

# Clause Types

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## 0. Introduction

There are at least four senses in which one can talk about clause or sentence types in a language. One way is in terms of the distinction between declarative, interrogative, and imperative sentences. This distinction, really one of sentence type, is discussed elsewhere in this anthology, in Chapter I.5 on Speech Act Distinctions in Syntax. A second sense of clause type is represented by the distinction between main clause and subordinate clause, and among different types of subordinate clauses. Issues related to this sense are discussed in the chapters on subordination, such as Chapter II.2 on Complementation and Chapter II.3 on Relative Clauses. A third sense of clause type concerns the way the same event or situation can be spoken about, from different perspectives, with grammatical consequences such as voice and pragmatic consequences such as topic and focus. This kind of variation is discussed in Chapter I.7 on Information Packaging in the Clause. The fourth sense, the one discussed in this chapter, involves different types of clauses in terms of their internal structure, primarily surrounding different types of predicates. Here, the most basic distinction is between verbal and nonverbal predicates. In much of this chapter, differences in clause type hinge on the part of speech of words serving in predicates, to which Chapter I.1 on Parts-of-Speech Systems is relevant. Among clauses with verbal predicates, we can make further distinctions based on the argument structure of the verb, including the distinction between transitive and intransitive clauses and finer distinctions. These are discussed in section 2 below. We first examine, in section 1, different types of clauses with nonverbal predicates.

## 1. Nonverbal predicates

There are three types of clauses with nonverbal predicates whose properties vary considerably across languages. These are adjectival predicates, nominal predicates, and locative predicates. In English, all three of these predicates occur with the copula verb *be*, as in (1).

- (1) a. My dog is *black*.
- b. My dog is *a cocker spaniel*.
- c. My dog is *in the house*.

In all three of these sentences, it is useful to think of the element following the form of the verb *be*, rather than *be* itself, as the real predicate. The verb *be* is more of a function word than a predicate; its function can be thought of as combining with nonverbal predicates to form what is syntactically a verbal predicate. While all three of these types of clauses with nonverbal predicates are similar in English, all employing a form of the verb *be*, it is more common crosslinguistically for languages to treat at least one of these types differently from the other two, and occasionally to treat all three in different ways.

Some languages lack copulas entirely, expressing nonverbal predicates directly. For example, in *Murinyapa* (Walsh 1976), a language isolate spoken in northern Australia, all three types of nonverbal predicates are simply juxtaposed with their

subjects, without any verbal element. Each of the three types of predicates illustrated for English in (1) are illustrated for Muɨnyɨpata in (2).

- (2)
- |    |                          |            |          |      |
|----|--------------------------|------------|----------|------|
| a. | panjun                   | kanʸi-ka   | putput   |      |
|    | woman                    | this-TOPIC | pregnant |      |
|    | ‘this woman is pregnant’ |            |          |      |
| b. | panju-ka                 | lawanga    |          |      |
|    | that.REMOTE-TOPIC        | wallaby    |          |      |
|    | ‘that’s a wallaby’       |            |          |      |
| c. | nukunu-ka                | ɲaja       | ɖa       | wiit |
|    | 3SG.MASC-TOPIC           | LOC        | place    | bed  |
|    | ‘he’s on the bed’        |            |          |      |

Note that it is sometimes important to distinguish clauses with nonverbal predicates from nonverbal clauses. The English sentences in (1) involve nonverbal predicates, but they are not nonverbal clauses, since they contain a verb, the copula verb. Examples like those in (2), however, where no copula is used, are not only clauses with nonverbal predicates, but are also nonverbal clauses.

### 1.1. Types of copulas

Some comment is necessary about the range of elements that might be termed copulas, forms that are used with nonverbal predicates. Such elements are most commonly verbs, as with the English copula *be*. In some languages, they have grammaticized from verbs with more specific meanings, like ‘sit’, and still have such meaning in some contexts. For example, in Wambaya (Nordlinger 1998), a West Barkly language spoken in northern Australia, the verb meaning ‘sit’ is also used as a copula with both locative and nominal predicates, as in (3).

- (3)
- |    |                               |                     |                    |       |
|----|-------------------------------|---------------------|--------------------|-------|
| a. | mirra                         | ngirr-aji           | nganaarra-ni       |       |
|    | sit                           | 1PL.EXCL-HABIT.PAST | Brunette.Downs-LOC |       |
|    | ‘we stayed at Brunette Downs’ |                     |                    |       |
| b. | ini                           | gi-n                | galyurringi        | mirra |
|    | this                          | 3SG-PROG            | water              | sit   |
|    | ‘this is water’               |                     |                    |       |

In some languages, the words serving the function of copulas are nonverbal. For example, in Nuer, a Nilotic language spoken in Sudan, there are two copulas, one used with singular subjects, the other with plural subjects, that are historically derived from the singular and plural third person pronouns, but which are now used as copulas, even with subjects that are first or second person, as in (4).

- (4)
- |                  |     |         |
|------------------|-----|---------|
| ε                | yän | dec     |
| be.SG            | 1SG | soldier |
| ‘I am a soldier’ |     |         |

The morpheme  $\varepsilon$  functions elsewhere in Nuer as a third person singular pronominal clitic, as in (5), but in (4) it has grammaticized as a nonverbal copula.

- (5)
- |                 |
|-----------------|
| cɔŋ-ε           |
| dance-3SG       |
| ‘he is dancing’ |

Similarly, in Swahili, a Bantu language spoken in east Africa, the copula is nonverbal. Verbs in Swahili inflect for the person, number, and noun class (NC) of their subject (and in some cases, their object) and for tense, as in (6a), but the copula does not inflect for any of these categories, and takes the invariant form *ni*, as in (6b), showing that it is not a verb.

- (6) a. wa-toto                      wa-na-cheza                      mpira.  
 NC<sub>2</sub>(PLUR)-child    3PL.NC<sub>2</sub>-PRES-play                      ball  
 'the children are playing ball'
- b. wa-toto                      ha-wa                      ni                      wa-dogo.  
 NC<sub>2</sub>(PL)-child    this-NC<sub>2</sub>(PL)    be    NC<sub>2</sub>(PL)-small  
 'these children are small'

In other languages, the element that combines with the nonverbal predicate is phonologically bound as a suffix or clitic to the predicate expression. For example, in Eastern Pomo (McLendon 1975), a Hokan language spoken in California in the United States, a clitic is added to adjectives or locative case-marked nouns, when they are used predicatively, as in (7).

- (7) a. báhe?    q̣o.dí-ʔè  
 that    good-COPULA  
 'that one is good'
- b.    ká.y-ŋa-ʔè  
 ground-on-COPULA  
 'it's on the ground'

Similarly, in Ngalakan (Merlan 1983), a Gunwinyguan language spoken in northern Australia, a suffix *-me* is added to noun or adjectives when they are serving as predicates, as in (8).

- (8)    ŋayka?    goʔye    ŋu-mirpara-me-niñ  
 1SG.ABS    here    1SG-child-COPULA-PAST.CONTIN  
 'I was a child here'

Note that the effect of adding the copulative suffix *-me* to a nonverbal predicate in Ngalakan is to create a word that functions as a verb. Once this suffix is added, the resultant form takes verbal affixes; in (8), the resulting verb takes the first person singular subject prefix *ŋu-* and the past continuous suffix *-niñ*. Copulative affixes are often called verbalizing affixes.

Muruwari (Oates 1988), a Pama-Nyungan language spoken in Australia, provides two ways to express adjectival predicates, one with a copula verb, as in (9a), the other analogous to the Ngalakan construction, in which a copulative suffix is added to the adjective, after which it behaves like a verb, taking verbal inflections, as in (9b).

- (9) a. marnta    yi-n-ta-yu  
 cold                      be-REALIS-PAST-1SG  
 'I am cold'
- b. marnta-ma-yu  
 cold-COPULA-1SG  
 'I am cold'

## 1.2. Adjectival predicates

Adjectival predicates in English are nonverbal because English treats adjectives as a distinct word class from verbs. In many languages, however, the words expressing meanings associated with adjectives in English are simply verbs. In such languages, adjectival predicates are thus not a kind of nonverbal predicate, but simply a type of intransitive verbal predicate. For example, in Cree (Wolfart and Carroll 1981), an Algonquian language spoken in Canada, predicates expressing adjectival meanings exhibit the same grammatical properties as other verbs. Compare the forms of the Cree word for ‘sleep’ in (10) with the forms in (11) of the Cree word for ‘big’, used predicatively. (In (10c) and (11c), there is a prefix for first person and a suffix for first person singular.)

- |      |    |  |    |   |    |  |
|------|----|--|----|---|----|--|
| (10) | a. | nipā-w<br>sleep-3SG<br>‘he/she sleeps’   | b. | nipā-wak<br>sleep-3PL<br>‘they sleep’     | c. | ni-nipā-n<br>1-sleep-1SG<br>‘I sleep’    |
| (11) | a. | mišikiti-w<br>big-3SG<br>‘he/she is big’ | b. | mišikiti-wak<br>big-3PL<br>‘they are big’ | c. | ni-mišikiti-n<br>1-big-1SG<br>‘I am big’ |

The identical morphology of the forms in (10) and (11) illustrates how the Cree word for ‘big’ is a verb, like the word for ‘sleep’.

In Lealao Chinantec (Rupp 1989), an Oto-Manguan language spoken in Mexico, adjectival words take verbal morphology, though they differ from other verbs in some respects, and thus belong to a distinct stative class of verbs. As we would expect since they are verbs, they do not require a copula. Rather surprisingly, however, they *can* take a copula, while still bearing verbal morphology themselves. The examples in (12a) and (12b) illustrate these two possibilities (the raised capital letters represent tones).

- |      |    |                        |            |                                   |                                   |
|------|----|------------------------|------------|-----------------------------------|-----------------------------------|
| (12) | a. | ʔiHhiáʔM               | gá:Mj      | na <sup>VH</sup> -ma <sup>M</sup> |                                   |
|      |    | very                   | big.INAN.3 | CLSFR-tree                        |                                   |
|      |    | ‘the tree is very big’ |            |                                   |                                   |
|      | b. | ʔiHhiáʔM               | gá:Mj      | na <sup>L</sup> -j <sup>VH</sup>  | na <sup>VH</sup> -ma <sup>M</sup> |
|      |    | very                   | big.INAN.3 | STAT-be.INAN.3                    | CLSFR-tree                        |
|      |    | ‘the tree is very big’ |            |                                   |                                   |

In some languages, some of the words corresponding in meaning to adjectives in English are verbs, while others belong to a separate nonverbal word class of adjectives, and this can affect whether they occur with a copula or not. For example in Slave (Rice 1989), an Athapaskan language spoken in northern Canada, there is a class of adjectives which require a copula when used predicatively, as in (13).

- |      |                 |                 |
|------|-----------------|-----------------|
| (13) | ʔeyá            | yá-kij          |
|      | sick            | DISTRIBUTIVE-be |
|      | ‘they are sick’ |                 |

However, many other words with adjectival meaning in Slave are simply verbs. For example the word for ‘big’ is a verb and therefore takes verbal morphology and does not occur with a copula, as illustrated in (14).

- |      |                  |
|------|------------------|
| (14) | yá-nechá.        |
|      | DISTRIBUTIVE-big |
|      | ‘they are big’   |

In most languages, words with adjectival meaning can be used predicatively either directly or in combination with a copula. A third possibility is provided by Dravidian languages like Malayalam (Asher and Kumari 1997) and Kannada (Sridhar 1990), both spoken in southern India, in which adjectives cannot directly be used predicatively, but must first be nominalized, and then are used with a copula, like normal nominal predicates. The examples in (15) illustrate predicative and attributive uses in Malayalam, showing how an adjective bears a nominal suffix indicating gender and number only when it is used predicatively, as in (15a), and not when it is used attributively, as in (15b).

