some $h \geq 1$; note that we are just looking to ground free variables. We replace the (unique) occurrence of $\mu F.B$ in $X$ by $(\mu \mu_{\sigma_1}^\sigma, \ldots, \mu_{\sigma_h}^\sigma, A_{\sigma_1}^\sigma, \ldots, A_{\sigma_h}^\sigma)z_{\sigma_1}^1 \ldots z_{\sigma_h}^h$ where

$$A = \lambda y_1^{\sigma_1} \ldots y_h^{\sigma_h}. B[y_1^{\sigma_1}/z_{\sigma_1}^1 \ldots y_h^{\sigma_h}/z_{\sigma_h}^h, (F_A^{\sigma_1}, \ldots, \cdot \cdot \cdot, F_A^{\sigma_h})y_1^{\sigma_1} \ldots y_h^{\sigma_h})/F_{B}]$$

and $y_1^{\sigma_1}, \ldots, y_h^{\sigma_h}$ are fresh variables. Then, we proceed by re-applying the Step 1, to the term built in this step. Patently, this iteration ends in finite steps, since there are finite unliftable $\mu$-abstractions in $X$ and Step 2 decrease it.

3. Let $\text{Def}$ be a finite function from stable variables to $S^\ell\text{PCF}$-terms such that all (possible) free variables are stable ones. We start by assuming $\text{Def}$ be the empty function and that $X$ is the term obtained by previous steps (containing only liftable $\mu$-abstractions).

4. If $X$ does not contain (liftable) $\mu$-abstraction we concluded the lifting, otherwise we proceed to Step 5.

5. Since $X$ contains (at least) one liftable $\mu$-abstraction, we can always found a liftable
\( \mu \)-abstraction \( \mu f_B. B \) (occurring in \( X \)) that does not contain (as subterm) any other \( \mu \)-abstraction (albeit it can contain free stable variables). We extend \( \text{Def} \) by associating \( B \) to \( f_B \) and we replace in \( X \) the (unique) occurrence of \( \mu f_B. B \) by \( f_B \).

We proceed by coming back to the Step 4, with the term built in this step and the updated \( \text{Def} \). Patently, this iteration ends in finite steps, since there is a finite number of \( \mu \)-abstractions in \( X \) and we decrease it.

The Lifting-algorithm gives back a function \( \text{Def} \) together with a term \( X \) of \( S^\ell \text{PCF} \) free of \( \mu \)-abstractions. Following the standard literature, the algorithm we considered can be improved in many ways. As the Johnsson’s algorithm, we first perform parameter lifting and then perform block floating (Augustsson, 1987; Johnsson, 1987). The main difference with respect to standard presentation is that we do not move recursive-definitions at the top-level of the term itself, but we move them out of the starting program (i.e. in \( \text{Def} \)). Soundness proofs are very standard but quite boring, see for instance (Augustsson, 1987; Johnsson, 1987), we omit them.

By applying the Lifting to \( S^\ell \text{PCF} \), we transform a program in a definition-function \( \text{Def} \) together with a body \( B \) to be evaluated. Remark that both \( B \) and terms in the range of \( \text{Def} \) satisfy the proviso that, all its free variables are stables variables.

From now on we assume that the lifting has been executed, so we can focus our attention on the evaluation of terms that does not contains \( \mu \)-abstractions but (possibly) contains free stable variables. Such terms are supplied together a definition function \( \text{Def} \) associating to each stable variable a suitable term.

Multiple recursive calls of the same definition can cause clash of variable names, to avoid them we need a refreshing function: if \( M \) contains only free variables and no \( \mu \)-abstraction then \( M^\oplus \) is obtained by replacing all bound variable names by means of fresh (i.e. never used in the evaluation) names. Clearly, \( M \) and \( M^\oplus \) are \( \alpha \)-equivalent in the standard sense.

**Definition 22.** The full evaluation of \( S^\ell \text{PCF} \) is thus obtained by adding to the rules of Tables 4 that of table 5.

The only peculiarity in these new rules is the use of the refreshing function on new definition-instances. This kind of refreshing is sufficient since the linearity assures that no duplication can occur. The lack of linearity impedes the use of the proposed evaluation technique to PCF: first, closures must be duplicated on subterms; second, the considered refreshing is useless in presence of term duplication along the computation.

Note that the refreshing function can be easily implemented avoiding the exploration of involved terms at each call. To reach this goal, the idea is to collect the position of the bound variables in definitions and their positions along the compilation phase. Then, we record such information in the definition function. Because, we always use \( \text{Def} \)
in conjunction with $\oplus$ we can transform these two logical operations in a single, more efficient, operation. This latter, by using the collected information, can smoothly provides a refreshed instance of the definition. Standard techniques of nominal binding can used to reach this goal.