- (15) a. ii kuṭṭi nalla-van aaṇə  
           this child good-MASC.SG be.PRES  
           ‘this child is good’
- b. nalla kuṭṭi  
           good child  
           ‘the good child’

### 1.3. Nominal predicates

In English, clauses with adjectival predicates and nominal predicates are similar, both employing the copula verb *be*. There are many languages which are similar to English in this respect, employing a copula verb with both adjectival and nominal predicates. However, there are many languages in which a copula is not necessary with adjectival predicates but is required with nominal predicates. The example in (16a) illustrates how adjectival predicates in Mizo (Chhangte 1989), a Tibeto-Burman language spoken in northeast India, do not involve a copula verb, but employ a structure analogous to that used with verbal predicates, as in (16b), with a subject pronoun immediately preceding the verb, even when there is an independent noun phrase functioning as subject (the superscript ‘1’ denotes tone).

- (16) a. keel a thii<sup>1</sup>  
           goat 3SG dead  
           ‘a goat is dead’
- b. Dou<sup>1</sup>a a zuang<sup>1</sup>  
           Dova 3SG jump  
           ‘Dova is jumping’

With nominal predicates, however, a copula verb is required, as in (17), with the nominal predicate preceding the subject pronoun and the copula verb.

- (17) ka aar<sup>1</sup> a<sup>1</sup> nii  
       1SG hen 3SG be  
       ‘it is my hen’

There are also many languages in which no copula is used with either adjectival or nominal predicates. The examples in (18) from Gude (Hoskison 1983), a Chadic language spoken in Nigeria and Cameroon, illustrate clauses with adjectival and nominal predicates.

- (18) a. gusə nə minə  
           short SUBJ woman  
           ‘The woman is short’

- b.     nwənwu   nə     Kwalii  
 chief     SUBJ   Kwalii  
 ‘Kwalii is a chief’

In both clauses in (18), the predicate expression occurs at the beginning of the clause, without any marking, followed by the subject. But the clauses in (18) are distinct in form from verbal clauses in Gude, in that verbal clauses normally contain an aspect marker, as in (19).

- (19)   agi           adənə   nə     Musa   dʌfəna  
 CONTIN   eat       SUBJ   Musa   mush  
 ‘Musa is eating mush’

Thus, even in the absence of a copula, clauses with adjectival or nominal predicates may have properties distinguishing them from clauses with verbal predicates.

In most languages in which adjectival predicates occur with a copula, the noun occurs with the same copula, as in English. However, in Purki (Rangan 1989), a Tibeto-Burman language spoken in India, adjectival predicates and nominal predicates occur with different copulas, as in (20).

- (20)   a.   k<sup>h</sup>o   rgyalpoik   in-min  
           3SG   king           be-PAST  
           ‘he was a king’
- b.   k<sup>h</sup>o   rdamo       duk  
           3SG   beautiful   be.PRES  
           ‘she is beautiful’

In (20a), we get a copula verb *in* with a nominal predicate, while in (20b) we get a different copula verb, *duk*, with an adjectival predicate.

Similarly, in Mauka (Ebermann 1986), a Mande language, there is a copula which is used with predicate adjectives but which cannot be used with nominal or locative predicates, as in (21).

- (21)   dī       à       tímí  
        honey   be     sweet  
        ‘honey is sweet’

Nominal predicates occur with the same copula as locative predicates, but they also occur with a postposition meaning ‘like’. Example (22a) illustrates the use of this copula with a locative predicate, (22b) with a nominal predicate:

- (22)   a.   sò       yè       tú       lís  
           horse   be.at   forest   in  
           ‘horses are in the forest’
- b.   sò´     yè       sòò     lé  
           horse   be.at   animal   like  
           ‘horses are animals’

In Logo (Tucker 1940/1967), a Nilo-Saharan language spoken in Zaire, there are two copulative suffixes, one that is usually used with nominal predicates and the other with adjectival predicates. Nominal predicates normally occur with a copulative suffix *-e*, as in (23).

- (23) ma ago-e  
 1SG man-COPULA  
 'I am a man'

Adjectival predicates in Logo normally take a different suffix *-ro*, as in (24).

- (24) a'di tovo-ro  
 3SG lazy-COPULA  
 'he is lazy'

But this association with nouns and adjectives is not rigid, and it is possible to get each of these suffixes with the other type of complement. The example in (25a) illustrates a nominal predicate with *-ru* (an alternate form of *-ro*) while (25b) illustrates an adjectival predicate with *-e*.

- (25) a. mí kugú-ru  
 2SG thief-COPULA  
 'you are a thief'
- b. 'dia alo tani-e  
 only one good-COPULA  
 'one only is good'

The difference between these two copulas is thus apparently a semantic one. One hypothesis consistent with these examples (and other examples cited by Tucker) is that *-e* denotes a more permanent state while *-ro* denotes a more temporary state. Since the properties represented by nouns are permanent more often than those represented by adjectives, we would expect to get *-e* more often with nouns and *-ro* more often with adjectives.

In some languages, nominal predicates exhibit the same grammatical properties as verbal predicates, including relevant morphology. The example in (26) illustrates this for Lango (Noonan 1992), a Nilotic language spoken in Uganda.

- (26) a. án à-dáktâl  
 1SG 1SG-doctor.HABIT  
 'I am a doctor'
- b. à-tíyô  
 1SG-work.HABIT  
 'I work'

In both sentences in (26), the predicate word bears the first person singular prefix *à* as well as habitual aspect marking, which is realized by high-low tone (marked by  $\hat{\ }$ ) on the last syllable: in (26a), the form of the noun for 'doctor' in its predicate use is *dáktâl*, while in other contexts, it is *dàktâl*. Note that nouns are in other respects quite distinct from verbs in Lango. For example, they occur with distinct nominal plural forms, as in *dáktâlê* 'doctors'. Note that when the noun is modified, the same verbal morphology occurs on the noun, as in (27).

- (27) án à-dáktâl à bër  
 1SG 1SG-doctor.HABIT REL good  
 'I am a good doctor'

Clauses with nominal predicates referring to the past or future in Lango normally occur with a separate verb, otherwise meaning 'stay', functioning as a copula. For past time reference, the perfective form of this verb is used, as in (28a), while for

future time reference, a form of the verb for ‘come’ is used, followed by the infinitival form of the verb for ‘stay’, as in (28b).

- (28) a.      án    à-bédò           dàktàl  
           1SG  1SG-stay.PERF  doctor  
           ‘I was a doctor’
- b.      òkélò   bínô                   bédò           rwòt  
           Okelo  3SG.come.HABIT   stay.INFIN   king  
           ‘Okelo will be king’

#### 1.4. Equational clauses vs. clauses with true nominal predicates

There are two types of nominal predicates, though most languages do not appear to treat them distinctly. The two types are illustrated for English in (29).

- (29) a.      Nancy is a lawyer.  
       b.      Sally Smith is the head of this department.

The predicate in (29a) is nonreferential and can be viewed as denoting the generic kind “lawyer”. The predicate in (29b) is referential and identifies the individual denoted by the predicate with the individual denoted by the subject. Both types of clauses with nominal predicates are often referred to as “equational”, but strictly speaking, the term is only appropriate the second of the two types in (29). In true equational clauses, the subject and predicate can be reversed; we can thus reverse (29b) as (30), with the only difference in meaning being a possible difference in topic and focus.

- (30)   The head of this department is Sally Smith.

Clauses with nonreferential nominal predicates – or true nominal predicates, as I will call them – cannot be easily reversed. If we try reversing the subject and predicate in (29a), with a true nominal predicate, we get the very archaic sentence *??A lawyer is Nancy*. In so far as this is acceptable, *Nancy* is still the subject and *a lawyer* is still the predicate, and its status is the same as *??Tall is Nancy*, where it is clearer that *Nancy* is subject (cf. *??Tall are Nancy and her mother*). True nominal predicates can be thought of as being more like adjectival predicates, denoting properties of the subject: (29a) attributes to Nancy the property of being a lawyer just as *Nancy is tall* attributes to Nancy the property of being tall.

The difference between equational clauses and true nominal predicate clauses usually corresponds in English to whether the nominal predicate is grammatically definite or grammatically indefinite, as in (31).

- (31) a.      My dog is the cocker spaniel.  
       b.      My dog is a cocker spaniel.

However, the difference between the two predicate noun phrases in (31) is quite different from the difference between definite and indefinite noun phrases in other syntactic contexts, as in (32).

- (32) a.      I saw the cocker spaniel.  
       b.      I saw a cocker spaniel.

In (32b), *a cocker spaniel* is referential, but refers to a cocker spaniel that is not known to the hearer. In (31b), in contrast, *a cocker spaniel* is nonreferential.

Many languages do not distinguish equational clauses from true nominal predicate clauses. For example, in Kutenai, a language isolate spoken in western Canada and the United States, (33) can have either interpretation.

- (33) *nin-i xaxas*  
 be-INDIC skunk  
 'it was a skunk; it was the skunk'

However, Kusaiean (Lee 1975), an Austronesian language spoken in Micronesia, distinguishes equational clauses from true predicate nominal clauses by employing a copulative particle *pa* between the two noun phrases in an equational predicate sentence, as in (34a), while not employing any overt marker in a true predicate nominal sentence, as in (34b).

- (34) a. *mwet luti sac pa mwet sacn*  
 person teach the COPULA person that  
 'the teacher is that person'
- b. *mwet sacn muhtwacn se*  
 person that woman one  
 'that person is a woman'

The predicate in a true predicate nominal sentence can occur with the indefinite determiner *se* 'one', as in (34b), or without it, as in (35).

- (35) *ma sacn usr soko*  
 thing that banana plant  
 'that thing is a banana plant'

A second example of a language distinguishing equational clauses from true nominal predicate clauses is West Greenlandic (Fortescue 1984), an Eskimo-Aleut language. Equational clauses in West Greenlandic involve a nonverbal copular particle placed between the two noun phrases, as in (36a), while true nominal predicate clauses involve a verbalizing (copulative) suffix on the predicate noun, as in (36b).

- (36) a. *Hansi tassa pisurtaq*  
 Hansi be leader  
 'Hansi is the leader'
- b. *illuqarvi-u-vuq*  
 town-COPULA-3SG.INDIC  
 'it is a town'

A third example is Cebuano, an Austronesian language spoken in the Philippines. In fact, Cebuano can be said to represent the difference in meaning in a rather transparent way. Compare the equational clause in (37a) with the true nominal predicate clause in (37b).

- (37) a. *ang duktur ang babayi*  
 TOPIC doctor TOPIC woman  
 'the woman is the doctor'
- b. *duktur ang babayi*  
 doctor TOPIC woman  
 'the woman is a doctor'

Noun phrases in Cebuano normally require one of a set of noun phrase markers or articles like the so-called topic marker *ang*, which occurs twice in (37a) and once in

(37b). The equational clause in (37a) consists of a sequence of two noun phrases *ang duktur* ‘the doctor’ and *ang babayi* ‘the woman’, and the order of the two noun phrases can be reversed as in English, again with a difference that can be characterized in terms of topic and focus, except that in Cebuano the first noun phrase will normally be interpreted as the focus, the second one as topic. The equational nature is represented by the fact that both parts are noun phrases. The predicate in the true nominal predicate sentence in (37b), however, consists of just a noun, without any marker like *ang*. Although it can take modifiers, it is not really a noun phrase at all, but rather one of a number of structures that can occur as instances of predicates. Furthermore, any predicate, nominal or verbal, can combine with noun phrase markers like *ang* to form a noun phrase. Given a simple sentence like (38a), for example, we can take a predicate like *nagtawag nakuq* ‘was calling me’, and combine it with a marker like *ang* to form a noun phrase *ang nagtawag nakuq* with the meaning ‘the one who was calling me’, which can occur as an argument of the verb, as in (38b).

- (38) a. nag-tawag                      ang      babayi      nakuq.  
       SUBJ.FOCUS.DUR-call        TOPIC   woman      1SG.NONTOPIC  
       ‘the woman was calling me’
- b. babayi      ang      nag-tawag                      nakuq.  
       woman      TOPIC      SUBJ.FOCUS:DUR-call              1SG.NONTOPIC  
       ‘the one who was calling me was a woman’

The syntactic construction whereby a predicate like *nagtawag nakuq* ‘was calling me’ can be combined with a marker like *ang* to form a noun phrase is the same construction whereby what corresponds to noun phrases in European languages are formed: a noun phrase like *ang babayi* ‘the woman’ is formed by combining the marker *ang* with a predicate, in this case the nominal predicate *babayi* ‘woman’. With this as background, it should be clear that *duktur* ‘doctor’ in the true nominal predicate sentence in (37b) is not a noun phrase, in contrast to *ang duktur* ‘the doctor’ in the equational sentence in (37a). Cebuano not only clearly distinguishes equational clauses from true nominal predicate clauses, but does so in a way that makes the difference transparent, since unlike English, where both types of clauses involve noun phrases in predicate position, Cebuano uses what is syntactically a type of predicate expression in true nominal predicate clauses, but a noun phrase in equational clauses.

### 1.5. Optional copulas

Copulas are obligatory with nonverbal predicates in some languages, while in other languages they are not. In some instances, the use of a copula is simply grammatically optional, and is not grammatically conditioned. The examples in (39) from Tamang (Mazaudon 1976), a Tibeto-Burman language of Nepal, illustrate the word *căca* ‘small’ functioning as an adjectival predicate with a copula (in 39a) and without a copula (in 39b).

- (39) a. ná-la      tím      căca      mú-la  
       1SG-GEN   house   small   be-INDEF  
       ‘my house is small’
- b. cū      mĕnto      căca  
       this   flower   small  
       ‘this flower is small’

In other instances, the use of a copula is grammatically conditioned. For example, in Sanuma (Borgman 1990), a Yanomámi language spoken in Venezuela and

Brazil, no copula is used in the present tense, as illustrated in (40a), while a copula is used in the past and future tenses, as illustrated in (40b) and (40c).

- (40) a. hisa            sa  
           young.man    1SG  
           'I am a young man'
- b. palata        ti            hösösö    ku-o-ma  
           rubber    CLSFR    resin      be-PUNCT-COMPL  
           'it was rubber'
- c. kaikana      te        ku-ki      kite  
           headman    3SG    be-FOCUS    FUT  
           'he will be headman'

In Evenki (Nedjalkov 1997), a Tungus language spoken in Siberia, the copula is obligatory, as in (41a), except in the present third singular, as illustrated in (41b).

- (41) a. bi        alagumni      bi-che-v  
           1SG    teacher      be-PAST-1SG  
           'I was a teacher'
- b. minngi    ami-m                    bejumimni    (bi-si-n)  
           my        father-1SG.POSS      hunter        be-PRES-3SG  
           'my father is a hunter'

The optionality of copulas can also vary with the type of predicate. For example, in Chalcatongo Mixtec (Macaulay 1996), spoken in southern Mexico, the copula is normally required with nominal predicates, but is optional with adjectival predicates. The example in (42a) illustrates a clause with a copula and a nominal predicate, (42b) illustrates a similar clause with an adjectival predicate, and (42c) illustrates a clause with an adjectival predicate without a copula.

- (42) a. ku            ĩ        çàà      kã?nũ  
           be.POTEN.3    one    man      big  
           'he will be a big man'
- b. ku            súkũ  
           be.POTEN.3    tall  
           'he will be tall (when he grows up)'
- c. çã?ã        xa-lúlí  
           dirty    NOMIN-small  
           'the boy is dirty'

In Kombai (De Vries 1993), an Awyu language spoken in Irian Jaya in Indonesia, there is a copulative suffix *-a* that is attached to various kinds of nonverbal predicates. With nominal predicates, it is optional; in (43a), it is used, while in (43b) it is not.

- (43) a. mene        af-a  
           this        house-COPULA  
           'this is a house'
- b. mene        a  
           this        house  
           'this is a house'

It is also optional with adjectival predicates, as illustrated in (44), though it is apparently more common not to use it.

- (44) a. mofene rubu-khey-a  
           that bad-ADJ-COPULA  
           ‘that is bad’
- b. mofene rubu-khe  
           that bad-ADJ  
           ‘that is bad’

However, it cannot be used if the adjective bears the intensifying suffix *-rabo*, as in (45).

- (45) a. mene yafe-rabo  
           house this good-very  
           ‘this house is very good’

It is obligatory, however, if the predicate is a personal pronoun expressing possession, as in (46).

- (46) mene nuf-a  
           this 1SG-COPULA  
           ‘this is mine’

In Korowai, an Awju language closely related to Kombai, there is a copula verb that cliticizes optionally onto both nominal and adjectival predicates. However Van Enk and De Vries (1997) report that the copula is usually present with adjectival predicates but “infrequently present” with nominal predicates. (47a) illustrates the typical adjectival predicate, with the copula, while (47b) illustrates the typical nominal predicate, without the copula. (The suffix glossed ‘NEAR’ in (47b) signifies a time near to the present, either past or future.)

- (47) a. nokhu khakhul khén-telo-felu-ndé  
           we yesterday angry-be-NEAR-1SG.REALIS  
           ‘yesterday we were angry’
- b. yu nggulun-benè?  
           he teacher-Q  
           ‘is he a teacher?’

## 1.6 Locative predicates / Existential clauses

### 1.6.1. Locative copulas

The third common type of nonverbal predicate is that of a locative expression, as in English *My dog is in the house*. Some languages are like English in employing the same copula with locative predicates that is used with adjectival and/or nominal predicates. The examples in (48) illustrate this for Babungo (Schaub 1985), a Niger-Congo language spoken in Cameroon.

- (48) a. tí gwāa lùu wúu ndāa  
           father my be person smithy  
           ‘my father is a blacksmith’

- b. fāzi kâ lùu ŋkèe kǎjǎə  
 food this be good very  
 ‘this food is very good’
- c. ŋwá lùu taa yiwɪŋ  
 3SG be in market  
 ‘he is in the market’

The copula verb *lùu* is used with all three kinds of predicates in (48), with a nominal predicate in (48a), an adjectival predicate in (48b), and with a locative predicate in (48c).

It is very common, however, for a different copula to be used with locative predicates, one that has location as part of its meaning. Such locative copulas are often best glossed ‘be at’. We saw above in (28) that Lango uses a verb originally meaning ‘stay’ as a copula with adjectival and nominal predicates in the past and future tenses. In clauses with locative predicates in Lango, a distinct locative copula is used, as illustrated in (49).

- (49) án dán à-tíê ì cùkúl  
 1SG also 1SG-be.at:PRESENT.HABIT at school  
 ‘I’m also at school’

Similarly, in Koromfe (Rennison 1996), a Niger-Congo language spoken in Burkina Faso, nominal and adjectival predicates occur with a copula *la*, as in (50a) and (50b) (though the order of copula and predicate is different with nominal predicates from its order with adjectival predicates), while locative predicates occur with a locative copula *wē*, as in (50c).

- (50) a. mə la a jɔ  
 1SG be ART chief  
 ‘I am the chief’
- b. də lugni a bīnīã la  
 3SG cat.PLUR ART black.PLUR be  
 ‘his cats are black’
- c. də wē dāãɛ  
 3SG be.at at.home  
 ‘he is at home’

Some languages commonly use as locative copulas a set of words that vary along some more specific spatial dimension. In Diyari (Austin 1981), a Pama-Nyungan language spoken in Australia, for example, locative predicates occur with one of three verbs, meaning ‘sit’, ‘stand’, and ‘lie’, depending on which orientation better fits, as illustrated in (51).

- (51) a. wɪla marapu ŋama-yi ŋura-ŋi  
 woman many.ABS sit-PRES camp-LOC  
 ‘there are many women in the camp’
- b. ŋapa piŋa pantu-ŋi pada-yi  
 water big.ABS lake-LOC lie-PRES  
 ‘there is a lot of water in the lake’

Because of the element of verbal meaning that is in these locative copulas, one could argue that examples like those in (51) do not involve nonverbal predicates, though they



Characterizing clauses like (53b) and (53c) as existential in that they state the existence of something is perhaps somewhat misleading. From a discourse point of view, the primary function of such clauses is apparently to introduce a participant into the discourse that is new to the hearer. The contrast between (53a) and (53b) thus corresponds to a pragmatic difference of identifiability, and hence to a grammatical difference in definiteness in English. The example in (53a) does not state the existence of the mother; this is presumably presupposed. In that sense, the example in (53a) is not really existential.

While both (53a) and (53b) can be characterized as involving a locative predicate, Ma'anyan is like many languages in using a grammatically distinct construction when the theme expression is pragmatically nonidentifiable (indefinite): in (53a), the theme expression precedes the verb, in the normal position for subjects in Ma'anyan, while in (53b), the theme expression follows the verb, in a position in which subjects in Ma'anyan are not normally found. It is not clear, in fact, whether the theme expression in (53b) should be considered a subject in Ma'anyan, and a similar question arises for analogous constructions in many other languages. But whether or not the theme expression ought to be considered a subject, the construction in (53b) and (53c) can be characterized as a distinct construction in the language, since the verb occurs at the beginning of the clause and either the subject follows the verb or the clause is impersonal (i.e. subjectless).

English is in fact strikingly similar to Ma'anyan in a number of respects. In English, it is possible to have a predicate locative sentence with either a definite or an indefinite subject, as in (54).

- (54) a. The dog is in the garden.  
b. A dog is in the garden.

However, a more natural way to express the meaning of (54b) is as in (55), with a distinct existential construction.

- (55) There is a dog in the garden.

The construction in (55) resembles the Ma'anyan construction in (53b) in that the theme expression follows the verb. A difference is that in English there is a separate word *there* in subject position, and by most criteria, the word *there* functions as the subject (though the theme expression can still control agreement, as in *There are two dogs in the garden*).

Furthermore, the English construction in (55) is restricted to clauses with indefinite subjects. Analogous clauses with a definite subject, as in (56), are rather different in a number of ways.

- (56) There is the dog in the garden.

While the clauses in (54b) and (55) mean approximately the same thing, the clause in (56) means something quite different from (54a) and is arguably a different construction altogether.

Many languages are like Ma'anyan and English in using two different constructions with locative predicates depending on whether the theme is identifiable or not, with a distinct existential construction being used when the theme is nonidentifiable. For example, in Malayalam, there are two locative copulas, the distinction largely depending on the identifiability of the theme. Contrast the two examples in (57).

- (57) a. kuṭṭi tootṭatt-il aaṇə  
 child garden-LOC be.PRES  
 ‘the child is in the garden’
- b. meeja meel pustakam uṇṭə  
 table on book exist.PRES  
 ‘there is a book on the table’

The copula *aaṇə* in (57a) is the same copula used in sentences with nominal predicates, as in (58) (and adjectival predicates, which must be nominalized to be used predicatively, as illustrated earlier in this chapter in 15a).

- (58) avan ṭiiccar aaṇə  
 3SG.MASC teacher be.PRES  
 ‘he is a teacher’

The choice between the two copulas in (57) when used with locative predicates depends largely on whether the subject is identifiable or not, as is indicated by the English glosses in (57), so that the copula *uṇṭə* can be characterized as existential. The two constructions also differ in their normal word order: with identifiable subjects, as in (57a), the subject most often comes first, followed by the locative expression, while in the existential construction, the locative more often occurs first, followed by the subject. Asher and Kumari note (p. 99) that the use of the two copulas does not exactly line up with the identifiability of the subject, that it is sometimes possible to use the existential verb with an identifiable subject, as in (59), and suggest some possible factors governing this usage, but they note that the contrast between the two uses does normally hinge on the identifiability of the subject.

- (59) uṇṇi viiṭṭ-il uṇṭə  
 Unni house-LOC exist.PRES  
 ‘Unni is at home’

While there are languages like the ones discussed here which distinguish a predicate locative construction with an identifiable theme from an existential construction with a nonidentifiable theme, there are many other languages in which the same construction is used, whether the theme is identifiable or not. The example in (60) from Mangarayi (Merlan 1982), spoken in northern Australia, can be interpreted either way.

- (60) mawuj ja-ṭ-ṇi biyanḡin ṇa-bonḡan  
 food 3-3SG-be inside LOC-box  
 ‘there’s food in the box; the food is in the box’

Thus Mangarayi can be said to lack a distinct existential construction.

The existential constructions in Ma’anyan and English both use verbs in their existential constructions, though English also uses a distinct existential word ‘there’, which is more like a pronoun than anything else (as reflected by its use in tag questions: *There’s a dog in the garden, isn’t there*), though one with a highly restricted distribution. In some languages, however, the existential construction does not employ a verb, but rather an existential word whose categorial properties make it different from words in other categories. For example in Cebuano, there is an existential word *may*, illustrated in (61).