Summarizing, the proposed enhanced tracing operational evaluation prune the infinite-branching search tree that affects the evaluation $\downarrow$ presented in previous sections. In this sense, it is similar to the tracing evaluation presented in Gaboardi et al. (2011). However, we go definitively further this latter, by avoiding its main defect (i.e. a free use of substitutions) which is replaced by a very limited and controlled form of refreshing. Concluding, we feel that the proposed evaluation is very efficient.

7.3. Soundness

In this section we prove that the two presented operational evaluations (namely, $\downarrow$ and $\downarrow\downarrow$) are equivalent. It useful to remark that the environment-list $L$ can be regarded as a stack with the top element on its left.

**Definition 23.** Let $L = [f_1 := N_1, \ldots, f_k := N_k]$ ($k \geq 0$) be an environment such that all variables are pairwise different $\parallel$. The set $\text{Dom}(L) = \{f_1, \ldots, f_n\}$ is the domain of $L$.

If $L$ is an environment, the arrow-typed variables in $\text{Dom}(L)$ are partitioned in two subsets, traced and not-traced. A variable $x^{\sigma \rightarrow \tau} \in \text{Dom}(L)$ is traced when $L$ associates it to a numeral and it is not-traced when $L$ associates it to a terms of type $\sigma \rightarrow \tau$.

**Definition 24.** Let $L = [f_1 := N_1, \ldots, f_k := N_k]$ ($k \geq 0$). Its not-traced domain $\text{uDom}(L)$ is the restriction of $\text{Dom}(L)$ to not-traced variable (included ground variables). $L$ is acyclic whenever, for each $i \leq k$, $W_i = \text{FV}(N_i) - \text{SVar}$ (namely the set of all free variables in $N_i$ but stable ones) satisfies $W_i \subseteq \text{uDom}([f_{i+1} := N_{i+1}, \ldots, f_k := N_k])$.

We are interested only in acyclic environment. The empty-environment is trivially acyclic. Aciclicity arises from the fact the environment work as a stack, where we push subterms that contains (not stable) free variables bounded in the stack itself. If $L$ contains traced variables then the evaluation of $\langle M|L \rangle$ leaves them untouched and unused. Recorded traces are just reported-back to the “caller” of the evaluation i.e., if we are considering a sub-derivation of a derivation then the derivation can use the reported trace conveniently.

**Definition 25.** Let $L$ be an acyclic environment. If $\langle M|L \rangle$ is a state then $L$ induces a substitution on $M$ defined as follows:
- if $L = []$ then $L[M] = M$.
- if $L = [f := N]::L'$ and $f$ is traced then, $L[M] = L'[M]$;
- if $L = [f := N]::L'$ and $f$ is not-traced then, $L[M] = L'[M[N/F]]$.

$\langle M|L \rangle$ is *well-formed* whenever, $L$ is acyclic and $L[M]$ is a closed term typed $\iota$.

$\parallel$ The proviso holds, since we consider only evaluations of terms respecting hygienic conditions.
Since the not-traced part of a domain includes all ground variables, they are always substituted by the operator \( \{ \} \). If \( \langle M, L \rangle \) is state and \( L \) is acyclic and \( \text{FV}(M) \setminus \text{Var} \subseteq uDom(L) \) then, it is easy to see that \( \langle M, L \rangle \) is well-formed. Moreover, the evaluation of a well-formed state just involves well-formed states.

**Theorem 11.** Let \( \langle M, L \rangle \) be well-formed.

1. If \( L_0[M] \Downarrow \pi \) then \( \langle M, L_0 \rangle \Downarrow \langle \pi, L_1 \rangle \).
2. If \( \langle M, L_0 \rangle \Downarrow \langle \pi, L_1 \rangle \) then \( L_0[M] \Downarrow \pi \).

**Proof.** Both directions follow by induction on given derivations.

### 8. Conclusions and Future Works

The results presented in this paper are part of a wider project (started with the works Gaboardi and Paolini (2007); Paolini and Piccolo (2008)) aiming to extend the expressive power of linear programming languages by means of the reification of linear functions between suitable domains. On the one hand, \$\text{PCF}_\alpha \$ provides an interesting programming language, because the efficient evaluation machine presented in this paper. On the other hand, in the usual reductionist mainstream of science, \$\text{PCF}_\alpha \$ can be considered as an
over-simplified paradigmatic calculus that can be used to tackle complex issues that can (possibly) be extended to non-strictly linear settings. In this line, we leave as future works to look for a sharp way to compile the whole PCF language in $\ell$PCF. An interesting direction is to extend the results obtained in this paper in order to prove the universality of $\ell$PCF, with respect to the linear model, i.e. to find the language able to define all the recursive cliques of the model. Another interesting direction is the study of $\ell$PCF semantics with respect to other model notions.

References