- (61) may bir  
 exist beer  
 ‘there is (was, will be) beer’

While *may* looks verbal to the extent that it occurs in clause-initial position, the normal position for verbs in Cebuano, it lacks the morphological characteristics of a verb, and since other categories, like nouns, can occur in clause-initial position when they are predicates, the position of *may* does not provide any basis for calling it a verb, and its category is thus somewhat indeterminate.

In some languages, the existential construction lacks an overt existential word and consists of just the NP expressing the theme. This is the case in Tolai (Mosel 1984), an Austronesian language of New Britain in Papua New Guinea, as illustrated in (62), where the noun phrase consists of just an article followed by a compound noun meaning ‘famine’ (literally ‘season of hunger’).

- (62) a kilala-na-mulmulum  
 ART season-LINK-hunger  
 ‘there was famine’

### 1.6.3. Existential clauses for expressing predicate possession

Languages differ considerably in how they express what can be called predicate possession. In some languages, this meaning is expressed with a transitive verb like English *have*, as in (63), in which the possessor occurs as subject and the possessed item occurs as object.

- (63) John has a new car.

However, many languages employ predicate locative or existential clauses to express such meanings, with the possessor expressed as some sort of locative. The examples in (64) illustrate this for Igorot Bontoc (Seidenadel 1909), an Austronesian language spoken in the Philippines.

- (64) a. wodá nan ónash id Falídfid  
 be.at ART sugar.plantation LOC Falidfid  
 ‘there was a sugar cane plantation at Falidfid’
- b. wodáy nan fákat is nan ongóngá  
 be.at ART nail LOC ART boy  
 ‘the boy has a nail’  
 (literally ‘a nail is at the boy’)

The examples in (64) are almost exactly analogous: both involve the existential word *wodá(y)* (the difference between the two forms is not significant), both have the order Verb-Theme-Location/Possessor; and both use the same locative preposition to mark the location in (64a) and the possessor in (64b) (*is* and *id* are phonological alternants of the same preposition). In many languages, however, predicate possession clauses resemble existential clauses to some extent but the possessor expression is treated somewhat differently. Compare the two examples in (65) from Kannada (Sridhar 1990), a Dravidian language spoken in southern India.

- (65) a. ka:ngaru:-gaḷu a:stre:liya:-dalli iruttave  
 kangaroo-NOM.PL Australia-LOC be.NONPAST.3PL.NEUT  
 ‘Kangaroos live in Australia’
- b. nana-ge mu:varu heṇṇu makkaḷu idda:re  
 1SG-DAT three female children.NOM.PL be.NONPAST.3PL.HUMAN  
 ‘I have three daughters’

The example in (65a) illustrates a predicate locative sentence, with a noun marked with the locative case functioning as a locative predicate. The example in (65b) illustrates a predicate possession sentence, with the possessed element in the nominative case and the possessor in the dative case, similar to (65a), but with dative case rather than locative case. There is also a difference in the preferred order for the two constructions (Sridhar, p.c.): while the order with the dative-marked possessor in (65b) first is clearly preferred over one with the possessed element in the nominative coming first, there is less clearly any preference for the order of the two noun phrases in (65a).

A possessor can be added to the existential construction in Cebuano illustrated above in (61), but appears as the grammatical topic (subject on some analyses), as in (66), rather than as some sort of locative expression.

- (66)        may    bir    si        Lúling  
               exist beer TOPIC Loling  
               ‘Loling has/had beer’

Similarly, Ma’anyan can add a possessor to the existential construction illustrated above in (53c), as in (67).

- (67)    aku    naqan    buku  
           1SG be.at    book  
           ‘I have a book’

Note that the construction in (67) vaguely resembles the locative predicate construction in Ma’anyan illustrated in (53a) above, in that both have an NP preceding the verb, but the meaning associated with the two verbal frames is completely different, since in (53a) it is the preverbal nominal that is the theme, while in (67) it is the postverbal nominal that is the theme, while the preverbal nominal is the possessor.

A distinct way of using existential constructions for expressing the meaning of ‘have’ is to express the possessor, not as a locative, but as a possessive modifier of the noun possessed. The example in (68a) illustrates this for Imonda (Seiler 1985), a Northern Trans New Guinea language, and in (66b) for Kutenai.

- (68)    a.    ne-na        motorbike    kai    li-f-me  
               2-GEN        motorbike    Q    lie-PRES-Q  
               ‘do you have a motorbike?’  
               (literally: ‘does your motorbike exist?’)
- b.    niʔs    pikaks        saŋ    ʔat        ʔin    ʔin-s-i        ʔa-kawutʔa-ʔis  
               ART earlier.times    but HABITUAL must be-OBV-INDIC teepee-3.POSS  
               ‘but in earlier times they must have had teepees’  
               (literally ‘but in earlier times, their teepees must have been’)

#### 1.6.4. Other types of existential clauses

While we are in general not discussing negative clauses in this chapter, it is worth mentioning negative existential clauses here because, unlike most other types of negative clauses in which some other element is negated, the negation in negative existential words is often an inherent part of the predication itself. In other words, while in the example in (69a) from Quechua (Weber 1989), there is a negative word and negative suffix modifying a separate existential word (here just the normal copula verb being used existentially), in some languages there is a single negative existential morpheme, as in the Kutenai example in (69b) and in the Malayalam example in (69c); compare (69c) with the affirmative Malayalam examples above in (57).

- (69) a. mana papa ka-ra-n-chu  
 NEG potato be-PAST-3-NEG  
 'there were no potatoes'
- b. ʔuʔ-ni k=ʕikam niʕtahaʔ  
 not.exist-INDIC SUBORD=come young.man  
 'none of the young men came.'  
 (literally 'The young men who came did not exist')
- c. iviʔe kooleej illa  
 here college not.exist  
 'there is no college here'

Another class of existential predicates in some languages involve numerals or quantifier expressions denoting quantity with meanings like 'many' or 'few'. In English, numerals and quantifiers do not generally function as predicates. We thus do not generally say *The men in the room were three* but are more likely to express the intended meaning by saying *The men in the room were three in number* or *There were three men in the room*. In some languages, however, numerals and quantifiers are used freely as predicates. In some such languages, this is because numerals are simply verbs, and hence such clauses are really ones with verbal predicates. This is illustrated in (70) for Kutenai.

- (70) a. n<sup>2</sup>as-ni titqat  
 INDIC=two-INDIC man  
 'there were two men'  
 (literally: 'the men were two')
- b. taxas yunaqaʔ-ni suyapi  
 then many-INDIC white.person  
 'then there were a lot of white people'  
 (literally: 'then the white people were many')

The indicative prefix in (70a) and suffix in both (70a) and (70b) are verbal affixes, illustrating the status of *ʔas* 'two' and *yunaqa* 'many' as verbs. While such clauses are existential, it is misleading to characterize them as nonverbal clauses, since the numeral in these clauses is the predicate. But in some languages, analogous clauses do count as nonverbal clauses in that the numerals are not verbs morphologically, but can still be used as predicates, as in Hanis Coos, an extinct language possibly belonging to the Penutian family that was spoken on the west coast in the United States (Frachtenberg 1922), as in (71). [THE FONT IN (71) MAY NOT APPEAR CORRECTLY IN PDF VERSIONS OF THIS PAPER]

- (71) a. kãjmis hanɔ 1 qaiɔãwas  
 five FUT the rollers  
 'the rollers will be five (in number)'  
 (or 'there will be five rollers')
- b. yũxwã û hĩme  
 two his children  
 'he had two children'  
 (literally 'his children were two')

### 1.7. Minor types of clauses with nonverbal predicates

In addition to the three fairly basic types of nonverbal predicates covered in the preceding sections, some less common ones do occur in many languages. The examples in this section, as well as the English sentences used as glosses, illustrate various types of the minor nonverbal predicates. The examples in (72) from Wambaya (Nordlinger 1998) illustrate genitive predicates (not to be confused with what were called predicate possession clauses in sect. 1.6.3 above, expressing meaning like ‘I have money’). (The Roman numeral ‘IV’ in these examples represents a noun class.)

- (72) a. yana                      ngarrga!  
           this.IV.SG.NOM      1SG.POSS.IV  
           ‘this (money) is mine’
- b. bungmanya-nkal          yaniyaga                  warnu  
           old.woman-DAT.IV    that.IV.SG.NOM        tobacco(IV).NOM  
           ‘that tobacco is the old woman’s’

The form of the predicates in these examples is the same form that would occur if these predicates (*ngarrga* ‘mine’ and *bungmanyankal* ‘the old woman’s’) were occurring as genitive modifiers of nouns. English is somewhat unusual in fact in having a distinct set of pronouns whose basic use is in genitive predicates (*mine, yours, his, hers*, etc.). In Awa Pit (Curnow 1997), a Barbacoan language spoken in Colombia and Ecuador, the forms used as pronominal possessive modifiers of nouns can also be used directly predicatively. The use of *ap* ‘1sg, poss’ as a possessive modifier of a noun is illustrated in (73a), its use as a predicate, followed by a copula verb, in (73b).

- (73) a. ap                      pimpul  
           1SG.POSS          leg  
           ‘my leg’
- b. an      yal=na                  ap                  ka-y  
           this    house=TOPIC    1SG.POSS        be.permanently-NONLOCUT  
           ‘this house is mine’

Many languages do not allow genitive predicates, requiring that the genitive be modifying some nominal element. For example, in Una (Louwerse 1988), a Central New Guinea language spoken in Irian Jaya in Indonesia, one must if necessary repeat the noun denoting what is possessed, as in (74).

- (74) a      yina    Karba    yina  
           that    food    Karba    food  
           ‘that food is Karba’s (food)’

Other examples illustrating minor types of nonverbal predicates are given in (75) to (78). The example in (75) from Babungo (Schaub 1985) illustrates a benefactive predicate.

- (75) ηwà'lè    ηwə̃    lùu    t̃i    Làmbí  
           letter    this    be    to    Lambi  
           ‘this letter is for Lambi’

The examples in (76) illustrate three sorts of minor nonverbal predicates from Gooniyandi (McGregor 1990), a Bunaban language spoken in northern Australia: (76a) is a purpose predicate; (76b) is a simulative predicate; and (76c) is a predicate denoting origin.

- (76) a. thangarla moonyjoo-yoo ligidd-woo  
toothbrush tooth-DAT clean-DAT  
'a toothbrush is for cleaning teeth'
- b. goornboo ngooddoo yoowooloo-jangi  
woman that man-like  
'that woman is like a man'
- c. niyaji yoowooloo moolooddja-nhingi  
this man Mulurrja-ABL  
'this man is from Mulurrja'

Example (77), from Tamambo (Jauncey 1997), an Austronesian language spoken on Vanuatu, illustrates a predicate representing what some have called a referential expression.

- (77) sora-e atea niani matai tanume arua  
talk-NOMIN one this about devil two  
'this story is about two devils'

And (78), from Finnish (Sulkala and Karjalainen 1992), involves a comitative (or associative) predicate.

- (78) hän on minun kanssa-ni  
3SG be.3SG 1SG.GEN with-1SG.POSS  
'she/he is with me'

In some languages, the meaning of 'have' is expressed with a construction like (78): 'A has B' is 'A is with B'. The example in (79) illustrates this in Koyraboro Senni (Heath 1999), a Songhai language spoken in west Africa.

- (79) a goo-nda zaŋka hiŋka  
3SG be.at-with child two  
'he has two children'

Note that the copula used in (79) is the one that is used with locative predicates, as in (80a), and distinct from the one used with nominal predicates, illustrated in (80b).

- (80) a. a goo no baa sōhoo da  
3SG be.at there even now EMPH  
'it is still there even now'
- b. a ga ti nonguru suub-ante  
3SG IMPERF be place chose-PARTCPL  
'it was a select place'

## 2. Verbal predicates

Clauses with nonverbal predicates constitute the exception and are apparently less frequent in usage in all languages than clauses with verbal predicates. Because further discussion of topics directly related to verbal predicates occurs elsewhere in this anthology, in the chapters on the Major Functions of the Noun Phrase (I.3, Andrews), Information Packaging in the Clause (I.7, Foley), Lexicalization Patterns (III.2, Talmy) and Passive (I.6, Keenan and Dryer), our discussion here of verbal predicates and of types of verbal clauses is in some ways more cursory – relative to the variety found among languages – than our discussion of nonverbal predicates.

## 2.1. Transitive vs. intransitive clauses

The most basic distinction among verbal predicates is perhaps that between intransitive and transitive predicates, the former taking a single argument, the latter two (or more) arguments. In many languages, like English, the distinction can be further described by saying that transitive clauses have objects while intransitive clauses do not. This requires that we distinguish transitive clauses with objects from intransitive clauses with adjuncts, illustrated respectively in (81a) and (81b).

- (81) a. My dog ate *the hamburger*.  
 b. My dog is sleeping *in the basement*.

In English, the distinction between object and adjunct is represented by the fact that adjuncts are usually marked with prepositions while objects are not. In some languages, this distinction is less clearly made grammatically, but is grounded in the idea that objects complete the meaning of the verb in a way that adjuncts do not. Typically, for example, adjuncts can be added in any clause where they are not anomalous. Thus we can add the adjunct *in the basement* to (81a), yielding *My dog ate the hamburger in the basement*.

The grammatical criteria for distinguishing transitive and intransitive clauses may vary considerably from language to language. It is not immediately obvious, for example, whether the verb *qaki?* ‘say’ in Kutenai, illustrated in (82), is transitive or intransitive, whether the complement clause (*kumaç ni? pałkiy* ‘the woman laughed’) should be considered an object or not.

- (82) hu qaki?-ni k<sup>2</sup>umaç ni? pałkiy  
 1SG say-INDIC SUBORD=laugh the woman  
 ‘I said that the woman laughed’

But there is a verbal pronominal suffix *-(n)am* in Kutenai which indicates a nonspecific subject, which can be added only to intransitive verbs and not to transitive verbs, as in (83).

- (83) n=uwas-nam-ni  
 INDIC=hungry-NONSPEC.SUBJ-INDIC  
 ‘people were hungry’

Crucially, this suffix *can* be used on the verb *qaki?* ‘say’, as in (84), showing that this verb in Kutenai is intransitive, and thus that the complement clause with this verb is not an object.

- (84) taxas qaky-am-ni k=ç hałnuxunaqnam-nam  
 then say-NONSPEC.SUBJ-INDIC SUBORD=FUT race-NONSPEC.SUBJ  
 ‘then people said that people would have a race’

## 2.2. Ergative vs. accusative patterns

The distinction between intransitive and transitive clauses becomes more important in languages with ergative case systems, in which transitive subjects and intransitive subjects occur in different cases, transitive subjects occurring in the ergative case, intransitive subjects in the same case as objects, the absolutive case, as illustrated in (85) from Kewa (Franklin 1971), an East New Guinea Highlands language.

- (85) a. áá píra-a  
 man.ABS sit-PAST.3SG  
 'the man sat down'
- b. áá-mé étaa ná-a  
 man-ERG food.ABS eat-PAST.3SG  
 'the man ate the food'

The absolutive case, used for the subject in (85a) and for the object in (85b), is unmarked, while the ergative case, used for the subject in (85b), is represented by the suffix *-mé*.

A crosslinguistically common property of the case system of Kewa is that the ergative case is overtly marked, while the absolutive case is a zero case. But there are also languages with ergative case marking in which both ergative and absolutive are overtly marked. For example, in Roviana (Corston 1996), an Austronesian language spoken in the Solomons, there are overt prepositional markers for both ergative and absolutive, as illustrated in (86).

- (86) a. taloa se Zima  
 leave ABS Zima  
 'Zima left'
- b. seke-i-a e Zima se Maepeza  
 hit-TRANS-3SG.OBJ ERG Zima ABS Maepeza  
 'Zima hit Maepeza'

A more unusual ergative case-marking pattern is found in Nias (Brown 2001), an Austronesian language spoken on an island off Sumatra in Indonesia, in that the ergative case is null and the absolutive case is non-null, realized by a mutation at the beginning of the noun. This is illustrated in (87).

- (87) a. mörö n-asu  
 sleep ABS-dog  
 'the dog is sleeping'
- b. i-'inu n-idanö asu  
 3SG.ERG-drink ABS-water dog.ERG  
 'the dog is drinking the water'

In addition, (in realis mood) verbs bear prefixes representing the ergative argument, the absolutive arguments not being represented on the verb, as can be seen in these examples.

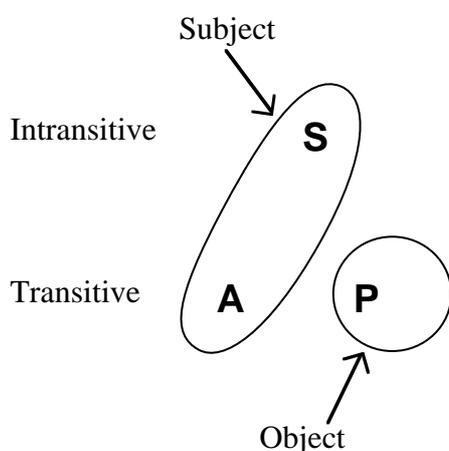
Because it is not obvious that the notions of subject and object apply to ergative case systems, many linguists compare ergative and accusative systems (ones based on the more familiar subject-object distinction) in terms of three notions A, P, and S, where the S is the single argument of an intransitive verb, the A is the more agent-like argument in a transitive clause, and the P is the more patient-like argument, as indicated for the examples in (88). (The P is often called 'O' instead. By using P we foreground the typical semantic affiliation of this grammatical function with patients in the same way that A shows its typical semantic affiliation with agents.)

- (88) a. *Pat* saw *the cat*.  
 A P
- b. *The dog* barked.  
 S

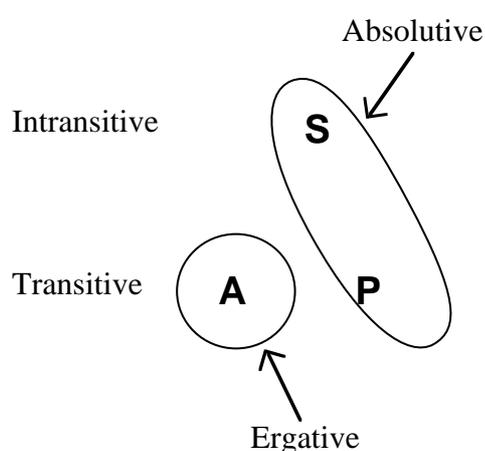
Note that the A need not be an agent, nor need the P be a patient, as in (88a), in which Pat is an experiencer, and not an agent in the narrow sense of something volitionally causing an event, and *the cat* is not really a patient in the narrow sense of something that is affected by the event, but rather what has been called a stimulus. But languages often treat experiencers in the same way as agents and treat the stimulus of perception verbs in the way as patients, justifying A and P as categories.

The difference between accusative languages and ergative languages can be described in terms of how they group A, P, and S. In accusative languages, S's and A's are treated one way, which we call subjects, while P's are treated distinctly, which we call objects. In ergative languages, S's and P's are treated the same, as absolutes, and A's are treated distinctly, as ergatives. These two possibilities are represented in (89).

(89) a. accusative pattern



b. ergative pattern



Research has shown that most languages which exhibit ergativity in one part of their system, exhibit a more familiar accusative pattern somewhere else in their system. The examples in (85) above show how Kewa exhibits an ergative case-marking system. However, the pronominal affix system on verbs follows an accusative pattern in that the verb inflects for the subject (i.e. S + A). In both examples in (90), the verb inflects for first person singular; in (90a), this is agreement with the S, while in (90b), it is agreement with the A.

- (90) a. ní            pira-wa  
           1SG.ABS    sit-1SG.PAST  
           'I sat down'
- b. né-mé        irikai        tá-wa  
           1SG-ERG    dog.ABS    hit-1SG.PAST  
           'I hit the dog'

See the chapters on the Major Functions of the Noun Phrase (I.3, Andrews), Information Packaging in the Clause (I.7, Foley) and Passive (I.6, Keenan and Dryer) for further discussion related to ergativity.

### 2.3. Ditransitive clauses

Some transitive clauses contain two objects, or at least two nonsubject arguments, as in the English sentences in (91).



(95) for Latin; (95a) shows an intransitive clause, (95b) a monotransitive clause, and (95c) a ditransitive clause.

- (95) a. puell-a        vocat.  
 girl-NOM    call.PRES.3SG  
 'the girl is calling'
- b. puell-a    puer-um    vīdit  
 girl-NOM    boy-ACC    see.PERF.3SG  
 'the girl saw the boy'
- c. puell-a    libr-um    puer-o    dēdit  
 girl-NOM    book-ACC    boy-DAT    give.PERF.3SG  
 'the girl gave the book to the boy'

The notions of 'direct object' and 'indirect object' are useful for characterizing languages like Latin. The category of direct object involves P and T, while indirect objects correspond to R's.

Not all languages operate in terms of direct and indirect objects; in other words, not all languages group P's and T's together and treat R's differently. A distinct pattern is found in Kunama (Thompson 1983), a Nilo-Saharan language spoken in Ethiopia, in which the R occurs with the same case marking as the P, the T occurring with distinct case marking; the examples in (96) illustrate an object suffix *-si* marking a P in (96a) and an R in (96b), while the T in (96b) is unmarked.

- (96) a. ka    ita-si        intike  
 man    house-OBJ    saw  
 'a man saw a house'
- b. dark-oa-m                ikka-si    bia    išoke  
 woman-that-SUBJ        son-OBJ    water    gave  
 'the woman gave water to her son'

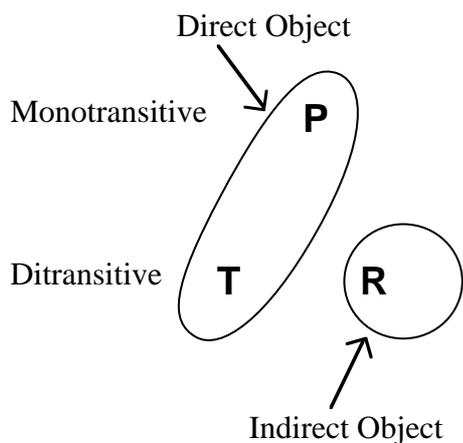
Yoruba, a Niger-Congo language spoken in Nigeria, employs a similar pattern of case marking, though in Yoruba it is the P and the R which are unmarked, while a preposition *ni* marks the T, as illustrated in (97).

- (97) a. Mo    ri    baba    e        l'ana.  
 I    saw    father    your    yesterday  
 'I saw your father yesterday'
- b. Ajaki    ko        Ayo    ni        Yoruba.  
 Ajaki    taught    Ayo    PREP    Yoruba  
 'Ajaki taught Ayo Yoruba'
- c. Mo    ya    a    ni        owo.  
 I    lend    him    PREP    money  
 'I lent him some money'

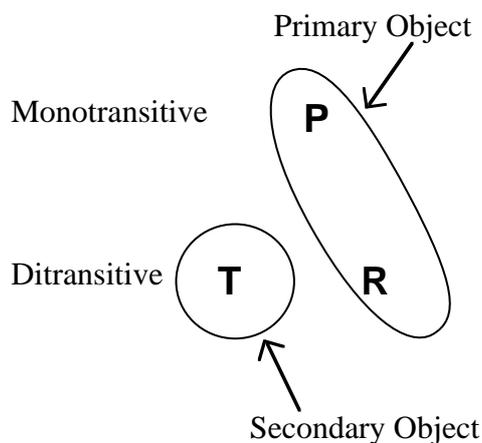
Languages like Kunama and Yoruba can be described in terms of a distinction between primary objects (P + R) and secondary objects (T). Thus, we can say that the object case in Kunama marks primary objects and that primary objects in Yoruba are unmarked, while secondary objects are marked with the preposition *ni*.

The difference between the pattern illustrated by Latin and the pattern illustrated by Kunama and Yoruba can be summarized in the diagrams in (98), similar to those in (89) to distinguish an accusative pattern from an ergative pattern.

(98) a. direct vs. indirect object



b. primary vs. secondary object



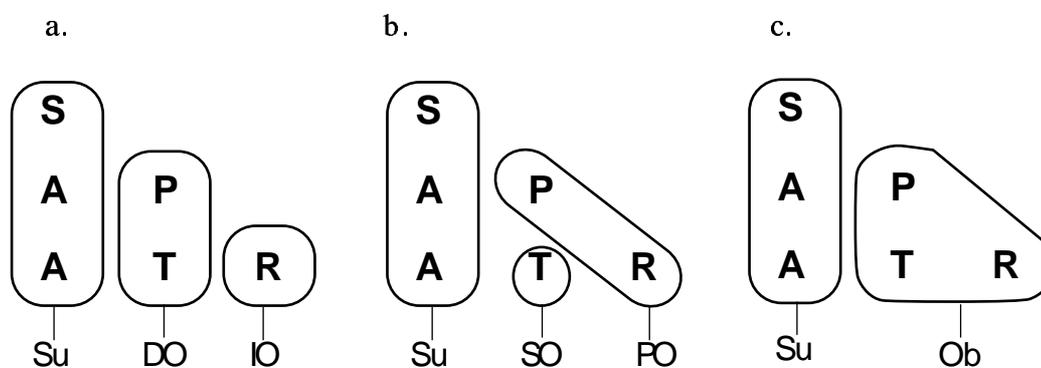
There is, in fact, a third way in which languages can group the two types of objects, namely treating them all the same way. For example, in Mising (Prasad 1991), a Tibeto-Burman language spoken in India, there is an accusative case which is used for the P in monotransitive clauses, as in (99a), and for both the R and the T in ditransitive clauses, as in (99b).

(99) a. *bī kedi-ëm dópɔ-duŋ*  
 3SG mango-ACC eat-PRES  
 P  
 'he eats mango'

b. *nɔ-kke awë-dë bulu-m kitab-dë-m bi-duŋ*  
 2SG-GEN son-ART 3PL-ACC book-ART-ACC give-PRES  
 R T  
 'your son is giving them a book'

These three possible treatments of ditransitive clauses (the two in (98) plus the possibility illustrated in (99) for Mising) interact with the contrast of accusative and ergative systems to define six possible systems. The three types just discussed illustrate the three possible types in an accusative system (where PO stands for primary object and SO stands for secondary object).

(100) Accusative Languages:

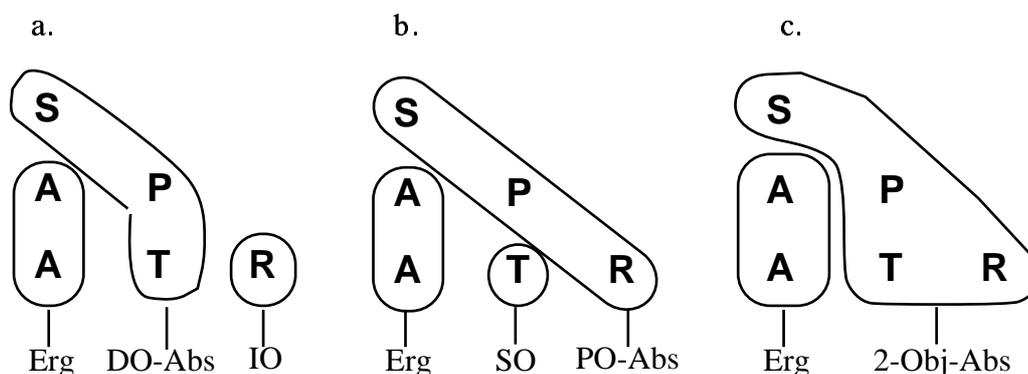


Since the languages used to illustrate the three treatments of objects (Latin, Kunama, Yoruba, and Mising) are all accusative, they also illustrate each of the three patterns in

(100), namely (100a) for Latin, (100b) for Kunama and Yoruba, and (100c) for Mising.

There are three analogous possible types of ergative languages: absolutes involve the combination of S and P, but there are three possible ways, analogous to the three types in (100), in which an absolute category in a language can treat the T and the R. These are given in (101).

(101) Ergative Languages:



The three patterns shown in (101) involve three different types of absolutes: direct object absolutes, which group S's with direct objects (P & T), as in (101a); primary object absolutes, which group S's with primary objects (P & R), as in (101b); and two-object absolutes, which group S's with monotransitive objects (P's) and both objects in ditransitive clauses (both T and R), as in (101c).

The case system of Basque (Saltarelli 1988), a language isolate spoken in northern Spain and southern France, illustrates the direct object absolutive pattern in (101a), as illustrated in (102).

- (102) a. katu-a      etza-n-da      d-a-go  
 cat-ABS    lie.down-PERF-ADV    3-PRES-be  
 'The cat is lying down.'
- b. ama-k      gona    gorri-a      eros-i      d-u-Ø  
 mother-ERG    skirt    red-ABS    buy-PERF    3-AUX.PRES-3SG  
 'Mother has bought a red skirt'
- c. ni-k      aita-ri      diru-a      eska-tu      d-Ø-io-t  
 1SG-ERG    father-DAT    money-ABS    ask-PERF    3-AUX-3SG-1SG  
 'I have asked father for money.'

The absolutive case is used in Basque for DO-absolutes, i.e. for S's, as in (102a), for P's, as in (102b), and for T's as in (102c); the ergative case is used for A's, as in (102b) and (102c); and the dative case is used for R's, as in (102c).

The case system of Québec Inuktitut (Dorais 1978), an Eskimo-Aleut language spoken in Canada, is an instance of the primary object absolutive pattern given in (101b) above.

- (103) a. Jaani-Ø      tikilir-tuq.  
 Jaani-ABS    arrive-PART.3SG  
 'Jaani arrives'

- b. Jaani-up      illu-ø      taku-vaa.  
 Jaani-ERG    house-ABS    see-INDIC.3SG.3SG  
 'Jaani saw the house'
- c. anguti-up    Jaani-ø    aitu-paa                      illu-mik.  
 man-ERG    Jaani-ABS    give-INDIC.3SG.3SG    house-SECONDARY  
 'A man gave Jaani a house'

Inuktitut differs from Basque in that the absolutive case is used in ditransitive clauses for the R rather than the T, so that we can say that it is primary objects that occur in the absolutive case in Inuktitut rather than direct objects, the pattern we saw in Basque. And whereas it is the R in Basque that occurs in a distinct case (the dative case), in Inuktitut, it is the T that occurs in a distinct case, here glossed 'secondary' (for 'secondary object').

Ngiyambaa (Donaldson 1980), a Pama-Nyungan language spoken in southeastern Australia, is an example of a language with a two-object absolutive case marking system of the sort shown in (101c), with an ergative case for A's and an absolutive case that is used, not only for S's and P's, but for *both* objects in ditransitive clauses. This is illustrated by the examples in (104).

- (104) a. dhibi            bara-nha      balima-ga  
 bird.ABS    fly-PRES      sky-LOC  
 'birds are flying in the sky'
- b. miri-gu=na            bura:y            gadhiy-i  
 dog-ERG=3.ABS    child.ABS      bite-PAST  
 'the dog bit the child'
- c. guya=ndu            bura:y            ŋu-nhi  
 fish.ABS=2.NOM    child.ABS      give-PAST  
 'you gave a child a fish'

The first words in the examples in (104b) and (104c) bear pronominal enclitics that are irrelevant here; for example =*ndu* in (104c) indicates that the subject of the clause is second person. What is crucial here is that the absolutive case in Ngiyambaa follows the two-object absolutive pattern in (101c): the absolutive case is used for S's, as in (104a), for P's, as in (104b), and for both T's and R's in ditransitive clauses, as in (104c).

## 2.4. Subtypes of intransitive clauses

The most fundamental division among intransitive clauses is the distinction between intransitive clauses with verbal predicates and clauses with nonverbal predicates, which are generally intransitive. We have dealt with clauses of the latter sort in section 1 above. However, in some languages, there are important further distinctions among intransitive clauses with verbal predicates.

### 2.4.1. Stative vs. nonstative clauses

Perhaps the most common distinction of this sort is a distinction between stative and nonstative verbs, the latter going by various labels such as eventive, process, active, or activity verbs. This distinction is a common one in languages in which there is no distinct adjective word class, but in which there is a subclass of verbs whose meaning is typically similar to that of adjectives in languages in which there is a distinct adjective word class.

For example, in Muna (Van Den Berg 1989), an Austronesian language spoken in Sulawesi in Indonesia, words corresponding to adjectives in other languages are clearly verbal. They take the same inflectional morphology as verbs, as illustrated in (105) and (106).

- |       |    |   |    |  |
|-------|----|---|----|--|
| (105) | a. | no-kala<br>3SG.REALIS-go<br>'he goes'           | b. | no-ghae<br>3SG.REALIS-cry<br>'he cries'              |
| (106) | a. | no-ghosa<br>3SG.REALIS-strong<br>'he is strong' | b. | no-kesa<br>3SG.REALIS-beautiful<br>'it is beautiful' |

The examples in (105) illustrate verbs denoting events with a third person singular realis prefix *no-*, and the examples in (106) show words meaning 'strong' and 'beautiful' inflecting the same way when they occur as predicates.

The example in (107) shows that when a verb modifies a noun in Muna, it must bear participial inflection (PTCPL), consisting of a prefix *mo-* and a suffix *-no*.

- (107)     anahi    mo-ghae-no  
          child    PTCPL-cry-PTCPL  
          'a child that cries'

The example in (108) shows that when words with adjectival meaning modify nouns they also take the same participial inflection.

- (108)     kalambe   mo-kesa-no  
          girl        PTCPL-beautiful-PTCPL  
          'a beautiful child'

These common properties illustrate how words with adjectival meaning in Muna are grammatically verbs.

On the other hand, there are a number of properties that distinguish a subclass of stative verbs in Muna whose meaning corresponds to that of adjectives in languages like English in which a distinct adjective class exists. For example, these stative verbs undergo a morphological process that involves an intensifying prefix *mba-* and reduplication of the verb stem, as in (109).

- (109)     no-mba-ghosa-ghosa  
          3SG.REALIS-rather-strong-strong  
          'he is rather strong'

But the same process is not available for nonstative verbs, as illustrated by the ungrammaticality of (110).

- (110)     \*no-mba-kala-kala  
          3SG.REALIS-rather-go-go

The contrast in (109) and (110) is arguably semantic, since words indicating intensity or degree are often restricted to words denoting states, where the meaning involves a more extreme instance of the state in question, and such a meaning is not directly applicable to words denoting events with meanings like 'go'. On the other hand, there is a second morphological process in Muna that is restricted to stative verbs for which a semantic explanation is less clear. This involves a causative prefix *feka-* illustrated in (111).

- (111) no-feka-ghosa-e  
 3SG.REALIS-CAUS-strong-it  
 'he makes it strong'

Unlike morphemes indicating intensity or degree, causative morphemes are common in other languages with verbs denoting events. However, the prefix *feka-* in Muna does not occur with nonstative verbs, as illustrated by the ungrammaticality of (112).

- (112) \*no-feka-kala-e  
 3SG.REALIS-CAUS-go-it  
 'he makes it go'

There is thus a distinct subclass of stative verbs in Muna and hence a distinct subclass of intransitive clauses.

#### 2.4.2. Split intransitivity

The distinction between nonverbal and verbal intransitive clauses or between stative and nonstative clauses both involve a split among intransitive clauses, but the terms 'split intransitivity' and 'split-S' are commonly applied to splits where intransitive clauses divide into two types depending on whether the single argument (the S) exhibits grammatical properties similar to those of the A in transitive clauses or to those of the P. For example, in Bukiyip (Conrad and Wogiga 1991), a Torricelli language spoken in Papua New Guinea, there are some intransitive verbs whose S is coded on the verb in the same way as the A in a transitive clause and other intransitive verbs whose S is coded on the verb like the P in a transitive clause. The examples in (113) illustrate coding on the verb for transitive verbs in Bukiyip.

- (113) a. n-a-la-tú  
 3SG.MASC-REALIS-build-NC<sub>11</sub>.SG  
 'he built it (a house)'
- b. okok kw-a-túl-únú  
 she 3SG.FEM-REALIS-see-3SG.MASC  
 'she saw him'

In both examples in (113), the verb bears a prefix indicating the person, number, and noun class of the A and a suffix indicating the same for the P. The subjects of both examples and the object of (113b) are human and vary for gender. The P in (113a) is inanimate and the suffix on the verb indicates that the P belongs to noun class 11. A third person singular masculine A is represented by a prefix *n-*, as in (113a), while a third person singular masculine P is represented by a suffix *-(ú)nú*, as in (113b). For the majority of intransitive verbs in Bukiyip, the S will be represented on the verb by one of the prefixes used for A's in transitive clauses, as in (114), where we find the same prefix *n-* that occurs in (113a).

- (114) énan n-a-leh  
 he 3SG.MASC-REALIS-cry  
 'he cried'

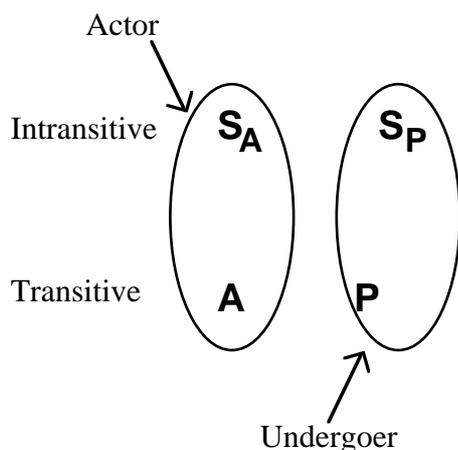
However, for a minority of intransitive verbs, their single argument is represented by the same set of suffixes that represent P's in transitive clauses. In (115), for example, we find the single argument represented by the suffix *-(ú)nú* that represented the P in (113b).

- (115) énan élgei-nú  
 he afraid-3SG.MASC  
 'he is afraid'

The verbs in Bukiyip that behave like *élgei* 'be afraid' are all ones whose single argument is not agentive and whose semantic relation to the verb is in some respects more similar to that of a P in a transitive clause. For this reason, languages like Bukiyip are sometimes described as operating in terms of agent and patient rather than subject and object.

One way to describe split intransitive languages like Bukiyip is in terms of a diagram like that in (116), which contrasts with the accusative and ergative patterns portrayed above in (89).

- (116) split intransitive (split-S) pattern



The diagram in (116) splits S into two subtypes,  $S_A$  and  $S_P$ , where  $S_A$  is those S's that behave like A's and  $S_P$  is those S's that behave like P's. The term 'actor' is sometimes applied to the union of  $S_A$  and A and the term 'undergoer' to the union of  $S_P$  and P.

Split intransitive systems most often manifest themselves in the system of pronominal marking on verbs, as in Bukiyip. In some languages, however, it is the system of case marking on noun phrases that operates in a split intransitive fashion. An example of such a language is Hunzib (Van Den Berg 1995), a Dagestani language spoken in the Caucasus region in Russia. The example in (117a) illustrates a transitive clause, with overt case marking on the A and zero marking on the P; the example in (117b) illustrates zero marking on an S; the example in (117c) illustrates an S with the same actor marking that occurs on the A in (117a).

- (117) a. iyu-l                      hara    b-oho-r  
 mother-ACTOR    cow    NC4-feed-PRET  
 'mother fed the cow'
- b. ože    uť-ur  
 boy    sleep-PRET  
 'the boy slept'
- c. hara-l                      heλe-r  
 cow-ACTOR    moo-PRET  
 'the cow mooed'

Languages differ as to the basis of the split among S's. In Hunzib, for example, there are only ten intransitive verbs that take arguments with actor case-marking, while



- (121) n-arika-ru  
 1SG.ACTOR-set.on.fire-3SG.MASC.UNDERGOER  
 'I set it on fire'

Intransitive verbs in Apurinā differ as to which of these two sets of affixes they occur with. In (122a), we see an actor prefix representing the volitional argument of an intransitive event verb, while in (122b), we see an undergoer suffix representing the nonvolitional argument of an intransitive stative verb.

- (122) a. nu-muteka  
 1SG.ACTOR-run  
 'I run'
- b. hareka-no  
 good-1SG.UNDERGOER  
 'I am good'

In Apurinā, the nonvolitional argument of an event verb is invariably an actor, even when nonvolitional, as in the two examples in (123).

- (123) a. nh-iri  
 1SG.ACTOR-fall  
 'I fell down'
- b. o-pö-p  
 3SG.FEM.ACTOR-die-IMPERF  
 'she died'

Furthermore, there are many stative verbs which take actors rather than undergoers, as in (124).

- (124) a. nu-sāpaka  
 1SG.ACTOR-tired  
 'I am tired'
- b. nhi-inhikaka  
 1SG.ACTOR-feel.hot  
 'I feel hot'

Further examination would be necessary to determine whether there is some semantic basis to the contrast between those stative verbs in Apurinā which take undergoers and those which take actors.

Other semantic factors are at play in other languages. In Taba (Bowden 1997), an Austronesian language spoken in eastern Indonesia, the choice of actor versus undergoer in intransitive clauses is partly sensitive to the animacy of the argument. In Taba, actors are represented on the verb by proclitics, while undergoers are generally not represented on the verb. A simple transitive clause is given in (125), illustrating SVO order for transitive clause, and illustrating a prefix on the verb for the actor, but no marking on the verb for the undergoer.

- (125) Ahmad n-pun kolay  
 Ahmad 3SG.ACTOR-kill snake  
 'Ahmad killed a snake'

In addition, independent pronouns, if present, precede the verb when they are actors, but follow when they are undergoers, like full noun phrases in these roles. This is illustrated for transitive clauses in (126): (126a) illustrates an independent pronoun *am* 'we, exclusive' functioning as a transitive actor preceding the verb, while (126b) illustrates an independent pronoun *i* 'third person singular' functioning as a transitive undergoer following the verb.

- (126) a. am a-tala motor la-we  
 1PL.EXCL 1PL.EXCL-meet boat sea-ESSIVE  
 'we (exclusive) met the boat by the sea'
- b. ni mamasi n-wet i  
 3SG.POSS mother 3SG.ACTOR-hit 3SG  
 'his mother hit him'

Analogous intransitive examples are given in (127): in (127a), the argument is an actor and is represented both by an independent pronoun preceding the verb and by a proclitic on the verb, while in (127b), the argument is an undergoer and is represented only by an independent pronoun following the verb.

- (127) a. i n-tagil ndara b. kawai i  
 3SG 3SG.ACTOR-walk too.much tired 3SG  
 'he walks too much' 'he is tired'

In some cases in Taba, the choice of actor versus undergoer is sensitive to the animacy of the argument, as in (128).

- (128) a. n-ha-mlongan b. ubang da mlongan  
 3SG.ACTOR-CAUS-long fence that long  
 'he is tall' 'that fence is long'

The verb *mlongan* 'long' in Taba is basically an undergoer intransitive verb, and this use is illustrated in (128b). However, there is a productive process in Taba whereby what is otherwise the causative prefix combines with an undergoer intransitive verb to yield an actor intransitive verb. This is illustrated in (128a), where we find a causative prefix on the verb, but also an actor prefix, illustrating how the argument is an actor in (128a) but an undergoer in (128b). Although both verbs in (128) basically mean 'be long', the one that takes an actor is generally used with humans while the one that takes an undergoer is generally used with nonhumans.

In some languages, issues of split intransitivity extend to clauses with nonverbal predicates. For example, in Kambera (Klamer 1998), another Austronesian language of eastern Indonesia, nominal and locative predicates are among the class of intransitive predicates that take undergoer clitics for their single argument: (129a) illustrates a transitive clause with a third person actor proclitic *na-* and a third person undergoer enclitic *-ya*; (129b) shows an intransitive clause with the single argument represented by the actor proclitic *na-*; (129c) and (129d) show intransitive clauses with nominal and locative predicates respectively, with their single arguments represented by the undergoer enclitic *-ya*.

- (129) a. na-palu-ya na ahu  
 3SG.ACTOR-hit-3SG.UNDERGOER ART dog  
 'she hits the dog'
- b. na-kapunduh weling la kanjaka  
 3SG.ACTOR-jump move.from LOC chair  
 'he jumps from the chair'
- b. hurundandu-ya  
 soldier-3SG.UNDERGOER  
 'he is a soldier'
- e. la wawa kotak-ya  
 LOC down village-3SG.UNDERGOER  
 'he is below the village'

### 2.4.3. Zero-intransitive (or ambient) clauses

Intransitive clauses are usually characterized as involving a single argument while transitive clauses are characterized as involving two (or more) arguments. However, many languages have clauses which can be described as involving *zero* arguments. These clauses are ones that semantically do not involve any arguments, though

languages vary as to whether they are treated as lacking arguments in their syntax. This sort of clause normally involves environmental conditions, typically weather conditions. Examples of English clauses of this sort are given in (130).

- (130) a. It is raining.  
 b. It is cold today.  
 c. It is hot in this room.

In English, clauses like these resemble intransitive clauses like *It is screaming* or *It is weak*. However, in clauses of the latter sort, the subject pronoun *it* is referring to something, most likely nonhuman, while in (130) the pronoun *it* is nonreferential. This is reflected by the fact that the *it* in *It is screaming* can be replaced by some other noun phrase, as in *I am screaming* or *Who is screaming?* But this is not possible in (130a) (*\*I am raining, \*What is raining?*). The nonreferential *it* in clauses like those in (130) is often referred to as an expletive or dummy subject.

Because of the presence of the expletive subject in the English examples in (130), these clauses are grammatically like intransitive clauses, and though they may be described as being zero-intransitive semantically, they can be described as normal intransitives grammatically. But English is actually rather unusual crosslinguistically in using expletive subjects. This is fairly unusual outside of Europe, although an example of a non-European language that is like English in using a semantically nonreferential third person pronoun in zero-intransitive clauses is Buru (Grimes 1991), an Austronesian language spoken in Maluku in Indonesia, as illustrated in (131).

- (131) da deka  
 3SG rain  
 'it's raining'

Most other languages employ one of four alternative strategies for expressing such meanings.

Some languages employ a strategy that is similar to English, except that instead of a semantically nonreferential independent pronoun as subject, they employ a semantically nonreferential third person singular form of a verb. As in English, these clauses look like normal intransitive clauses, except that such clauses cannot take an independent noun phrase as subject and the third person singular affix is nonreferential with these verbs but referential with normal intransitive verbs. For example, in *Tukang Besi* (Donohue 1996), an Austronesian language of Indonesia, 'it is raining' is expressed by a single word, as shown in (132).

- (132) no-wande  
 3.REALIS-rain  
 'it is raining'

And just as in English, it is not possible to add any noun as subject, as shown in (133).

- (133) \*no-wande            na        wande / langi / lono / 'oloo  
 3.REALIS-rain        NOM    rain / sky /                    cloud / day  
 'it's raining'

However, unlike English, it is not possible to have a third person singular independent pronoun meaning 'it' as subject, as shown in (134).

- (134) \*no-wande            na        ia  
 3.REALIS-rain        NOM    it  
 'it is raining'

But the form in (132) does look superficially like a normal intransitive clause expressed entirely by the verb, as in (135).

- (135) no-tinti  
 3.REALIS-run  
 'she is running'

A second strategy for expressing the meanings of clauses like those in (130) is by means of a referential subject. In Bukiyip, for example, clauses referring to raining involve a subject noun meaning 'rain', as illustrated in (136).

- (136) echah h-a-lali  
 rain NC<sub>13</sub>-REALIS-rain  
 literally 'rain rains'

In these languages, such clauses are really intransitive (rather than zero-intransitive) since the subject is referential.

A third strategy is employed in languages in which there is no overt expression of any argument, in which there can be no separate pronoun or noun as subject and the verb does not inflect for any argument. An example is given in (137) from Tahitian (Tryon 1970), an Austronesian language spoken on the island of Tahiti in the Pacific.

- (137) 'e ūa  
 fut rain  
 'it will rain'

A fourth strategy is to use a noun meaning 'rain' by itself without any accompanying word, as in Tawala (Ezard 1997), an Austronesian language spoken in Papua New Guinea, as in (138).

- (138) gadiwewe  
 rain(NOUN)  
 'There is rain'

This is really an instance of an existential clause of the sort illustrated above in (62) for Tolai, which consists simply of a noun phrase denoting that which exists.

Zero-intransitive clauses in Tolai (Mosel 1984) superficially look like English clauses in having a third person singular pronoun, as in (139).

- (139) i ga bata  
 3SG PAST rain  
 'it rained'

However, the pronominal word *i* in (139) is an agreement pronoun that is in the verb phrase and not in subject position, in contrast to the *it* in English *it is raining*: this agreement pronoun in Tolai co-occurs with a noun phrase in subject position, as in (140).

- (140) nina ra tutana i ga mait  
 that DEF man 3SG PAST sick  
 'that man was sick'

In other words, the agreement pronoun is more analogous to an agreement affix, except that it is a separate word. Thus, this should probably be viewed as a subcase of the strategy illustrated above in (132) for *Tukang Besi*, in which there is a pronominal

marking on the verb, rather than like English, in which there is a marking in the position of syntactic subjects.

While perhaps the most common sort of zero-intransitive clause are ones involving environmental conditions, many languages also use them for expressions of time, as in the Kutenai and Awa Pit examples in (141a) and (141b) respectively.

- (141) a. k=wałkwayit-s,                      ła    łaxax-i                      ɦkam-nińtik  
 SUBORD=be.evening-OBV            back arrive-INDIC            child-PLUR  
 ‘when evening came, the children arrived back’
- b. nash-miz-i  
 be.afternoon-INCEP-NONLOCUT  
 ‘it is getting late’

In both of these cases, a verb is used, but one which does not take any arguments semantically. The verb for ‘be evening’ in Kutenai in (141a) does take inflection for an obviative subject, a category of inflection that indicates that the subject of that verb is different from the subject of verbs in the surrounding discourse lacking obviative inflection, so that at some grammatical level we may want to say that this clause has a subject; however, this subject can only be realized phonologically by an affix on the verb, not by a separate noun phrase, as is possible with the nonreferential subject *it* in English *it is raining*.

## 2.5. Semi-transitive clauses

The distinction between intransitive and transitive clauses is in principle a straightforward one: intransitive clauses contain a single argument, while transitive clauses contain two or more. In many languages, however, there are some clauses that do not fall easily into one or the other of these two categories, where they behave in some ways like intransitive clauses, but in other ways like transitive clauses. Most often the verbs in such clauses have two arguments semantically, but neither is an agent in the narrow sense of someone or something that volitionally causes the event denoted by the verb.

One sort of semi-transitive clause is found in Koyraboro Senni (Heath 1999), where objects of transitive verbs normally immediately precede the verb, without any case marking, as in (142). (The second word in (142), *na* ‘transitive’, occurs in a slot otherwise filled by tense-aspect-mood particles that immediately follow the subject in Koyraboro Senni.)

- (142) a    na            ham    ɲaa  
 3SG TRANS meat eat  
 ‘he ate meat’

Nonarguments, in contrast, follow the verb and are typically marked with a postposition, as in (143).

- (143) a    koy    koyr-aa            ra  
 3SG go town-DEF.SG LOC  
 ‘he went to the city’

There are a minority of verbs, however, which involve two arguments semantically, but where the nonsubject argument follows the verb, without being marked with any preposition or postposition, as in (144) (from Prost 1956).

- (144) a. a ga ba agey  
3SG INCOMPL like 1SG  
'she likes me'
- b. ay di né wand-o  
1SG see 2SG wife-DEF  
'I saw your wife'
- c. ay ga humbur hans-o  
1SG INCOMPL fear dog-DEF  
'I am afraid of the dog'
- d. i ga hima kyer-ey  
3PL INCOMPL resemble each.other-PLUR  
'they resemble each other'

On the one hand, these clauses are not like normal transitive clauses in that there is no object noun phrase preceding the verb. On the other hand, they are not like typical intransitive clauses: not only do they have two arguments semantically, but the nonsubject argument, though following the verb, is not marked with a preposition. These clauses are neither straightforwardly transitive nor straightforwardly intransitive. A useful label for clauses of this sort is semi-transitive. In a sense they behave more transitively than normal intransitive clauses and less transitively than normal transitive clauses. It is worth noting that none of the subjects in (144) are agents in the narrow sense; the subjects in (144a), (144b), and (144c) are all experiencers of some sort and the subject in (144d) is a patient/theme. The same is true of other verbs in Koyraboro Senni that behave in this way and is a typical feature of semi-transitive clauses in other languages.

Djaru (Tsunoda 1981), a Pama-Nyungan language of northwestern Australia, exhibits a number of distinct types of semi-transitive clauses. The normal pattern for intransitive and transitive clauses is exhibited in (145), with a zero absolutive case for S's and P's and an overt ergative case for A's.

- (145) a. mawun jan-an  
man.ABS go-PRES  
'the man goes'
- b. mawun-du ɲaŋ-an ɟaɟi  
man-ERG see-PRES kangaroo.ABS  
'a man sees a kangaroo'

However, there is a class of verbs which take two arguments semantically, whose A occurs in the ergative case, but whose P occurs in the dative case, as in (146).

- (146) mawun-da ɲa-la ɟaɟi-wu muwu wuŋ-an  
man-ERG CLITIC.HOST-3SG.DAT kangaroo-DAT search-PRES  
'a man is looking for a kangaroo'

The case marking in (146) is anomalous in that the ergative case is otherwise not possible in Djaru unless there is an absolutive in the same clause.

A second type of semi-transitive clause in Djaru involves a verb which takes two arguments semantically but which takes one argument grammatically in the absolutive case, the other in the dative or locative cases, as in (147).

- (147) jambagina    ŋa-ŋanda                    juwa maŋ-an            gɔŋar-a  
 child.ABS       CLITIC.HOST-3SG.LOC       be.afraid-PRES       dog-LOC  
 ‘a child is afraid of a dog’

Clauses like (147) are grammatically identical to intransitive clauses containing a locative nominal in Djaru, differing only in that the locative-marked nominal is semantically an argument of the verb.

The third type of semi-transitive clause in Djaru is anomalous in that it contains two arguments that are both marked absolutive, as in (148).

- (148) mawun       d̪aru                    maŋ-an  
 man.ABS       Djaru.ABS       talk-PRES  
 ‘a man talks Djaru’

The absolutive form of *mawun* ‘man’ in (148) suggests that it is being treated as an S and that the clause is intransitive. The absolutive form of *d̪aru* ‘Djaru’ suggests that it is being treated as a P and that the clause is transitive. Again the label ‘semi-transitive’ is a useful label for such clauses.

Note that with all three types of semi-transitive clauses in Djaru, the meaning of the verb is one that deviates from ones with an agent argument and a patient/theme argument. In the case of the verb meaning ‘afraid’, one argument is an experiencer and the other argument is a stimulus. In the case of the verbs meaning ‘look for’ and ‘talk’, one argument is agentive, but the other argument is semantically unlike patient/themes in not being affected by the action of the verb.

The third type of semi-transitive clause in Djaru, as in (148), with two absolutive nominals, might be explained in terms of the fact that the absolutive case is a zero case, that *mawun* ‘man’ is absolutive because it is an S but that *d̪aru* ‘Djaru’ is zero-marked for some reason other than being grammatically absolutive. But this explanation will not work for certain clauses in Nias. As illustrated above in (87), Nias employs ergative case marking, with an overtly marked absolutive case. But there are a few verbs in Nias that occur with two arguments which both occur in the absolutive case, as in (149), analogous to the Djaru example in (148).

- (149) a.    ata'u            n-akhi-gu                                    n-asu  
           be.afraid    ABS-younger.sibling-1SG.POSS    ABS-dog  
           ‘my younger brother is afraid of the dog’
- b.    omasi    n-asu        n-akhi-gu  
           like     ABS-dog    ABS-younger.sibling-1SG.POSS  
           ‘the dog likes my younger brother’

Again, these clauses are quite anomalous in containing two noun phrases in absolutive case, something that is not otherwise possible in the language. They are less transitive than normal transitive clauses in that there is no noun phrase that is case-marked ergative and the verb does not bear a prefix coding either argument, in contrast to normal transitive clauses in Nias, in which the verb bears a prefix for the ergative argument. On the other hand, they are more transitive than normal intransitive clauses in Nias in that they contain two semantic arguments, neither of which is marked with a preposition, and both of which are marked in the absolutive case, a case otherwise used for arguments of the verb.

Another candidate for semi-transitive status in many languages is clauses containing certain verbs of motion, with which some locative expression is obligatory, as in the examples in (150) from Babungo, which are unacceptable without a locative expression, typically a prepositional phrase.

- (150) a. Ndùlá gè táa yìwìṅ  
 Ndula go.PERF to market  
 'Ndula has gone to the market'
- b. f̄shīa kò' fúu ñ  
 squirrel climb.PERF on tree  
 'a squirrel climbed on a tree'

But while such clauses may be justifiably classified as semi-transitive, they rarely exhibit any grammatical differences from intransitive clauses with optional locative expressions apart from the obligatory status of the locative expression, and hence it is in general not necessary to posit a distinct class of semi-transitive clauses, simply on the basis of clauses like these.

The examples above illustrate semi-transitive clauses where this type of clause is determined by specific lexical items. One also finds instances of what one could call semi-transitive clauses in grammatically determined contexts. For example, Yukulta (Keen 1983), a Tangkic language spoken in northern Australia, generally exhibits ergative case marking, as in (151).

- (151) ɬaŋka-ya-kari                      ŋawu              palaṭa  
 man-ERG-PRES.TRANS              dog.ABS              hit  
 'the man is hitting the dog'

(The final morpheme *-kari* in the first word in (151) is a tense clitic that attaches to the first word in the sentence; this form is used in transitive clauses.) Compare (151) with the corresponding negative sentence in (152), in which the A is in the absolutive case and the P is in the dative case.

- (152) walira-ŋka                      ɬaŋka-ɾa              ŋawu-ŋta              palaṭa  
 neg-PRES.INTRANS              man-ABS              dog-DAT              hit  
 'the man is not hitting the dog'

This type of semi-transitive clause is parallel to that illustrated above for Djaru in (147), except that here it is grammatically conditioned rather than lexically determined: negative clauses all follow the semi-transitive pattern.

It should be said that semi-transitive clauses are probably not a well-defined crosslinguistic category, in contrast to intransitive clauses and transitive clauses. Rather, in designating these clauses as semi-transitive, nothing is intended beyond observing that they exhibit properties that fall in between those of normal intransitive and transitive clauses. It may well be that in some languages, there is a good analysis of semi-transitive clauses that accounts for their properties, but that the best analysis will vary from language to language. The label 'semi-transitive' indicates nothing more than the fact that the clauses so designated are problematic as far as the traditional distinction between intransitive and transitive clauses is concerned.

## 2.6. Clauses with derived verbs

Our comments in this section will be very brief, since the topic of this section is dealt with in greater detail in other chapters in this anthology. We have restricted discussion of the various types of verbal clauses in this chapter to clauses involving *basic* verbs rather than ones involving some sort of derivation that might result in a clause of a different sort. For example, passive clauses can be thought of as derived in some sense, whether one thinks of it as deriving passive clauses from active clauses,

passive VP's from active VP's, or passive verbs from active verbs. Passive constructions are discussed in the chapter on Passives (I.6). Other sorts of constructions involving derived verbs include antipassive constructions, noun incorporation, causative constructions, and applicative constructions. A number of these constructions are discussed at length in the chapter on Information Packaging in the Clause (I.7).

### Suggestions for Further Reading

Two detailed books on nonverbal clauses are Hengeveld (1992) and Stassen (1997). There is an extensive literature on case and grammatical relations that is relevant to the topic of different verbal clause types. Among the basic sources are Blake (1990, 1994), Palmer (1994), and Chapter 6 of Van Valin and LaPolla (1997). A number of chapters in this anthology discuss issues related to this, including the chapters on the Major Functions of the Noun Phrase by Andrews and Information Packaging in the clause by Foley. There is also an extensive literature on ergativity, including Dixon (1994). On split intransitivity, see Merlan (1985) and Mithun (1991).

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